

RNA-SEQUENCING ANALYSIS IN B-CELL ACUTE LYMPHOBLASTIC
LEUKEMIA REVEALS ABERRANT GENE EXPRESSION AND SPLICING
ALTERATIONS

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NOMENCLATURE

| | |
|-------|---|
| 5-Aza | 5-aza-2-deoxycytidine |
| AS | Alternative splicing |
| B-ALL | B-cell acute lymphoblastic leukemia |
| CGI | CpG island |
| CLP | Common lymphoid progenitor |
| DE | Differentially expressed genes |
| DMR | Differentially methylated region |
| DNA | Deoxyribonucleic acid |
| eRNA | Enhancer RNA |
| FISH | Fluorescence in situ hybridization |
| FPKM | Fragments per kilobase of transcript per million mapped reads |
| GLM | General linear model |
| HSC | Hematopoietic stem cell |
| IPA | Ingenuity pathway analysis |
| KB | Knowledge Base |
| LMPP | Lymphoid multipotent progenitor |
| MDS | Multidimensional scaling |
| miRNA | MicroRNA |
| NGS | Next generation sequencing |
| PCR | Polymerase chain reaction |

| | |
|---------|---|
| Pre-BCR | Pre-B cell receptor |
| RNA-seq | RNA-sequencing |
| RT-PCR | Reverse transcription polymerase chain reaction |
| TF | Transcriptional factor |
| TMM | Trimmed mean of M-values |
| TR | Transcriptional regulator |
| TSA | Trichostatin A |
| UTR | Untranslated region |
| WBC | White blood cell |

PREFACE

B-cell acute lymphoblastic leukemia (B-ALL) is a neoplasm of immature lymphoid progenitors and is the leading cause of cancer-related death in children. The majority of B-ALL cases are characterized by recurring structural chromosomal rearrangements that are crucial for triggering leukemogenesis, but do not explain all incidences of disease. Therefore, other molecular mechanisms, such as alternative splicing and epigenetic regulation may alter expression of transcripts that are associated with the development of B-ALL. It is important to investigate alternatively spliced RNA transcripts that may be affected by aberrant DNA methylation in B-ALL to gain a better understanding of the pathogenesis of this disease.

The goal of this research proposal is to characterize the transcriptome landscape of patients with B-ALL using high throughput RNA-sequencing (RNA-seq) analysis. Specifically, the study aims to identify particular genes and their isoforms that might be controlled by aberrant DNA methylation in B-ALL and contribute to the development of this disease. By analyzing transcriptional patterns between B-ALL patients and healthy cord blood donors differentially expressed and alternatively spliced RNA transcripts have been identified. By examining differentially expressed genes with Ingenuity pathway analysis, the most significant signaling pathways and gene functions have been annotated. By analyzing causative gene networks, novel upstream regulators have been determined for B-ALL patients. Finally, a mechanistic study has been conducted using an

in vitro B-ALL model to investigate if aberrant DNA methylation affects alternatively spliced genes associated with this disease.

In this thesis, chapter 1 will introduce abnormal B-cell development in leukemogenesis and discuss in detail the genetic abnormalities that are hallmarks of B-ALL. Chapter 1 will also introduce aberrant epigenetic modifications including DNA methylation, histone modifications, and non-coding RNAs that have been identified in B-ALL patients to date. Alternative splicing alterations associated with B-ALL will be also described in chapter 1. Chapter 2, the research chapter, investigates the transcriptional regulators and signaling pathways that likely orchestrate the regulation of differentially expressed genes identified in the study. Finally, chapter 2 includes a mechanistic study utilizing the Nalm 6 cell line to determine the role of DNA methylation on the expression of alternatively spliced transcripts.

Our pathway-centric approach may help to explore and characterize novel aberrant gene expression patterns for B-ALL patients, thereby complementing previous research findings aimed at deciphering the pathogenesis of B-ALL. Moreover, identified alternatively spliced transcripts may help better understand the molecular basis of post-transcriptional gene regulation in the context of B-ALL. By inferring a role for DNA methylation in the expression of alternatively spliced isoforms, new avenues might be explored for improved diagnosis, management and treatment of B-ALL patients in the future.

Chapter 1 Literature Review

1.1 B-Cell Acute Lymphoblastic Leukemia

1.1.1 Characteristics of B-ALL

B-cell lymphoblastic leukemia (B-ALL) is a malignant neoplasm derived from B-cell progenitors. B-ALL is common among children, with peak prevalence between the age of 2 and 5 (Pui, Robison, & Look, 2008). The symptoms of B-ALL include fatigue and paleness from anemia, bruising due to thrombocytopenia, and frequent infection caused by neutropenia (Hunger & Mullighan, 2015). Outcome for pediatric cases with B-ALL has significantly improved over the last 2 decades; the 5-year survival rate is greater than 80%. In adults with B-ALL, existing treatments have been less effective, with a disease related mortality of approximately 60% (Redaelli, Laskin, Stephens, Botteman, & Pashos, 2005).

The precise pathogenic events leading to the development of B-ALL are still undetermined. Less than 5% of the cases are associated with inherited, predisposing genetic syndromes, such as Down's syndrome, Bloom's syndrome, ataxia-telangiectasia, and Nijmegen breakage syndrome (Pui et al., 2008). Common genetic events leading to the development of B-ALL include chromosomal translocation, hyperdiploidy and deregulation of proto-oncogenes (Mullighan, 2012). Due to recently developed next-generation sequencing (NGS) technologies, such as transcriptome sequencing, and whole-genome sequencing, the number of genetic alterations identified in B-ALL patients has increased excessively (Roberts & Mullighan, 2015). However, in

experimental models, commonly occurring genetic aberrations do not alone induce leukemia, pointing that additional genetic or epigenetic changes are required. Identification of these additional genetic and epigenetic alterations is crucial for better understanding B-ALL pathogenesis and development.

1.1.2 Abnormal B-Cell Development in Leukemogenesis

B cells are derived from pluripotent hematopoietic stem cells (HSCs) in the bone marrow through sequential stages of cell differentiation, including lymphoid multipotent progenitors (LMPPs), common lymphoid progenitors (CLPs), early pro-B cells, pro-B cells, pre-B cells, and mature B cells (Figure 1). Knowledge of the normal sequence of antigen acquisition is crucial, because B-ALL arises from B-cell progenitors that reflect arrested stages of B-cell maturation. CLPs are characterized by the presence of the cell surface antigens CD34 and CD10. During the transition from CLP to early pro-B cells, CD10 is lost and CD19 is gained; CD34, CD10 and CD19 are positive in pro-B cells and pre-B cells express only CD10 and CD19. In the final transition to immature B-cells, lymphoblasts begin to express CD20 and IgM in addition to CD10 and CD19 markers (Zhou, You, Young, Lin, Lu, Medeiros, & Bueso-Ramos, 2012).

Transcriptional factor E2A triggers early B-lineage development through regulating the downstream transcription factors EBF1 and PAX5. Both EBF1 and PAX5 are critical for maintaining B-lineage maturation, as abscission of PAX5 and reduced *EBF1* expression result in de-differentiation to immature progenitor cells (Pongubala et al., 2008). Enforced expression of CEBPA, a transcription factor crucial for myeloid

development, in progenitor B cells, inhibits B-lineage-specific genes and conversion into macrophages *in vitro* (Bussmann et al., 2009). Dysregulation of some of these transcription factors (TFs) in B-ALL has been long known because their encoding genes are involved in cytogenetic abnormalities, but the broad disruption of B-cell development in more than 40% of B-ALL cases has only been recognized recently by genome-wide genetic analysis (Mullighan et al., 2007).

Early B-lineage development also depends on signal transduction initiated by the interleukin (IL)-7 receptor in pro-B cells and the pre-B-cell receptor (pre-BCR) in pre-B cells. The IL-7 receptor consists of common γ -chain and an IL-7R α subunit (encoded by *IL7R* gene), while pre-BCR consists of 2 I μ chains and 2 surrogate light chains. Effects of IL-7R activation are mediated through the JAK-STAT5 pathway (Hennighausen & Robinson, 2008) and in context of this signaling network transcriptional factor STAT5 upregulates *EBF1* and *PAX5* expression (Dias, Silva, Cumano, & Vieira, 2005; Hirokawa, Sato, Kato, & Kudo, 2003) which results in maintaining of pro-B-cell state. When the pro-B-cell stage has been established, B-cell progenitors undergo rearrangement in heavy chain immunoglobulin IgH. After a successful IgH rearrangement, IL-7R acts in combination with other factors, including pre-BCR, to promote expansion of early pre-B cells through an ERK/MAPK-dependent pathway (Fleming & Paige, 2001). Disruption in the pre-BCR component I μ leads to a complete B-cell developmental block at the pro-B-cell to pre-B-cell transition (Kitamura, Roes, Kuhn, & Rajewsky, 1991). Pre-BCR signaling also activates a negative feedback loop

through suppressing IL-7R α expression and attenuating STAT5 activation (Marshall, Fleming, Wu, & Paige, 1998). Dysregulation of the signal transduction cascade can be directly oncogenic and likely contributes to poor clinical outcome.

1.1.3 Genetic Alterations in B-ALL

Multiple genetic alterations have been discovered in B-ALL patients and used for risk classification and treatment assignment. Chromosome translocations, such as E2A-PBX1, TEL-AML1 and BCR-ABL1 occur in approximately 80% of children and 60% to 70% of adults with B-ALL. These chromosomal abnormalities can be detected by routine cytogenetic analysis and interphase fluorescence in situ hybridization (FISH). Smaller genetic aberrations, such as *IKZF1*, *PAX5* and *CDKN2A/B* deletions can be determined by polymerase chain reaction (PCR). Combined with high-throughput DNA sequencing and gene expression profiling, genome-wide studies of B-ALL have uncovered remarkable associations between B-ALL and disruptions of B-cell development, loss of tumor suppressor activity, and aberrant signal transduction (Zhang, Mullighan, Harvey, Wu, Chen, Edmonson, & Hunger, 2011; Zhou et al., 2012).

E2A translocations

E2A is a basic helix-loop-helix transcription factor located on chromosome 19p13. E2A is necessary for initiation of B-cell development and is crucial for B-cell differentiation (LeBrun, 2003). The most common translocation involving the E2A gene is t(1;19)(q23;p13). This genetic abnormality appears in approximately 5% of B-ALL cases and is more prevalent among children. The resulting fusion protein consists of

transactivation domains of E2A and the DNA-binding homeodomain of PBX1 (Hunger, 1996). The oncogenic effect of E2A-PBX1 chimeric protein is a result of the upregulation of the *BM11* gene (Smith et al., 2003), a transcriptional repressor that participates in hematopoietic stem-cell self-renewal (Park et al., 2003). A second E2A associated translocation, t(17;19), occurs rarely among children. This variant consists of transactivation domains of E2A and the leucine zipper dimerization domain of HLF. The aberrant upregulation of *LMO2* and *BCL2* results from the activation of the E2A-HLF fusion protein (De Boer et al., 2011; Hirose et al., 2010). With modern chemotherapy, patients with B-ALL associated with the E2A-PBX1 translocation have a favorable outcome, but B-ALL cases associated with t(17;19) have a poor prognosis (Hu et al., 2016).

BCR-ABL1 (Philadelphia chromosome)

The tyrosine kinase BCR-ABL chimeric protein is the product of the Philadelphia chromosome, which is formed due to the reciprocal translocation t(9;22)(q34;q11) that opposes the *ABL* oncogene 1 on chromosome 9 with the *BCR* gene on chromosome 22 generating the BCR-ABL1 fusion gene (López-Andrade et al., 2015). This protein has constitutive ABL1 kinase activity and localizes in the cell nucleus. It has been shown that BCR-ABL1 alone is sufficient to induce cancerous transformation in pre-B cells in a mouse model and that this process requires the activation of SRC kinase (Huettnner, Zhang, Van Etten, & Tenen, 2000). This translocation rarely occurs in children but it is the most common (approximately 25%) cytogenetic abnormality in adults (Moorman,

2016). Depending on location of the breakpoint in the *BCR* gene, BCR-ABL fusion proteins of different molecular weights can be formed. BCR-ABL p210 can be seen in 24% to 50% of adult Philadelphia positive (Ph⁺) B-ALL. A shorter form, p190, predominates in pediatric Ph⁺ B-ALL and 50% to 76% of adult Ph⁺ B-ALL. BCR-ABL p230 usually is not observed in B-ALL. Comparisons of adult Ph⁺ B-ALL patients with p210 or p190 variants showed consistency in the presence of additional cytogenetic abnormalities, white blood cell (WBC) count or outcome (Rieder, Banta, Köhrer, McCaffery, & Emr, 1996). B-ALL associated with BCR-ABL1 shows a common immunophenotype, that being CD34⁺, CD10⁺, and CD19⁺; myeloid markers are positive in up to 71% of cases in adults. B-ALL associated with BCR-ABL1 has a very poor outcome with a 5-year overall survival of less than 10% (Moorman et al., 2010).

Mixed lineage leukemia rearrangements (MLL)

The mixed lineage leukemia (MLL) gene is involved in a wide range of leukemia-associated translocations (Meyer et al., 2009). The most common chromosomal rearrangement involving MLL in B-ALL is t(4;11)(q21;q23), which results in an MLL-AF4 fusion gene. This particular translocation is associated with very poor prognosis for infants under 1 year, the vast majority of whom have a relapse and die of progressive disease. However, for children 1-9 years old or those 10 years of age or older t(4;11)(q21;q23) is correlated with more favorable prognosis (Pui et al., 2003). *MLL* gene rearrangements have been diagnosed in approximately two thirds of infantile ALL cases, and MLL-AF4 consists of more than 50% of the rearrangements (Pieters et al., 2007). In

adults, MLL-AF4 occurs in 4% to 8% of ALL in general (Moorman et al., 2010; Wetzler et al., 1999), but it is more frequent (24%) in patients who have received chemotherapy for other malignancies (Tang, Neufeld, Rubin, & Müller, 2001). Pro-B ALL with t(4;11)/MLL rearrangements is most often myeloid antigen-positive disease (including expression of CD15) (Chiaretti, Zini, & Bassan, 2014). Patients with B-ALL associated with MLL-AF4 have a high risk of relapse.

ETV6-RUNX1 (TEL-AML1)

ETV6, located on chromosome 12p13 previously known as TEL is an ETS family transcriptional repressor and is frequently rearranged or fused with other genes in human leukemias of myeloid or lymphoid origins (Zhang et al., 2015). RUNX1, located on chromosome 21q22 and previously known as AML1, is a transcription factor that participates in hematopoietic development at an early embryonic stage as well as B-cell differentiation in adult hematopoiesis (Ichikawa et al., 2004) results in the ETV6-RUNX1 fusion protein, t(12;21)(p13;q22), consists of the N-terminal non-DNA-binding region of ETV6 combined with RUNX1. Enforced expression of ETV6-RUNX1 in HSCs results in expansion of multipotent progenitors and partial arrest of B-cell development at the pro-B cell stage (Tsuzuki, Seto, Greaves, & Enver, 2004). ETV6-RUNX1 is the most frequent alteration in pediatric B-ALL, present in approximately 30% of cases, but is rare in adults (Raynaud et al., 1996). Secondary genetic abnormalities including loss of the ETV6 allele and other genes in the B-cell development pathway are frequently identified at the time of diagnosis of B-ALL (Hong et al., 2008; Mullighan et al., 2009). B-ALL

associated with t(12;21) is usually positive for CD10, CD19, CD34, and the myeloid associated antigen CD13. Patients with B-ALL associated with ETV6-RUNX1 have a highly positive outcome.

Immunoglobulin heavy-chain locus (IGH@)

Recurrent translocations of the IGH@ locus in B-ALL are relatively rare but have been well documented (Dyer et al., 2010). Fusions of IGH@ with each of the 5 members of the CEBP family have been reported in B-ALL in children and adults (Akasaka et al., 2007). The fusion with CEBPD, as a result of t(8;14)(q11;q32), is the most common (Lundin, Heldrup, Ahlgren, Olofsson, & Johansson, 2009). This translocation occurs mostly in children, either as a sole acquired abnormality or in conjunction with t(9;22) or Down syndrome. Partners of IGH@ translocation also include ID4 (Russell et al., 2008), erythropoietin receptor (Russell et al., 2009), CRLF2, IL3 (Grimaldi & Meeker, 1989), and miRNA-125-b-1 (Sonoki, Iwanaga, Mitsuya, & Asou, 2005). The IGH-IL3 translocation, t(5;14)(q31;q32), commonly results in eosinophilia. The IGH-MYC rearrangement, t(8;14)(q24;q32), and IGH-BCL2 translocation, t(14;18)(q32;q21) were identified in 7% and 4% of adult patients with B-ALL, respectively. Patients with B-ALL associated with t(8;14)(q24;q32) or t(14;18)(q32;q21) have a very poor outcome (Moorman et al., 2010).

Numerical chromosomal abnormalities

Several chromosome abnormalities have been identified in B-ALL, including hyperdiploidy, hypodiploidy, near-haploidy and complex karyotypes. Hyperdiploidy

occurs predominantly in pediatric B-ALL, accounting for nearly 40% of cases, and is associated with favorable prognosis. Hypodiploidy, near-haploidy, and complex karyotypes are rare in childhood B-ALL, but their frequency increases with age. Together, these abnormalities account for approximately 15% of B-ALL cases in patients older than 60 years. Hypodiploidy, near-haploidy, and a complex karyotype are associated with poor outcome, with less than 20% of patients surviving for 5 years (Moorman et al., 2010).

Intrachromosomal amplification of chromosome 21

Intrachromosomal amplification of chromosome 21 (iAMP21) is defined as the presence of 3 or more copies of the *RUNX1* gene (Harrison, 2011). The 5.1-Mb common region of amplification contains *RUNX1*, *mIR-802*, and genes in the Down syndrome critical region. iAMP21 occurs in approximately 2% of childhood B-ALL, and these malignancies have a common/pre-B immunophenotype (Harewood et al., 2003). B-ALL with iAMP21 occurs with high frequency in B-ALL associated with Down syndrome. Other genetic alterations associated with iAMP21 include deletion of *RBI*, *CDKN2A*, *IKZF1*, and *PAX5* (Rand et al., 2011). Patients with iAMP21 have relatively poor prognosis if not treated with enhanced chemotherapy (Moorman et al., 2007).

IKZF1 deletion

IKZF1, located at 7p13-p11.1, encodes IKAROS, a zinc-finger containing DNA-binding protein. IKAROS isoforms lacking N-terminal zinc-finger domains have

abnormal localization and function as a dominant negative of wild-type IKAROS.

Genome-wide single nucleotide polymorphism array analysis has shown that *IKZF1* deletions are among the most common genetic lesions in high-risk B-ALL, present in 75% to 90% of BCR-ABL1⁺ B-ALL (Mullighan et al., 2008) and 29% of pediatric high-risk BCR-ABL1 B-ALL (Mullighan et al., 2009). Deletions of *IKZF1* are predominantly monoallelic and are limited to the gene in approximately 40% cases (Mullighan et al., 2008). Various patterns of deletions occur, but the most frequent deletions involve the N-terminal zinc-finger domain of IKAROS and result in expression of dominant-negative isoforms with cytoplasmic localization and oncogenic activity (Iacobucci et al., 2012). *IKZF1* deletion in B-ALL is associated with a high risk of relapse.

PAX5 deletion and translocation

PAX5 encodes a B-lineage specific transcription factor located at chromosome 9p13. PAX5 is among the most frequent targets of genetic alterations in B-ALL, observed in approximately 30% of cases (Dang et al., 2015). There are several genetic aberrations associated with *PAX5* gene, including monoallelic deletions, translocations and point mutations. Deletions are frequently associated with BCR-ABL1, E2A-PBX1, and complex karyotype with secondary genetic changes (Coyaud et al., 2010). PAX5 rearrangements are relatively rare, occurring in 2.5% of B-ALL cases; at least 12 different fusion partners including TFs, structural proteins, and protein kinases have been reported (Nebral et al., 2009). Deletion and mutation of other genes essential in B-cell

development, including *EBF1*, *RAG1*, *RAG2*, *LEF1*, and *BLINK*, are also frequently detected in B-ALL (Mullighan et al., 2007).

CDKN2A/B deletion

CDKN2A and adjacent *CDKN2B* on chromosome 9p21 are tumor suppressor genes that encode p16INK4a/p14ARF and p15INK4b, respectively. The proteins are involved in controlling G1/S cell-cycle progression. In B-ALL, deletion of *CDKN2A/B* is the most frequent genetic abnormality detected by genome-wide copy number alteration and loss of heterozygosity analysis. These deletions are present in 21% to 36% pediatric B-ALL (Mullighan et al., 2008; Kawamata et al., 2008), and nearly 50% of adult and adolescent B-ALL (Paulsson et al., 2008). *CDKN2A/B* deletions are frequently associated with BCR-ABL1 and E2A-PBX1 fusion, and are less frequently present in B-ALL associated with ETV6-RUNX1, MLL translocation, or hyperdiploidy (Sulong et al., 2009). *CDKN2A/B* deletion can be detected at initial diagnosis or acquired at relapse; there is no difference in frequency between diagnosis and relapse, suggesting that *CDKN2A/B* deletion is a secondary genetic event.

Janus kinase mutations

JAK is a protein tyrosine kinase and a key player in the JAK-STAT pathway. Mutations in *JAK1* and *JAK2* were initially identified in B-ALL associated with Down syndrome (Bercovich et al., 2008; Kearney et al., 2009). Heterozygous somatic mutations of JAKs are seen in approximately 10% of non-Down syndrome B-ALL (Mullighan et al., 2009). JAK mutations occur in highly conserved residues in the kinase and

pseudokinase domain and result in constitutive kinase activation. It appears that aberrant kinase signaling requires interaction with a cytokine receptor, because ectopic expression of ALL-associated JAK1 mutant alone fails to trigger STAT activation in the absence of a γ -chain containing cytokine receptor (Hornakova et al., 2009). In fact, JAK mutation is highly associated with aberrant cytokine receptor expression in B-ALL. Moreover, 70% of B-ALL cases carrying a *JAK* mutation have concomitant deletion of *IKZF1* and/or *CDKN2A/B*. Patients with B-ALL associated with JAK mutation tend to have poor outcome.

1.1.4 Epigenetic alterations in B-ALL

Aberrant microRNA expression

MicroRNAs (miRNAs) are small non-coding RNAs that regulate gene expression at a posttranscriptional level and are involved in many biological processes, such as cell proliferation and apoptosis. It has been shown that alterations in miRNA levels due to genetic changes may be involved in leukemogenesis. For example, miRNA-125b1 (also known as miR-125-1) was the first miRNA documented in B-ALL (Chapiro et al., 2010; Sonoki et al., 2005). The gene encoding miR-125b1 is located at chromosome 11q24.1 but is inserted into rearranged IGH@ at chromosome 14q32 in rare patients with B-ALL. The translocation causes overexpression of miR-125b1. MiR-125b1 is a negative regulator of p53 (Le et al., 2009). The expression of miRNA are also characteristically associated with genetic types of pediatric B-ALL and predict for clinical outcome

(Schotte et al., 2011). Discovery of novel miRNAs in B-ALL is still in progress, and the clinical and biological significance of these miRNAs needs to be clarified.

DNA methylation

Our laboratory has extensively studied DNA methylation patterns in lymphoid malignancies. Taylor and colleagues (2007) identified 262 unique methylated CpG island (CGI) loci in ALL lymphoblasts utilizing CGI microarray technology. By examining the relationship between methylation and expression for 10 genes (*DCC*, *DLC-1*, *DDX51*, *KCNK2*, *LRP1B*, *NKX6-1*, *NOPE*, *PCDHGA12*, *RPIB9*, *ABCB1*, and *SLC2A14*) cell culture treatments were conducted with 5-aza-2-deoxycytidine and trichostatin A followed by subsequent reverse transcription polymerase chain reaction (RT-PCR) analysis. More than a 10 fold increase in mRNA expression was observed for two previously identified tumor suppressor genes (*DLC-1* and *DCC*) and also for *RPIB9* and *PCDHGA12* genes after treating cells with demethylation agents. Bisulfite sequencing of the promoter of *RPIB9* indicated that expression might be inhibited by methylation within SP1 and AP2 transcription factor binding motifs (Taylor et al., 2007). This study was expanded by Burmeister and colleagues (2015) by investigating methylation status of six regions spanning the CpG island in the promoter region of *RUNDC3B* in cancer cell lines. Lymphoid malignancies were found to have higher methylation level and did not express *RUNDC3B* compared with myeloid malignancies and solid tumors, supporting the potential use of DNA methylation in this region as a biomarker for lymphoid malignancies (Burmeister et al., 2017).

To elucidate the role of DNA methylation during B-cell development, genome-wide DNA methylation analysis was also performed in our laboratory. The DNA methylation status of pro-B, pre-BI, pre-BII, and naïve-B-cells was determined using the methylated CpG island recovery assay followed by NGS. An overall decrease in methylation was observed during the transition from pro-B to pre-BI, whereas no differential methylation was observed in the pre-BI to pre-BII transition or in the pre-BII to naïve B-cell transition (Almamun et al., 2014). Furthermore, integrated methylome and transcriptome analysis was conducted to determine novel regulatory elements for pediatric B-ALL patients. Aberrant promoter methylation was associated with the altered expression of genes involved in transcriptional regulation, apoptosis, and proliferation. Novel enhancer-like sequences were identified within intronic and intergenic differentially methylated regions (DMRs). Aberrant methylation in these regions was associated with the altered expression of neighboring genes involved in cell cycle processes, lymphocyte activation and apoptosis. These genes include potential epi-driver genes, such as *SYNE1*, *PTPRS*, *PAWR*, *HDAC9*, *RGCC*, *MCOLN2*, *LYN*, *TRAF3*, *FLT1*, and *MELK*, which may provide a selective advantage to leukemic cells (Almamun et al., 2015). Finally, the impact of aberrant intergenic DNA methylation on gene expression was investigated in B-ALL patients. 84% of differentially methylated intergenic loci, determined for B-ALL patients, were also bound by TFs known to play roles in differentiation and B-cell development in a lymphoblastoid cell line. Further, an overall downregulation of enhancer RNA (eRNA) transcripts was observed in pre-B ALL

patients and these transcripts were associated with the downregulation of putative target genes involved in B-cell migration, proliferation, and apoptosis. The identification of novel putative regulatory regions highlights the significance of intergenic DNA sequences and may contribute to the identification of new therapeutic targets for the treatment of B-ALL patients in the future (Almamun et al., 2017).

Other research groups have also investigated a role of DNA methylation in B-ALL pathogenesis. Examining DNA methylation patterns in 69 pediatric B-ALL and 42 control samples Chatterton and colleagues report 325 genes that were hypermethylated and down regulated, and 45 genes that were hypomethylated and upregulated across all B-ALL samples, regardless of subtype (Chatterton et al., 2012). Furthermore, functional annotation of these epigenetically deregulated genes underlined the role of genes involved in cell signaling, cellular development, cell survival and apoptosis. Another study investigating 764 cases of newly diagnosed ALL and 27 cases of relapse, determined 9406 hypermethylated CpG sites with each cytogenetic subtype portraying a unique set of hyper- and hypomethylated sites (Nordlund et al., 2013). These differentially hypermethylated CpG sites were enriched for genes such as *NANOG*, *OCT4*, *SOX2*, and *REST*. MLL-rearranged infant leukemia is one specific ALL subtype that has been shown to display distinct promoter hypermethylation (Schafer et al., 2010). Stumpel and colleagues identified a distinct DNA methylation pattern dependent on the presence and type of MLL-fusion partner in a cohort of 57 newly diagnosed infant ALL patients (Stumpel et al., 2009). In addition, the level of hypermethylation appeared to

correlate with a higher risk of relapse among infants carrying t(4;11) or t(11;19) translocations. In another study of 5 MLL-rearranged infant ALL samples, genes involved in oncogenesis and tumor progression (*DAPK1*, *CCR6*, *HRK*, *LIFR*, and *FHIT*) were differentially methylated suggesting a role in the leukemogenesis of MLL-rearranged ALL (Schafer et al., 2010).

Histone modification

Mutations in epigenetic modifying genes can result in a gain or loss of function of key genes known to regulate histone marks. Jaffe and colleagues have used global chromatin profiling and mass spectrometry to measure levels of histone modifications on bulk chromatin in pediatric ALL cell lines (Jaffe et al., 2013). A novel cluster of cell lines with a specific epigenetic signature was determined and increased dimethylation of histone H3 at lysine 36 (H3K36me2) and decreased unmodified H3K36 have been observed. Approximately half of the cell lines in this cluster harbored the t(4;14) translocation, which can contribute to *NSD2* overexpression (Malgeri et al., 2000). *NSD2* is a member of the HKMTs that catalyze the conversion of unmodified H3K36 to mono- and dimethylated states (Kuo et al., 2011). *NSD2* mutations were found to be enriched in ETV6-RUNX1 and TCF3-PBX1 sub-types of pediatric B-ALL, while no mutations were identified in 30 adult ALL samples. These were gain-of function mutations and their overexpression led to a global increase in H3K36me2, with subsequent decrease in H3K27me3. These results show that *NSD2* mutation may affect expression of a number of genes involved in normal lymphoid development.

In order to identify novel mutations in relapsed ALL, Mullighan and colleagues performed targeted resequencing of 300 genes in 23 matched relapse-diagnosis B-ALL pairs (Mullighan et al., 2011). The authors determined novel mutations in *CREBBP*, a gene encoding the transcriptional coactivator CREB binding protein with histone acetyltransferase activity. The overall frequencies of these mutations were 18.3% in relapse cases. However, high incidences of somatic *CREBBP* alterations (63%) were found in the high hyperdiploidy relapse cases. The majority of these mutations occurred in the HAT domain (Inthal et al., 2012). Mutations in other important epigenetic regulators such as *NCOR1* (nuclear corepressor complex), *EP300* (a paralog of *CREBBP*), *EZH2* (histone methyltransferase gene), and *CTCF* (zinc finger protein involved in histone modifications) were less frequently observed (Mullighan et al., 2011). Additionally, transcriptome sequencing has identified relapse-specific mutations in *CBX3* (encoding heterochromatin protein), *PRMT2* (gene encoding protein arginine methyltransferase 2), and *MIER3* (involved in chromatin binding); providing further evidence of aberrant epigenetic mechanisms that play a role at relapse (Meyer et al., 2013).

1.2 Alternative Splicing in B-ALL

1.2.1 Characteristics of Alternative Splicing Events in Cancer

Alternative splicing generates numerous protein isoforms through modifying mRNA precursors. This mechanism is highly regulated under normal conditions in order to generate proteomic diversity sufficient for the functional requirements of complex

tissues. While corrupted, cancer cells take advantage of this mechanism to generate abnormal proteins with added, deleted, or altered functional domains that contribute to carcinogenesis (Zhang & Manley, 2013). Cancer-specific alternative splicing includes all of the five main alternative splicing patterns observed in normal tissues: cassette exons, alternative 5' splice sites, alternative 3' splice sites, intron retention, and mutually exclusive exons. The most prevalent pattern is the cassette-type alternative exon, including skipping of one exon, skipping of multiple exons and/or exon inclusion. This alteration results into truncated RNA transcript that may not be translated into functional protein. Additionally, crucial protein domains may be excluded from protein structure that will lead to the inability to interact with variety of protein partners and the deregulation of signaling pathways. Alternative selection of 5' or 3' splice sites within exon sequences may lead to subtle changes in the coding sequence, and an additional layer of complexity arises with mutually exclusive alternative exons (Wang et al., 2015). Both mechanisms may lead to alteration of amino acid composition of the protein and an inability to perform its original function. Intron retention is positioned primarily in the untranslated regions (UTRs) (Galante, Sakabe, Kirschbaum-Slager, & de Souza, 2004) and has been associated with weaker splice sites, short intron length and the regulation of cis-regulatory elements (Sakabe & de Souza, 2007). Complex splicing patterns may affect gene expression as well and contribute to the diversity of protein isoforms. Specific examples for each of these alterations are described in Table 1.

1.2.2 Alternative splicing isoforms in B-ALL

There are several studies that investigated alternatively spliced (AS) transcripts in B-ALL. A transcript variant of Beclin 1 gene carrying a deletion of exon 11 has been discovered in human B-cell acute lymphoblastic leukemia cells (Niu et al., 2014). The alternative isoform was assessed by bioinformatics, immunoblotting and subcellular localization. The results showed that this variable transcript is generated by alternative 3' splicing, and its translational product displayed a reduced activity in induction of autophagy by starvation, indicating that the spliced isoform might function as a dominant negative modulator of autophagy and might play important roles in leukemogenesis.

In another study, expression levels of IKAROS have been measured in human bone marrow samples from patients with adult acute lymphoblastic leukemia (Nakase et al., 2000). Overexpression of the dominant negative isoform of IKAROS gene IK-6 was observed in 14 of 41 B-cell ALL patients by RT-PCR, and the results were confirmed by sequencing analysis and immunoblotting. Southern blotting analysis with *PstI* digestion revealed that those patients with the dominant negative isoform IK-6 might have small mutations in the IKAROS locus that may contribute to B-ALL through the dominant negative isoform IK-6.

Different AS variants of activation-induced cytidine deaminase (AID) gene have been identified among 61 adult BCR-ABL1⁺ ALL patients (Iacobucci et al., 2010). AID expression was detected in 36 patients (59%); it correlated with the BCR-ABL1 transcript levels and disappeared after treatment with tyrosine kinase inhibitors. Different AID

splice variants were identified: full-length isoform; AID Δ E4a, with a 30-bp deletion of exon 4; AID Δ E4, with exon 4 deletion; AIDins3, with the retention of intron 3; AID Δ E3-E4 isoform without deaminase activity. AID expression correlated with a higher number of copy number alterations identified in genome-wide analysis using a single-nucleotide polymorphism array. However, the expression of AID at diagnosis was not associated with a worse prognosis.

Alternative PAX5 splicing was observed in 49 out of 100 ALL patients, which comprises 62% of adult and 36% of pediatric ALL cases (Santoro et al., 2009). Different isoforms were detected: PAX5D2 was found in 29 patients, PAX5D8–9 in 14 patients; the novel PAX5D5 isoform was documented in six patients. These results suggest that altered PAX5 isoform expression may be involved in ALL pathogenesis.

1.3 Rationale for Thesis

To extend the integrated methylome and transcriptome analysis for B-ALL patients reported by Almamun and colleagues (2015), sixteen RNA-seq samples (eight B-ALL patients and eight healthy donors) have been analyzed with the edgeR package for the purpose of obtaining a set of statistically significant transcripts that are differentially expressed between these conditions. Some of the patient samples were excluded from analysis due to high proportion of reads aligned to 5'UTR region (around 80%) reducing the patient sample number to 8. Therefore, this analysis utilized an equal number of patient and control samples improving statistical robustness and providing increased power in determining the differences in variances and means for DE genes.

The Bioconductor package edgeR was utilized to identify DE transcripts due to its advantages over Cuffdiff. For example, edgeR normalizes RNA-seq data according to library size (trimmed mean of M-values, TMM method), while Cuffdiff software normalizes data according to previously annotated genes and their gene coordinates (fragments per kilobase of transcript per million mapped reads, FPKM method). In addition, edgeR and Cuffdiff differ in the calculation of mean and variance of gene expression values. The negative binomial model, implemented into Cuffdiff, assumes that there is no relationship between mean and variance of gene expression values in experimental and control groups. Contrarily, the edgeR algorithm “borrows” information about variances across multiple genes that undergo statistical testing, making this model more robust in determining a set of DE transcripts. Moreover, edgeR implements several modalities to perform statistical test depending on experimental design: the classic edgeR model utilizes Fisher’s exact test for pairwise comparisons, while the generalized linear model (GLM) is more suitable for multigroup experiments. Further, edgeR comprises of wide range of graphic functions that allow the researcher to visualize and plot RNA-seq data in addition to performing statistical tests, such as multidimensional scaling plots (MDS plot) or volcano plots. Finally, R code can be utilized to modify the functions in the edgeR package according to experimental demands. In sum, edgeR analysis has multiple advantages in comparison to Cuffdiff analysis and provides a superior analysis of transcriptome data. Currently, it is one of the best methodologies for RNA-Seq data analysis along with DESeq analysis.

It has been previously shown that alternative splicing is a hallmark of a variety of malignancies, including both solid and soft tissues cancers (Table 1). Prior to NGS, transcriptome-wide analysis of AS genes was limited due to the inability to generate primers (or hybridization probes) for regions, where novel alternative transcripts may be located. Currently, RNA-seq technology allows one to investigate not only differentially expressed (DE) genes across multiple groups, but also provides information about disease-specific gene isoforms. To identify a set of differentially spliced variants common across B-ALL patients, a custom Perl script was designed. This information may shed light on the functional implication of AS isoforms that may be involved in B-ALL pathogenesis.

Finally, to explore the potential role of DNA methylation in transcriptional regulation, an *in vitro* model for B-ALL – Nalm 6 cell line – was utilized. Prior to this study, Taylor and colleagues (2007) examined the relationship between methylation and expression for 10 genes using CpG island microarrays and observed more than a 10 fold increase in mRNA expression for two tumor suppressor genes (*DLC-1* and *DCC*) and also for *RPIB9* and *PCDHGA12* genes. In this study, the Nalm 6 cell line was treated with a demethylating agent followed by NGS analysis. Although *in vitro* models may not reflect the whole complexity of patient transcriptomes, they provide a means to explore potential functional mechanisms responsible for the aberrant transcript expression identified in our computational analysis.

1.4 Experimental Aims and Hypothesis

We hypothesize that DE genes, identified between B-ALL patients and healthy donors, are involved in the development and progression of this malignancy. Further, we hypothesize that leukemic cells will have unique splicing alterations that result in abnormal transcripts which promote the survival and uncontrolled proliferation of malignant cells. Our goal is to conduct genome-wide transcriptome analysis to identify a set of differentially expressed and spliced genes between B-ALL patients and healthy donors and to investigate the functional implications of these alterations using network-based analysis. In addition, a mechanistic study utilizing the Nalm 6 cell line was performed to explore if methylation influences alternative splicing of transcripts in B-ALL. To address our hypotheses the following project objectives were completed:

1. Perform edgeR analysis between B-ALL and healthy donor samples to determine a set of statistically significant DE genes.
2. Utilize Ingenuity Knowledge Base (KB) to annotate functions and enrichment of signaling pathways for DE genes.
3. Identify novel transcriptional regulators that control aberrant expression of genes involved in the development of B-ALL using Ingenuity pathway analysis (IPA).
4. Determine a set of common splicing isoforms for B-ALL patients using custom Perl script.
5. Perform a mechanistic study in the Nalm 6 cell line to explore the impact of DNA methylation upon common AS isoforms.

The complexity of a disease such as B-ALL provides many difficulties to determining diagnosis, prognosis, and appropriate treatment. To date a number of genetic abnormalities have been identified that contribute to B-ALL development but there are still many to be characterized. Therefore, a complete transcriptome analysis to identify DE genes is very important for better understanding B-ALL pathobiology. This research provides a characterization of aberrant gene expression patterns in B-ALL at the whole transcriptome scale in an attempt to improve diagnosis, prognostication and treatment of B-ALL patients in the future.

Chapter 2 RNA-Sequencing Analysis in B-cell Acute Lymphoblastic Leukemia Reveals Aberrant Gene Expression and Splicing Alterations

Abstract

Background: B-cell acute lymphoblastic leukemia (B-ALL) is a neoplasm of immature lymphoid progenitors and is the leading cause of cancer-related death in children. The majority of B-ALL cases are characterized by recurring structural chromosomal rearrangements that are crucial for triggering leukemogenesis, but do not explain all incidences of disease. Therefore, other molecular mechanisms, such as alternative splicing and epigenetic regulation may alter expression of transcripts that are associated with the development of B-ALL. To determine differentially expressed and spliced RNA transcripts in precursor B-cell acute lymphoblastic leukemia patients a high throughput RNA-seq analysis was performed.

Methods: Eight B-ALL patients and eight healthy donors were analyzed by RNA-seq analysis. Statistical testing was performed in edgeR. Each annotated gene was mapped to its corresponding gene object in the Ingenuity KB. Analysis of RNA-seq data for splicing alterations in B-ALL patients and healthy donors was performed with custom Perl script.

Results: Using edgeR analysis, 3877 DE genes between B-ALL patients and healthy donors based on TMM (trimmed mean of M-values) normalization method and false discovery rate, $FDR < 0.01$, logarithmically transformed fold changes, $\log_{2}FC > 2$) were identified. IPA revealed abnormal activation of ERBB2, TGFB1 and IL2 transcriptional factors that are crucial for maintaining proliferation and survival potential of leukemic

cells. B-ALL specific isoforms were observed for genes with roles in important canonical signaling pathways, such as oxidative phosphorylation and mitochondrial dysfunction. A mechanistic study with the Nalm 6 cell line revealed that some of these gene isoforms significantly change their expression upon 5-Aza treatment, suggesting that they may be epigenetically regulated in B-ALL.

Conclusion: Our data provide new insights and perspectives on the regulation of the transcriptome in B-ALL. In addition, we identified transcript isoforms and pathways that may play key roles in the pathogenesis of B-ALL. These results further our understanding of the transcriptional regulation associated with B-ALL development and will contribute to the development of novel strategies aimed towards improving diagnosis and managing patients with B-ALL.

Keywords: B-ALL, RNA-sequencing, differential gene expression, alternative splicing

Introduction

B-cell precursor acute lymphoblastic leukemia (B-ALL), a malignant disease of lymphoid progenitor cells, affects both children and adults, with peak prevalence between the ages of 2 and 5 years (Pui et al., 2008). A number of genetic alterations have been determined in B-ALL (Woo, Alberti, & Tirado, 2014); however, a complete understanding of pathogenic mechanisms underlying B-ALL development is still lacking. To identify genetic alterations in B-ALL, a wide range of methods have been applied including cytogenetic analysis (Mrózek, Harper, & Aplan, 2009), array comparative genomic hybridization (Dawson et al., 2011) and recently whole exome sequencing

(Lilljebjörn et al., 2012). The whole exome sequencing of B-ALL samples has also resulted in the identification of novel recurring mutations in *NRAS*, *KRAS*, *FLT3*, *CREBBP*, *XBPI*, *WHSC1*, and *UBA2* genes (Griffith et al., 2016; Lilljebjörn et al., 2012).

To study the whole transcriptome of cells, microarrays have been extensively used, and these studies have determined a number of DE genes (Ross et al., 2003). Unfortunately, microarray techniques have a number of limitations including, cross hybridization of transcripts, limitation in coverage, inability to resolve novel transcripts and falsely higher estimation of low abundance transcripts (Pawitan, Michiels, Koscielny, Gusnanto, & Ploner, 2005). With the development of massive parallel RNA-sequencing (RNA-seq) technology, there have been a growing number of genome-wide studies that have analyzed the complete transcriptome of cells in different malignancies (Eswaran et al., 2012), and non-malignant diseases (Twine, Janitz, Wilkins, & Janitz, 2011). Besides analyzing the expression level of genes, RNA-seq technology has the added advantage of analyzing expression at the exon level and provides detailed information about alternative splicing variations, novel transcripts, fusion genes, differential transcriptional start sites and genomic mutations (Wang et al., 2008). As all the RNA transcripts are being directly sequenced, this technology is ideally suited to study altered splicing patterns which is especially relevant in cancer cells (David & Manley, 2010).

In this study we performed RNA-seq analysis on B-ALL patient samples and healthy donor samples to determine transcriptome differences and splicing variations. A number of DE genes and novel isoforms were identified. These findings may facilitate

the identification of novel prognostic markers, therapeutic targets and altered signaling pathways in B-ALL.

Materials and Methods

Sample isolation and characterization

De-identified patient samples were obtained under full ethical approval of the Institutional Review Board at the University of Missouri. A total of 8 pre-B ALL patient samples were used for this study (Table 2). ALL patient samples contain at least 88% blasts. The age of patients varied between 17 month and 15 years. The blast cells were positive for CD19 and CD10 markers. A half of the B-ALL patients have normal karyotype and the rest of the patients have multiple chromosome abnormalities, including deletions, translocations and presence of derivative chromosome. Patient A19 had been identified with hyperdiploid genotype. Normal control pre-BI and pre-BII cells were isolated from 8 human umbilical cord blood samples as previously described (Almamun et al., 2013) and served as the control group. Briefly, mononuclear cells were isolated by density gradient centrifugation using Ficoll-Paque PLUS (GE Healthcare Bio-Sciences AB; cat. no. 17-1440-03) followed by depletion of all non B-cells with biotin conjugated antibodies cocktail and anti-biotin monoclonal antibodies conjugated to magnetic beads using human B cell Isolation Kit (MACS Miltenyi Biotec; order no. 130-093-660). Finally, the fluorescently labeled cells were sorted as pre-BI (CD19⁺/CD34⁻/CD45^{low}) and pre-BII (CD19⁺/CD34⁻/CD45^{med}). Transcriptomes were generated for precursor B-cells which include both pre-BI and pre-BII subsets. To obtain this population of cells,

purified B-cells were fluorescently labeled with antibodies against CD19 and IgM and precursor B-cells (CD19⁺/IgM⁻) were isolated by flow cytometry (Almamun et al., 2015).

RNA-seq and library preparation

RNA samples were also obtained from the pre-B ALL patients (8 samples) and from normal precursor B-cells isolated from HCB (8 samples). RNA sequencing libraries were constructed with the NEBNext® Ultra™ Directional RNA Library Prep Kit for Illumina® (New England Biolabs; cat. no. E7420) and sequenced on the Illumina HiSeq 2000 (1×100 bp reads) at the University of Missouri DNA Core Facility. All RNA-seq data were deposited in NCBI Sequence Read Archive (Accession SRP058414). (Almamun et al., 2015).

Primary processing and mapping of RNA-seq reads

100 bp single-end RNA-seq reads were obtained from Illumina HiSeq 2000 sequencing platform. Raw data files were generated in FASTQ format and adaptor sequences had been trimmed. RNA-seq data were processed using an in-house pipeline. The Fred quality score of RNA-seq reads was obtained by using the FastX-Toolkit v. 0.0.13 and the mean value for Fred base calling was 32, indicating a good-quality call in the 100 bp reads (Gordon and Hannon, unpublished). Reads were then processed and aligned to the UCSC *H. sapiens* reference genome (build hg19) using TopHat v1.3.3 (Trapnell, Pachter, & Salzberg, 2009).

Assembly of transcripts and differential expression

The aligned read BAM files were assembled into transcripts, their abundance estimated by Cufflinks v2.0.1 (Trapnell et al., 2012). Cufflinks uses the normalized RNA-seq fragment counts to measure the relative abundances of transcripts. The unit of measurement is fragments per kilobase of exon per million fragments mapped (FPKM). Confidence intervals for FPKM estimates were calculated using a Bayesian inference method. After assembly with Cufflinks, the output files were sent to Cuffmerge along with a reference annotation file. To produce count tables for edgeR analysis, HTSeq v0.6.1 software was utilized (Anders, Pul, & Huber, 2014). The count tables represent the total number of reads aligning to each gene (or other genomic locus). To normalize multiple samples for differential expression analysis, we applied calcNormFactors function in edgeR to find a set of scaling factors for the library sizes that minimize the log-fold changes between the samples for most genes. The default method for computing these scale factors uses a trimmed mean of M-values (TMM) between each pair of samples (Robinson & Oshlack, 2010). For cross-replicate dispersion estimation, a quantile-adjusted conditional maximum likelihood (qCML) method was used to calculate the likelihood by conditioning on the total counts for each tag, using pseudo counts after adjusting for library sizes. qCML common dispersion and tagwise dispersions were estimated using the estimateCommonDisp() and estimateTagwiseDisp() functions (Robinson, McCarthy, & Smyth, 2010). The expression testing was done at the level of transcripts and genes and pairwise comparisons of expression between B-ALL and normal samples. Only the comparisons with p-value and FDR less than 0.01 and

expression fold change greater than two fold in the edgeR output were regarded as showing significant differential expression.

Identification of common gene isoforms

To identify common gene isoforms for B-ALL patients, unique identifiers were assigned to each isoform using a custom Perl script. Briefly, after alignment to the reference hg19 human genome, each patient file was processed using the Cufflinks program and individual transcriptomes were assembled into corresponding transcripts.gtf files. Each transcripts.gtf file consists of eight columns: the first seven columns have standard GTF format, and the last column contains attributes. To create a unique identifier for each transcript the following information was extracted from transcripts.gtf files: transcript ID, chromosome number and exon coordinates. Then, intron coordinates were calculated for each transcript ID using a Perl script. Furthermore, chromosome number and intron coordinates were merged into unique identifier (for example: CUFF.59863.1 transcript has unique ID chr7:156629580-156685621:156626487-156629506:156619439-156626446:156589187-156619298). Then, FPKM values were extracted from the same transcripts.gtf files to obtain relative abundance for transcripts with unique IDs. Finally, identified transcripts were annotated with corresponding genes. PerlDBI module and MySQL queries were utilized to obtain a set of common unique transcripts with corresponding FPKM values. Overall, 338 common transcripts were identified in B-ALL patients. The corresponding FPKM values were extracted with further logarithmic transformation (base 2) and clustered using the R package

ComplexHeatmap (Gu et al., 2016). By agglomerative hierarchical cluster analysis, Euclidian distances have been determined for each pair of transcripts and plotted as a heatmap to visualize transcripts abundances for B-ALL patients.

Cell line treatment experiment

The pre-B ALL cell line Nalm 6 was grown in RPMI 1640 medium (Gibco®, ThermoFisher) supplemented with 10% fetal bovine serum, L-glutamine, and gentamicin. Cell culture treatments were conducted, as described previously with minor alterations (Taylor et al., 2007). Briefly, Nalm 6 cells were seeded at 3×10^6 cells/mL. Based on prior practice, 5-Aza was added at either a 0.3 or 0.4 $\mu\text{mol/L}$ final concentration with acetic acid as the vehicle and was incubated for 78 h, with new medium added every 24 h. Control cells were cultured with acetic acid alone. RNA from the cultured cells was extracted for use in NGS, using the AllPrep DNA/RNA Mini Kit (QIAGEN). High quality RNA was submitted to the University of Missouri DNA Core Facility for library generation using the TruSeq mRNA stranded library preparation kit (Illumina). Paired-end sequences (2 X 75) were generated by the University of Missouri DNA Core Facility using the Illumina HiSeq 2500 platform. Sequence files were generated in FASTQ format and processed as described for B-ALL patients and healthy donors.

Functional annotation of differentially expressed genes

QIAGEN's Ingenuity Pathway Analysis (IPA[®], QIAGEN Redwood City, CA www.qiagen.com/ingenuity) is a powerful analysis and search tool that uncovers the significance of omics data and identifies new targets or candidate biomarkers within the

context of biological systems. IPA was used to categorize genes that were differentially expressed between B-ALL patients and healthy donors. The analysis was run using the following setting in IPA: all defaults setting for the selection of dataset, 2 fold change cutoff, FDR = 0.001 and p-value = 0.001.

The functional analysis in IPA identified the biological functions that were most significant to the analyzed dataset. The significance value associated with functional analysis for a dataset is a measure of the likelihood that the association between a set of DE genes in our dataset and a given process or pathway is due to random chance. The smaller the p-value the less likely that the association is random and the more significant the association. In general, p-values less than 0.05 indicate a statistically significant, non-random association. The p-value is calculated using the right-tailed Fisher exact test. In this method, the p-value for a given function is calculated by considering a) the number of DE genes that participate in that function and b) the total number of genes that are known to be associated with that function in the Ingenuity KB. The more DE genes that are involved, the more likely the association is not due to random chance, and thus the more significant the p-value. Similarly, the larger the total number of DE genes known to be associated with the process, the greater the likelihood that an association is due to random chance, and the p-value accordingly becomes less significant. To sum up, the p-value identifies statistically significant over-representation of DE genes in a given process. Over-represented functional or pathway processes are processes which have more focus molecules than expected by chance.

Canonical pathway analysis identified the pathways from the Ingenuity KB that were most significant to the dataset. DE genes from the dataset that were associated with a canonical pathway in the Ingenuity KB were considered for the analysis. The significance of the association between the data set and the canonical pathway was measured in 2 ways: 1) a ratio of the DE genes that mapped to the pathway divided by the total number of genes that mapped to the canonical pathway; 2) an $FDR \leq 0.05$ to calculate a p-value determining the probability that the association between the DE genes and the signaling canonical pathway was explained by chance alone. A simple p-value was also considered and reported in the results.

The IPA upstream regulator analysis was also performed. This analysis is based on prior knowledge of expected effects between transcriptional regulators and their target genes stored in the Ingenuity KB. The analysis examines how many known targets of each transcription regulator are present in the provided dataset, and also compares their direction of change to what is expected from the literature in order to predict likely relevant transcriptional regulators. If the observed direction of change is mostly consistent with a particular activation state of the transcriptional regulator (“activated” or “inhibited”), then a prediction is made about that activation state. IPA’s definition of upstream transcriptional regulator is quite broad – any molecule that can affect the expression of other molecules, which means that upstream regulators can be almost any type of molecule, from TFs, to miRNAs, kinases, compound or drug.

For each potential transcriptional regulator (TR) two statistical measures, an overlap p-value and an activation z-score are computed. The overlap p-value calls likely upstream regulators based on significant overlap between dataset genes and known targets regulated by a transcriptional regulator. The activation z-score is used to infer likely activation states of upstream regulators based on comparison with a model that assigns random regulation directions. The purpose of the overlap p-value is to identify transcriptional regulators that are able to explain observed gene expression changes. The overlap p-value measures whether there is a statistically significant overlap between the dataset genes and the genes that are regulated by a transcriptional regulator. It is calculated using Fisher's exact test and significance is generally attributed to p-values < 0.01. Since the regulation direction ("activating" or "inhibiting") of an edge is not taken into account for the computation of overlap p-values the underlying network also includes findings without associated directional attributes, such as protein-DNA binding.

Results

Analysis of RNA-seq data

Normal precursor B-cells from 8 healthy donors (HCB11, HCB12, HCB13, HCB15, HCB16, HCB17, HCB18 and HCB19) and malignant precursor B-cells from 8 B-ALL patients (B-ALL18, B-ALL19, B-ALL20, B-ALL23, B-ALL24, B-ALL26, B-ALL30 and B-ALL36) were subjected to RNA single-end RNA-sequencing. The total number of raw reads in healthy (n = 8) and B-ALL (n = 8) samples ranged from 27 to 52 million reads, and 25 to 51 million reads, respectively (Supplemental Tables 1 and 2). To

assess the quality of mapping reads to the reference genome hg19, some key metrics were extracted from the TopHat2 output, and analyzed using the RNA-seq quality control package RseQC (Wang, Wang, & Li, 2012). The majority of reads (between 76 % and 89.5 %) were uniquely mapped to the reference genome sequence across all samples (Supplemental Tables 1 and 2). The mean mapping percentage for healthy donors and B-ALL patients was 88.9 % and 85.8 %. In addition 2.5% to 4.0% of the reads mapped to known splice junctions in healthy donors and B-ALL patients respectively (Supplemental Tables 3 and 4).

To further examine the read distribution, the uniquely mapped reads were assigned to: exon coding sequence (CDS), 5' and 3' untranslated regions (5'UTR and 3'UTR), introns and intergenic regions. In Figure 2, the distribution of mapped reads is shown across the samples. 28.2 % to 55.0 % of reads mapped to exon coding sequence, 3.0 % to 7.1 % mapped to 5'UTR while 9 % to 19.5 % mapped to 3'UTR. The introns and intergenic regions account for about 30.5 % and 10.1 %, respectively (Supplemental Tables 5 and 6). To further visualize the read distribution percentages in healthy donors and B-ALL patients, mapping data from Figure 2 was averaged and plotted as a pie chart (Figure 3). The exonic reads (CDS) were higher in B-ALL patients (~51%) as compared to healthy donors (~31%) while intronic reads were higher in the healthy donors (~43%), compared to B-ALL patients (18%). The high number of reads mapping to introns have been reported in other RNA-seq analysis (Kapranov et al., 2011) and could be due to

novel exons, or nascent transcription and co-transcriptional splicing as described by Ameer and colleagues.

Analysis of differentially expressed genes

To determine the DE genes between B-ALL patients and healthy donors an edgeR analysis was performed. For this purpose we used the “classic” edgeR model that employs Fisher’s exact test for identifying DE genes. After filtering DE genes with a $FDR < 0.01$, $p\text{-value} < 0.01$ and $\logFC > 2$, there were 3877 DE genes between B-ALL patients and healthy donors. Among these genes, 2601 were upregulated in B-ALL and 1276 genes were downregulated. The top twenty upregulated and twenty downregulated genes are listed in Table 3.

Treatment of a pre-B ALL cell line with a demethylating agent reverses expression of alternatively spliced isoforms *in vitro*

Because alternative isoform usage have been shown to be associated with aberrant DNA methylation in cancer (Bujko et al., 2016), a pre-B ALL cell line Nalm 6 was treated with a demethylating agent (5-aza-2'-deoxycytidine, 5-Aza) and RNA-seq was performed. Differential gene expression was calculated between Nalm 6 samples and healthy donor’s samples using edgeR package for each of the 338 common transcripts in B-ALL patients identified by custom Perl script (see section “analysis of differentially expressed genes”). Three pairwise comparisons have been examined: B-ALL versus healthy donors, Nalm 6 (untreated) versus healthy donors and Nalm6 (treated with 5-

Aza) versus healthy donors. Nalm 6 cells treated with 5-aza have higher expression values in comparison to untreated Nalm 6 cells, as expected after treatment with demethylating agent. While analyzing expression values for 338 common transcripts, 295 transcripts have been identified in all three pairwise comparisons, 275 transcripts among them met criteria $p\text{-value} < 0.05$ and 78 common transcripts among them have $\log\text{FC} > 2$. Interestingly, we identified 19 common transcripts that have shown significant increase in expression after 5-Aza treatment (Table 4). The associated gene ontology terms for these genes are presented in Table 5.

Functional pathway analysis

Several top bio functions were identified by IPA, including cellular growth and proliferation ($1.65\text{E-}05$ - $8.80\text{E-}28$), cell death and survival ($1.34\text{E-}05$ - $6.55\text{E-}21$), cellular movement ($1.47\text{E-}05$ - $5.00\text{E-}20$), cellular development ($1.65\text{E-}05$ - $6.55\text{E-}18$) and cell cycle ($1.63\text{E-}05$ - $9.22\text{E-}13$). The cellular growth and proliferation category describes functions associated with cell expansion and propagation, such as proliferation and outgrowth of cells. This category included 1351 genes, including syndecan 2 (*SDC2*), CD2 molecule (*CD2*), MAM domain-containing protein 1 (*MDGA2*) and Wnt Family Member 10A (*WNT10A*). The cellular development category describes functions associated with the development and differentiation of cells, including maturation and senescence of cells. This category consisted of 1164 genes, including neuritin 1 (*NRN1*), kinesin family member 26A (*KIF26A*), intelectin 1 (*ITLN1*) and uroplakin 2 (*UPK2*) genes. The cell death and survival category (represented by 1155 genes including

baculoviral IAP repeat containing 7 (*BIRC7*), Fc fragment of IgG receptor IIIa (*FCGR3A*), calcium/calmodulin dependent protein kinase II alpha (*CAMK2A*) and nephrin (*NPHS1*) describes functions associated with cellular death and survival, such as cytolysis, necrosis, apoptosis and recovery of cells. The cellular movement category (represented by 812 genes, including prostaglandin D2 receptor (*PTGDR*), semaphorin 3F (*SEMA3F*) and natriuretic peptide B (*NPPB*)) describes functions associated with movement and localization of cells, including chemotaxis, infiltration, rearrangement, and transmigration of cells. These functions were primarily up-regulated among B-ALL patients.

The IPA software reported several significant canonical pathways, including protein kinase A signaling (p-value $\leq 1.55E-06$), interferon signaling (p-value $\leq 3.26E-03$), cyclins and cell cycle regulation (p-value $\leq 2.20E-03$), phospholipase C signaling (p-value $\leq 1.56E-03$) and cell cycle control of chromosomal replication (p-value $\leq 4.39E-05$). The result from this part of functional analysis is reported in Table 6. In addition, identified common gene isoforms for B-ALL patients associated with oxidative phosphorylation (p-value $\leq 4.58E-13$) and mitochondrial dysfunction pathways (p-value $\leq 4.04E-11$).

The upstream regulatory analysis performed by IPA predicted regulators based on the consistency of expression direction changes for DE genes within each pathway. The most important regulators identified in this analysis were Erb-B2 receptor tyrosine kinase 2 (*ERBB2*), transforming growth factor beta 1 (*TGFB1*) (Figure 5), interleukin-2 (*IL2*),

tumor protein P53 (TP53) and cyclin dependent kinase inhibitor 1A (CDKN1A). ERBB2, TGFB1 and IL2 were predicted to be activated in B-ALL group. For TP53 and CDKN1A it was not possible to infer their activation or inactivation based upon DE gene set.

Discussion

On average, more than 38 million unique mapped RNA-seq reads were generated providing genome-wide coverage of the transcriptome in eight pediatric B-ALL patients. Importantly, these profiles were compared to healthy precursor B-cells isolated from umbilical cord blood, the normal counterparts of malignant precursor B-cells to identify DE genes. Previous studies in B-ALL have shown an inverse correlation between DNA methylation and gene expression in CpG islands and gene promoters (Busche et al., 2013); however more than 80% of DMRs are located in intronic or intergenic regions (Almamun et al, 2015). The novelty of our study is to investigate how DNA methylation affects alternatively expressed and spliced transcripts unique to B-ALL patients. Since DNA methylation can be used as a biomarkers and as a target for novel therapeutics, we sought to identify B-ALL specific alternate transcript candidates that were the most likely to be regulated by DNA methylation.

The edgeR analysis identified DE genes involved in immune regulation and provide survival advantage to cancer cells. For example, a member of the IAP family of apoptosis inhibitors *BIRC7* was top upregulated gene in B-ALL group. This gene had also been overexpressed 25-fold in ETV6-RUNX1 (also known as TEL-AML1) leukemia

(Ross et al., 2003). The top downregulated gene in B-ALL group – *CAMK2A* has been identified as distinctive protein kinase gene at ALL1/AF4 subgroup of adult B-cell acute lymphoblastic leukemia patients (Messina et al., 2010). The product of this gene belongs to the serine/threonine protein kinases family and is involved in calcium signaling. Several novel upregulated genes, including *FAM19A5* (chemokine regulation), *PTGDR* (prostaglandin D receptor activity), *GIMAP6* (regulation of cell survival), *FCN1* (antigen binding activities) and *GZMA* (regulator of apoptosis) also involved in regulation of immune system and cell death. Interestingly, *TSHZ3* gene may play role in epigenetic regulation, because TSHZ3-mediated transcription repression involves the recruitment of histone deacetylases HDAC1 and HDAC2. Furthermore, several novel downregulated genes have been annotated with immune response and signal transduction categories, including *ITLNI* (IL-7 signaling pathway regulator), *CD244* (adaptive immune response regulator) and *ORM1* (immunosuppression process). Moreover, downregulation of *TNS4* gene may disrupt the link between signal transduction pathways and cytoskeleton, which results into apoptosis inhibition. Taken together, these genes may contribute to the immune dysfunction of B-cells and disrupt proper differentiation of B-cells.

Many of biological functions reported by IPA are likely related to the malignant phenotype of cancer cells. The top functional category – cellular growth and proliferation had been comprised of 1351 DE gene, which highlight abnormal propagation of leukemic cells. The cell transformation category (Figure 6) involved upregulated genes, such as *CD4* (regulator of N-RAS pathway), *E2F1* (control of cell cycle), *MYB* (proto-oncogene),

RUNX1 (enhancer activity), *VEGFA* (growth factor activity), *AURKA* and *AURKB* (kinase activity) and downregulated genes *HES1* (transcription factor activity) and *IRF4* (regulator of B-cell receptor pathway). Similarly, proliferation of cancer cells (Figure 7) involved upregulated genes, such as *BIRC5* (negative regulator of apoptosis), *CXCL8* (angiogenic factor), *IL1B* (cell differentiation regulator), *NOTCH1* (transcription factor activity) and downregulated *IL6* (regulator of B-cell maturation) and *IFNG* (cytokine activity) genes. In summary, the B-ALL expression profiles included the upregulation of genes involved in cell proliferation and the downregulation of genes involved in B-cell maturation.

The upstream regulatory analysis performed by IPA, which seeks to identify the upstream transcriptional regulatory cascades that are likely to elucidate the observed changes in gene expression may shed some light on the biological activities that occur in leukemic cells. This analysis predicted the top upstream regulators to include *TGFB1* which was predicted to be activated in B-ALL group (Figure 5). The transforming growth factor- β (TGF- β) signaling pathway is an essential regulator of cellular processes, including proliferation, differentiation, migration, and cell survival. During hematopoiesis, the TGF- β signaling pathway is a potent negative regulator of proliferation while stimulating differentiation and apoptosis when appropriate. However, in hematologic malignancies, including leukemias, resistance to the homeostatic effects of TGF- β develops. Mechanisms for this resistance include mutation or deletion of

members of the TGF- β signaling pathway and disruption of the pathway by oncoproteins (Dong & Blobel, 2006).

Protein kinase A signaling was the top canonical pathway based on DE genes between B-ALL patients and healthy donors. Protein kinase A (PKA), as cAMP-dependent protein kinase, mediates signal transduction of G-protein coupled receptors through its activation upon cAMP binding. It is involved in the control of a wide variety of cellular processes from metabolism to ion channel activation, cell growth and differentiation, gene expression and apoptosis. Importantly, since it has been implicated in the initiation and progression of many tumors, PKA has been proposed as a novel biomarker for cancer detection, and as a potential molecular target for cancer therapy (Sapio et al., 2014).

The process of generating novel cancer-specific isoforms leads to structural changes in coding regions and consequently, alter functionality of the resulting proteins. It is crucial to distinguish isoforms that are generated due to natural transcriptomic dynamics from the ones that occur in malignant cells. Perhaps the most intriguing finding of this study was the identification of common AS transcripts for the B-ALL cohort. By custom Perl script we elucidate 338 common gene isoforms that may play role in oxidative phosphorylation and mitochondrial dysfunction pathways. Cancer cells prefer glycolysis over oxidative phosphorylation to fulfill their energy demand, suggesting that they have adapted to survive and proliferate in the absence of fully functional mitochondria. In addition to that, dysfunctional mitochondria cannot neutralize effect

from reactive forms of oxygen (ROS), which may lead to oxidative stress inside cells and alter crucial cellular processes, including regulation of gene transcription and alternative splicing. Thus, leukemic cells may generate abnormal proteins with added, deleted, or altered functional domains that contribute to pathogenesis of B-ALL.

Furthermore, the mechanistic study utilizing the Nalm 6 cell line revealed that nineteen common gene isoforms significantly change their expression level after 5-Aza treatment. Five genes among them – *TK1*, *SNN*, *PLCG2*, *CYTIP* and *SDF2L1* – showed consistent gene expression patterns in both comparisons: B-ALL versus healthy donors and Nalm 6 versus healthy donors. *TK1*, *SNN*, *PLCG2* and *CYTIP* genes were downregulated in B-ALL and Nalm 6 groups, while *SDF2L1* gene was upregulated. Interestingly, *SNN* downregulation has been shown in monocytic cell populations in chronic lymphocytic leukemia patients (Maffei et al., 2013) and might be regulated by the $\text{TNF}\alpha$ -PKC ϵ signaling pathway, which implies a role for *SNN* in cell death and cell cycle regulation (Billingsley et al., 2006). In addition, downregulation of the *PLCG2* gene may alter B-cell receptor signaling and lead to the disruption of the B-cell maturation process (Ramsay & Rodriguez-Justo, 2013). Surprisingly, we do not observe upregulation of *TK1* gene, which is a well-known marker for ALL patient response to therapy and reflects the aggressiveness of leukemic cells (O'Neill, Zhang, Li, Fuja, & Murray, 2007). *CYTIP* upregulation was also reported in metastatic renal cancer (Vanharanta et al., 2013), but it is not consistent with our findings for B-ALL patients. To

sum up, a mechanistic study with the Nalm 6 cell line, suggests that some of the common gene isoforms may undergo epigenetic regulation in B-ALL.

Conclusions

The main strength of RNA sequencing data is that besides providing expression analysis it can be further mined for a number of other genetic abnormalities, including splicing alterations, fusion transcripts, alternate transcription start sites, point mutations, novel transcripts, fusion genes that will provide novel insights in B-ALL. Our data provide new insides and perspectives on the transcriptome regulation in B-ALL. We identified transcript isoforms and pathways that play key role in pathogenesis of B-ALL. These results improve our understanding of the transcriptional regulation underplaying B-ALL development and will help develop strategies for better diagnosis and managing patients with B-ALL in the future.

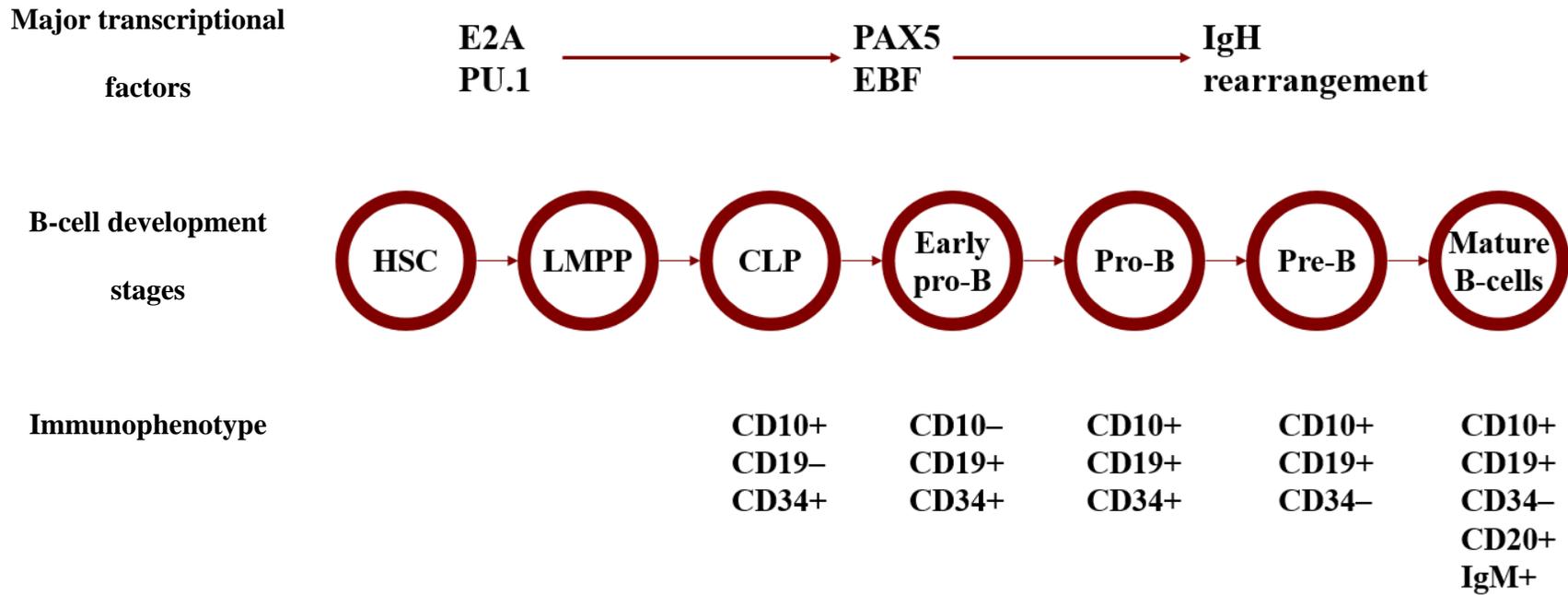


Figure 1. Schematic diagram of B-cell development stages, immunophenotype and major transcription factors (from Zhou et al. with changes, 2008). HSC – hematopoietic stem cell, LMPP – lymphoid multipotent progenitor, CLP – common lymphoid progenitor.

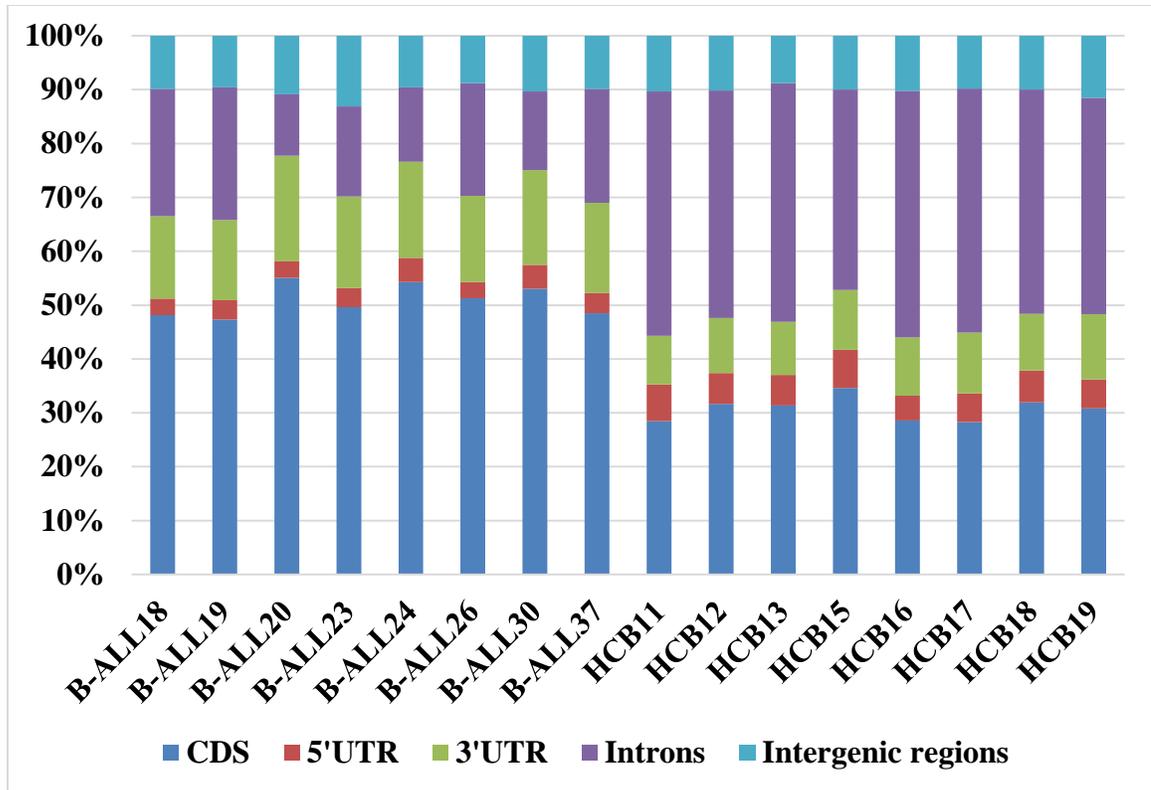


Figure 2. Bar diagram represents distribution of uniquely mapped reads to human genome UCSC hg19 (GRCh37). Each bar depicts the percentage of reads from individual samples (8 B-ALL patients and 8 healthy donors) mapped to coding sequence exon (CDS), 5' and 3' untranslated regions (5' and 3'UTR), introns and intergenic regions.

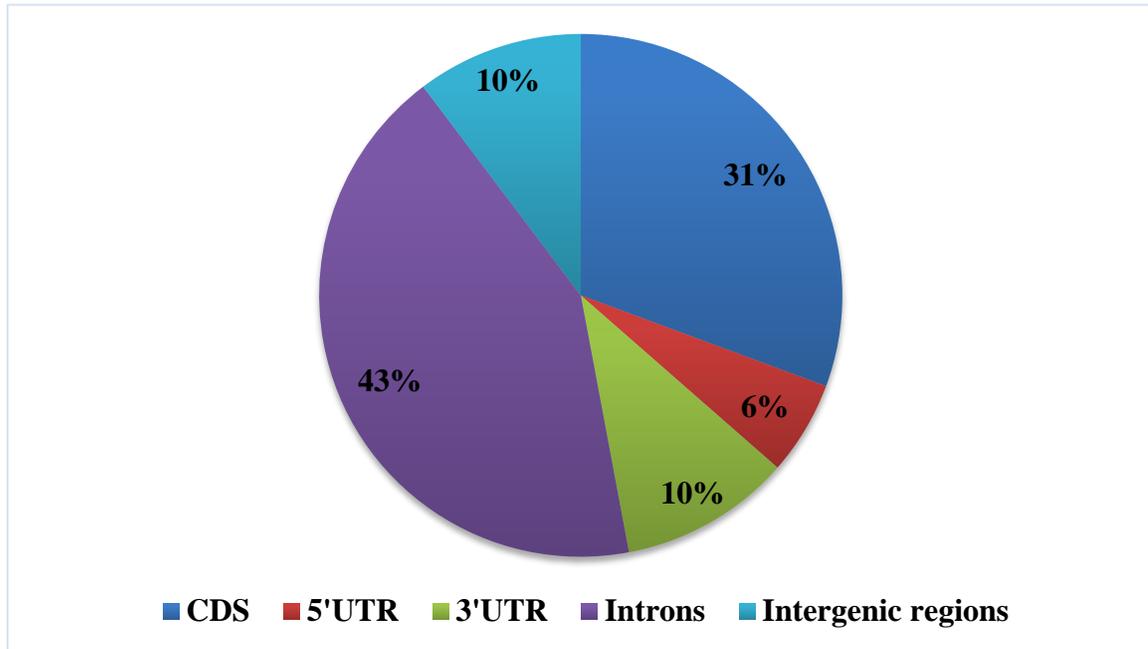
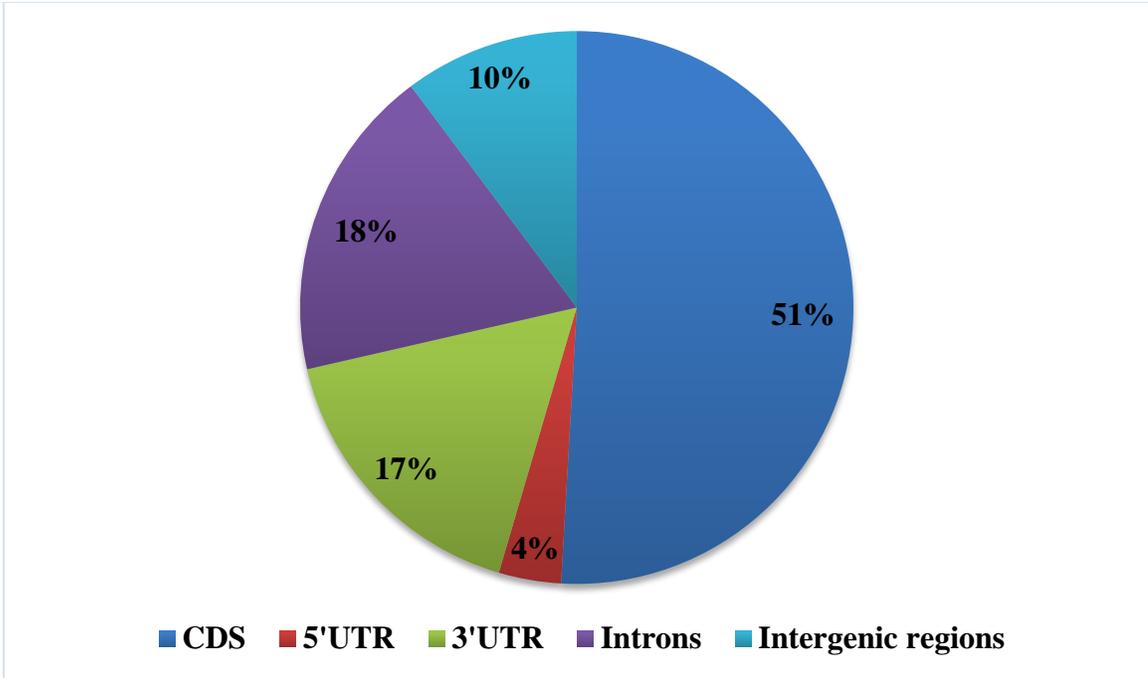


Figure 3. Average percentage of sequencing reads from 8 B-ALL (top) and 8 healthy donors (bottom) that map to coding sequence exon (CDS), 5' and 3' untranslated regions (5' and 3'UTR), introns and intergenic regions.

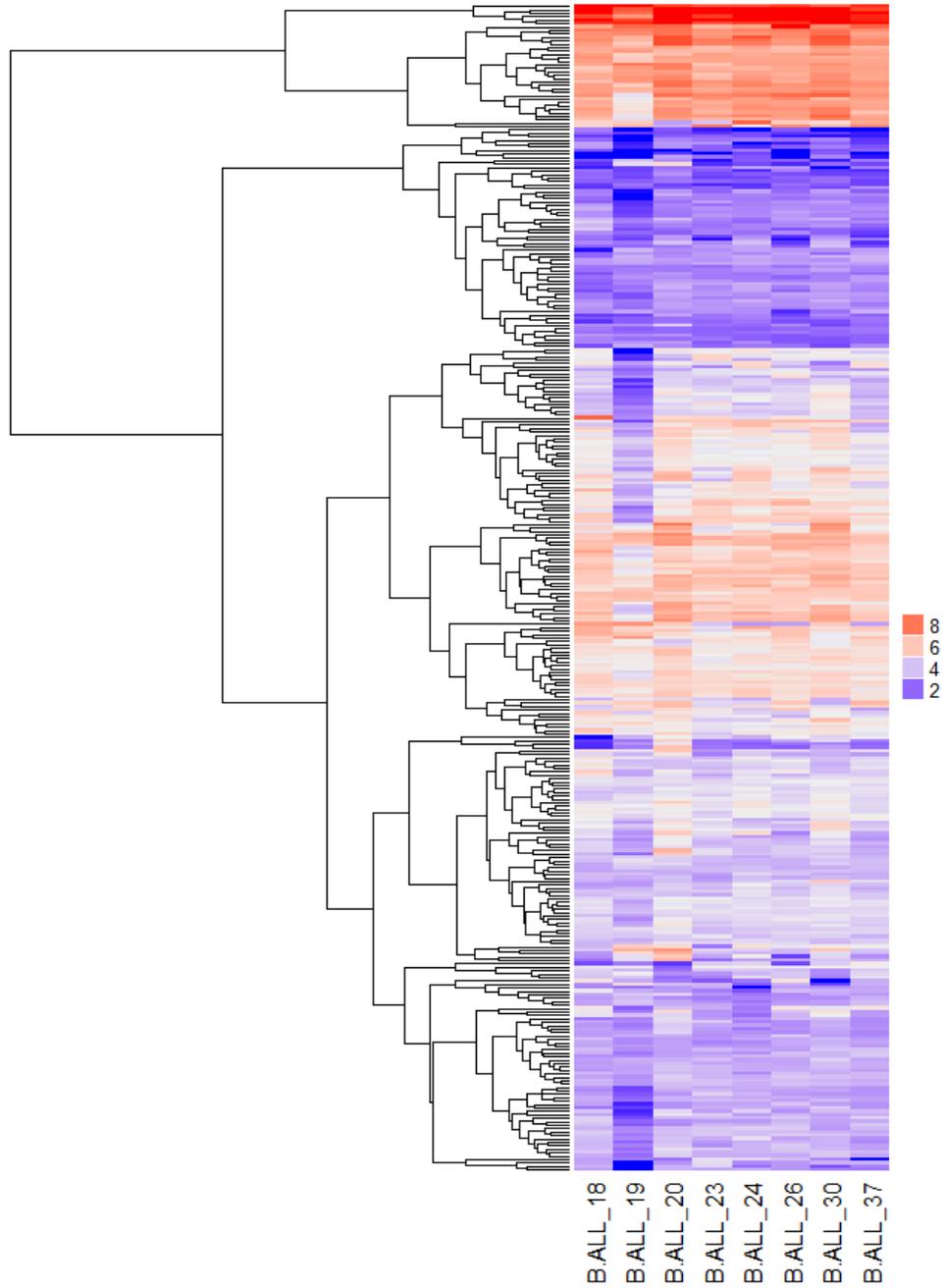


Figure 4. The heatmap representing common gene isoforms for B-ALL patients identified by custom Perl script. The heatmap representing common gene isoforms for B-ALL patients identified by custom Perl script. High-abundance transcripts in B-ALL patients represented in red. Low-abundance transcripts in B-ALL patients represented in blue. The intensity of color is related to level of transcripts abundances.

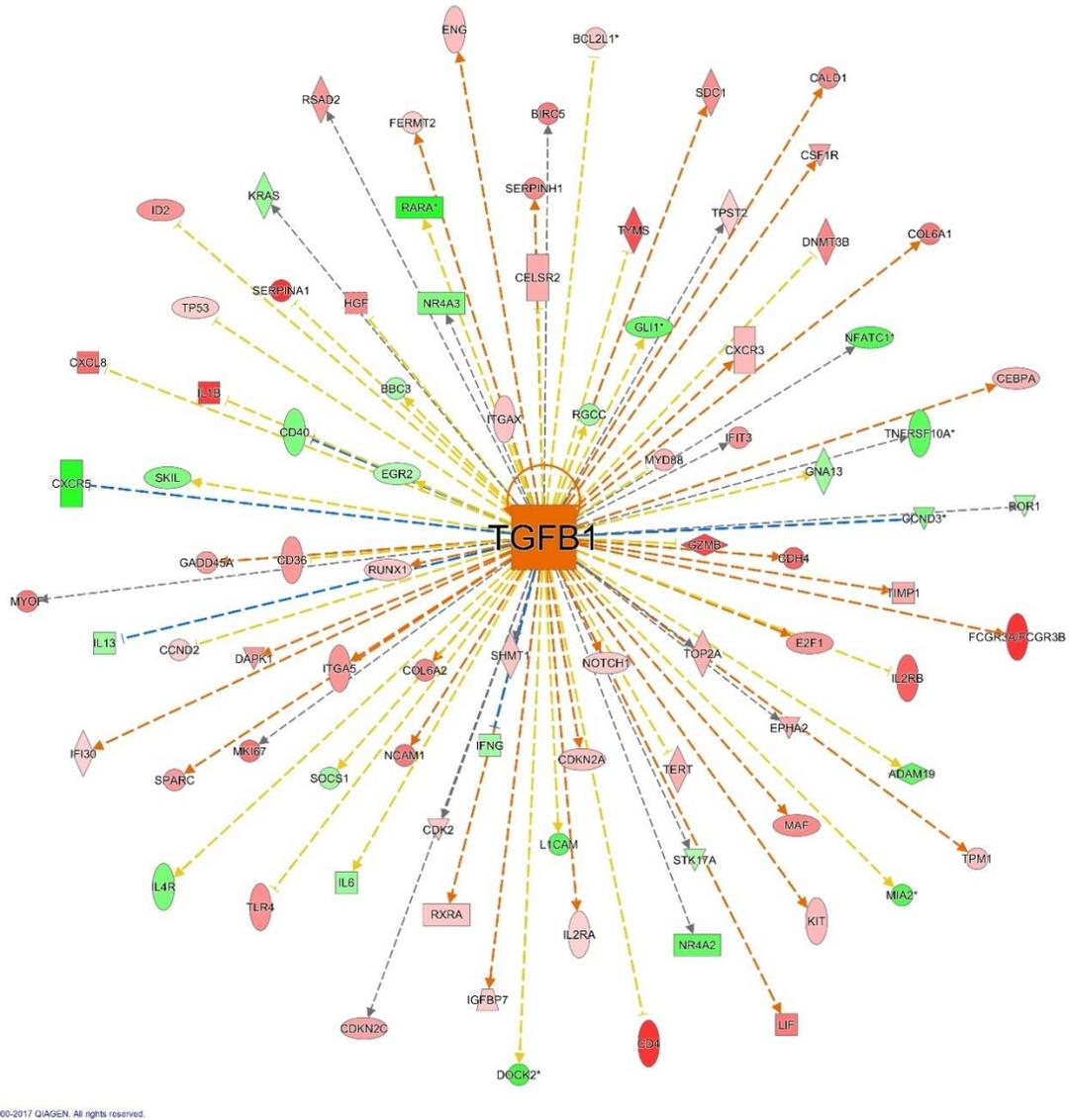
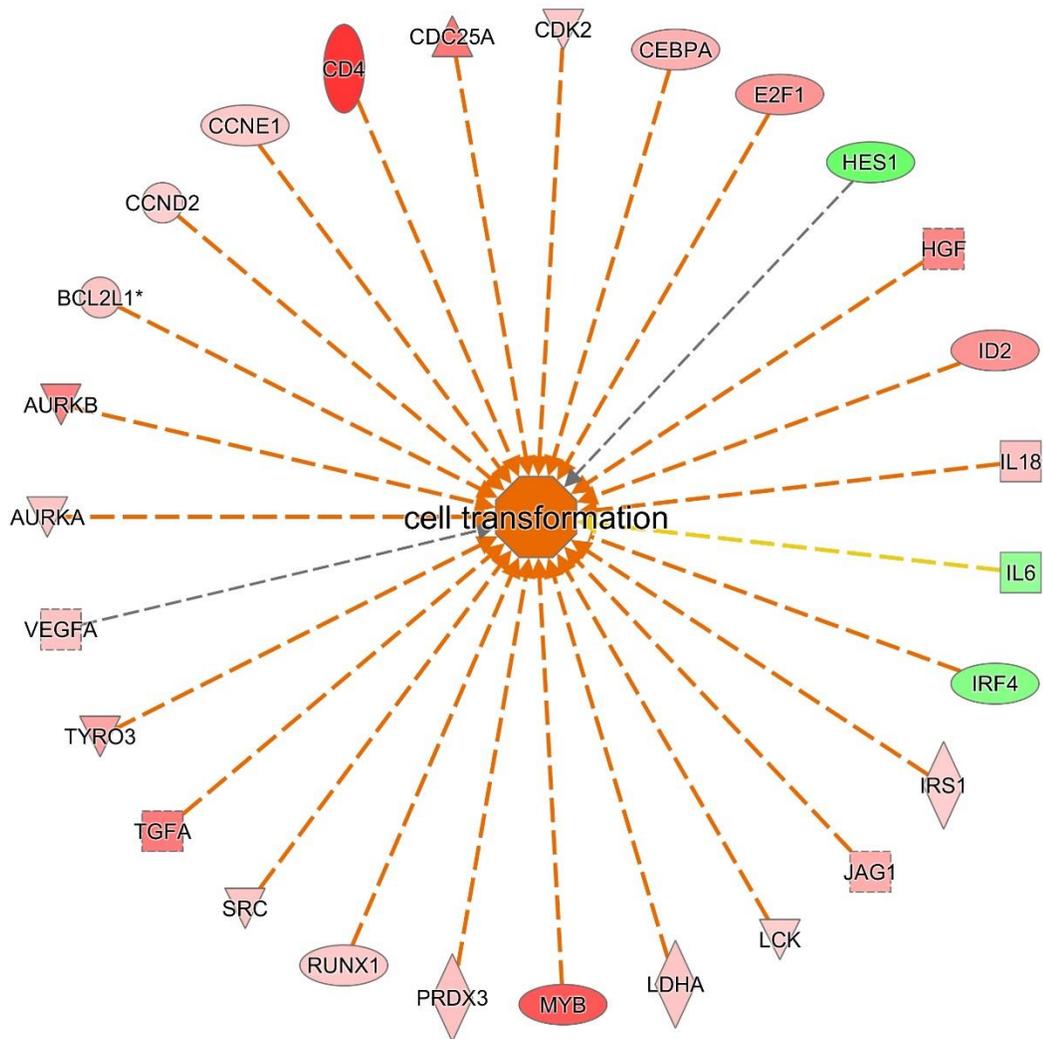


Figure 5. The mechanistic network of the inferred upstream regulator TGFB1. Genes presented in red are related to genes that up-regulated in B-ALL dataset. The mechanistic network of the inferred upstream regulator TGFB1. Genes presented in red are related to genes that up-regulated in B-ALL dataset. Genes presented in green are related to genes that down-regulated in B-ALL. The intensity of the colors is related to fold change estimates. Arrows presented in orange, gray and yellow indicate activation, effect not predicted and inconsistency, respectively.



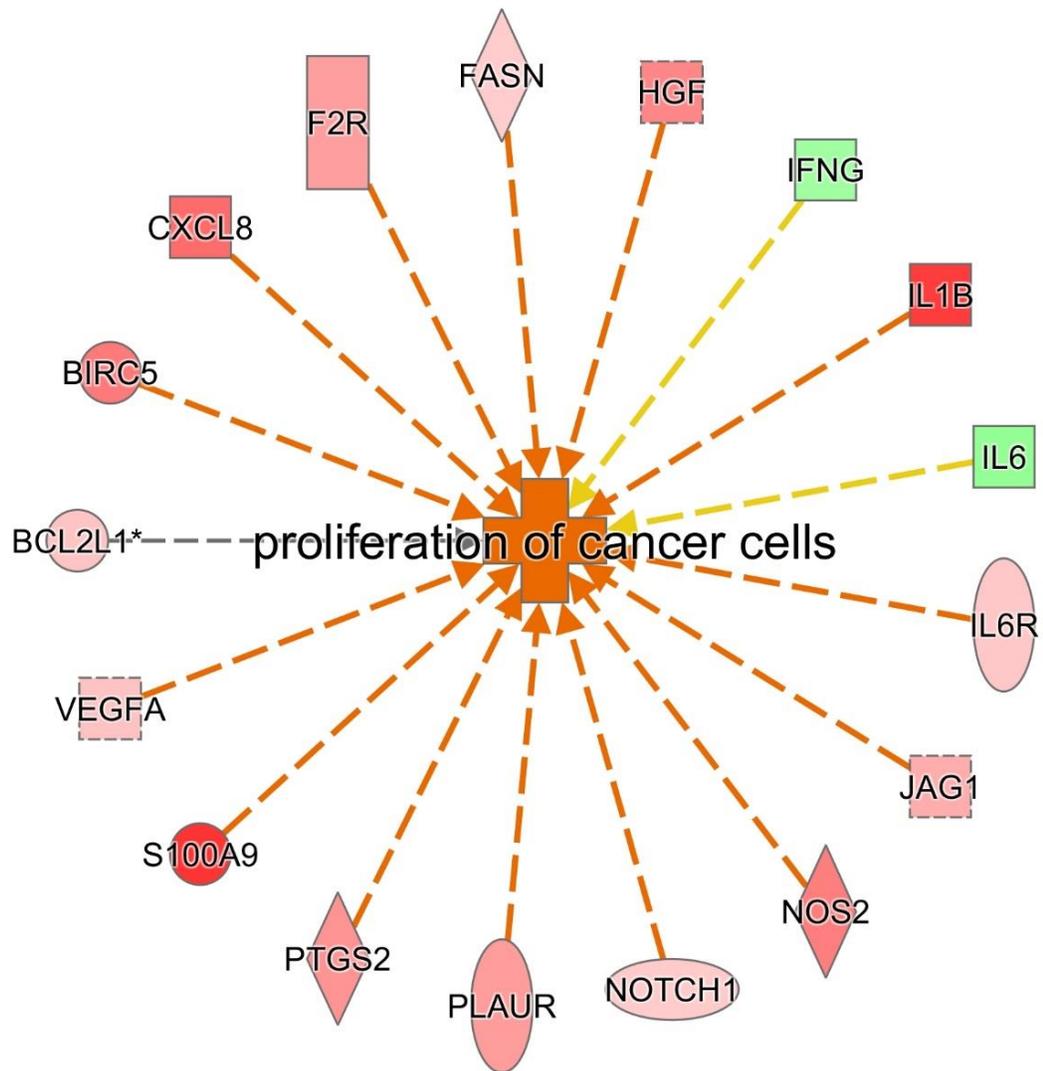
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Figure 6. The differentially expressed gene network with function in cell transformation.

Genes represented in red are upregulated in B-ALL group. The differentially expressed gene network with function in cell transformation. Genes represented in red are

upregulated in B-ALL group. Genes presented in green are downregulated in B-ALL.

The intensity of the colors is related to fold change estimates. Arrows presented in orange, gray and yellow indicate activation, effect not predicted and inconsistency, respectively.



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Figure 7. The differentially expressed gene network with function in proliferation of cancer cells. The differentially expressed gene network with function in proliferation of cancer cells. Genes represented in red are upregulated in B-ALL group. Genes presented in green are downregulated in B-ALL. The intensity of the colors is related to fold change estimates. Arrows presented in orange, gray and yellow indicate activation, effect not predicted and inconsistency, respectively.

Table 1

Alternative splicing events in cancer

| Type of splicing | Gene | Spliced isoform | Type of cancer | Citation |
|---|---------------|---|---|--|
| Cassette exons (skipping one exon) | <i>RON</i> | Δ RON (lacks exon 11) | Breast and colon tumors | Ghigna et al., 2005 |
| Cassette exons (skipping on multiple exons) | <i>BRAF</i> | Skipping of exon 4-8 in BRAFV600E | Melanoma | Poulikakos et al., 2011 |
| Cassette exons (exon inclusion) | <i>SYK</i> | SYK(L) includes exon 9 | T-cell lymphomas, chronic leukemias, head and neck carcinomas | Feldman et al. 2008; Buchner et al., 2009; Luangdilok et al., 2007 |
| Alternative 5' splice sites | <i>BCL2L1</i> | BCL-XL | Hepatocellular carcinoma, colorectal cancer | Takehara et al., 2001; Scherr et al., 2016 |
| Alternative 3' splice sites | <i>VEGF</i> | VEGFxxx | Osteosarcoma | Kaya et al., 2000 |
| Intron retention | <i>HER2</i> | Herstatin (results from intron 8 retention) and p100 (results from intron 15 retention) | Breast cancer | Jackson et al., 2013 |
| Mutually exclusive exons | <i>ACTN1</i> | Mutially exclusive exons - 19a and 19b | Colon cancer | Gardina et al., 2006 |

Complex splicing patterns

MDM2

more than 40 different splice
variants

Breast carcinoma, ovarian and
bladder cancers, glioblastoma

Bartel et al., 2002

Table 2

Patient characteristics

| Patient ID | Blast rate (%) | Age (month) | WBC, 10 ³ /μl | Sex | Immunophenotype | Cytogenetics |
|------------|----------------|-------------|--------------------------|-----|-----------------|---|
| A18 | 97 | 17 | 4.3 | F | 19;10 | 46, XX-15der(1) t(1;?),del(6)(q21),t mar |
| A19 | 88 | 36 | 3.7 | M | 19;10 | hyperdiploidy |
| A20 | 92 | 120 | 3.6 | M | 19;10 | 46, XY |
| A23 | 96 | 180 | 2.3 | M | 19;10 | 46, XY del(6)(q21;q27) |
| A24 | 94 | 108 | 3.7 | M | 19;10 | 45, -7 -9 +der(9) t(8;9)(q112;p11) |
| A26 | 91 | 48 | 4.3 | M | 19;10 | 47, XY |
| A30 | 94 | 24 | 3.7 | F | 19;10;20wk | 46, XX |
| A36 | 91 | 72 | 2.7 | F | 19;10;20 | 46, XX |

Table 3

Top twenty upregulated and down-regulated genes in B-ALL patients versus healthy donors

| Upregulated genes | | | | Downregulated genes | | | |
|-------------------|--|-------------|--------------|---------------------|---|--------------|--------------|
| Gene | Description | logFC | FDR | Gene | Description | logFC | FDR |
| BIRC7 | baculoviral IAP repeat containing 7 | 12.58774792 | 1.79E- 22 | CAMK2A | calcium/calmodulin dependent protein kinase II alpha | -11.33305197 | 1.35E- 31 |
| FAM69C | family with sequence similarity 69 member C | 12.57422628 | 7.50E- 32 | CDH22 | cadherin 22 | -10.80719677 | 8.20E- 65 |
| NOL4 | nucleolar protein 4 | 12.42793145 | 3.68E- 17 | ARSI | arylsulfatase family member I | -9.368798926 | 7.94E- 16 |
| NRN1 | neuritin 1 | 12.21760376 | 7.21E- 23 | APLP1 | amyloid beta precursor like protein 1 | -8.874598807 | 1.50E- 38 |
| NKAIN4 | Sodium/potassium transporting ATPase interacting 4 | 12.07076779 | 7.33E- 20 | ITLN1 | intelectin 1 | -8.322284896 | 1.56E- 54 |
| PTGDR | prostaglandin D2 receptor | 11.78288729 | 4.21E- 51 | WNT10A | Wnt family member 10A | -7.92759092 | 1.96E- 24 |
| SDC2 | syndecan 2 | 11.71967708 | 4.55E- 35 | NPHS1 | NPHS1, nephrin | -7.712620956 | 1.06E- 36 |
| BMP2 | bone morphogenetic protein 2 | 11.63391213 | 3.27E- 26 | CELA2A | chymotrypsin like elastase family member 2A | -7.115075267 | 2.05E- 34 |
| CD2 | CD2 molecule | 11.60059176 | 2.56E- 21 | CLLU1OS | chronic lymphocytic leukemia up- regulated 1 opposite strand | -7.106790442 | 1.13E- 07 |

| | | | | | | | |
|---------|---|-------------|--------------|---------|---|--------------|--------------|
| RGMA | repulsive guidance molecule family member a | 11.55426857 | 1.82E- 23 | UPK2 | uroplakin 2 | -6.924019587 | 6.40E- 28 |
| FCN1 | ficolin 1 | 11.52363369 | 1.08E- 17 | CD244 | CD244 molecule | -6.80438577 | 2.65E- 32 |
| GIMAP6 | GTPase, IMAP family member 6 | 11.27208145 | 3.85E- 50 | SEMA3F | semaphorin 3F | -6.785844561 | 7.67E- 38 |
| CLIC5 | chloride intracellular channel 5 | 11.26816477 | 5.10E- 21 | NPPB | natriuretic peptide B | -6.77218967 | 3.03E- 30 |
| MDGA2 | MAM domain containing glycosylphosphatidylinositol anchor 2 | 11.22689521 | 2.85E- 20 | ORM1 | orosomuroid 1 | -6.756404377 | 6.00E- 50 |
| FAM19A5 | family with sequence similarity 19 member A5, C- C motif chemokine like | 11.22294095 | 8.46E- 21 | CHADL | chondroadherin like | -6.740609261 | 2.00E- 45 |
| FCGR3A | Fc fragment of IgG receptor IIIa | 11.181703 | 1.07E- 17 | TRPC5 | transient receptor potential cation channel subfamily C member 5 | -6.732986733 | 8.19E- 36 |
| LOXHD1 | lipoxygenase homology domains 1 | 11.11395696 | 4.14E- 18 | LRRC18 | leucine rich repeat containing 18 | -6.641037404 | 3.59E- 27 |
| KIF26A | kinesin family member 26A | 11.0719285 | 6.04E- 50 | SLC36A3 | solute carrier family 36 member 3 | -6.519546438 | 1.76E- 28 |
| GZMA | granzyme A | 10.91223894 | 2.40E- 18 | ODF3L1 | outer dense fiber of sperm tails 3 like 1 | -6.379525998 | 4.72E- 28 |
| TSHZ3 | teashirt zinc finger homeobox 3 | 10.86466044 | 1.60E- 15 | TNS4 | tensin 4 | -6.355462382 | 1.15E- 37 |

Table 4

Common transcripts that affected by DNA methylation

| Gene name | logFC (untreated Nalm 6) | logFC (treated Nalm 6) | logFC (B-ALL patients) |
|-----------|--------------------------|------------------------|------------------------|
| CYTIP | -11.86241096 | -10.58620701 | -3.320701712 |
| TK1 | -16.6756522 | -15.03359745 | -2.395212117 |
| PLCG2 | -16.38554599 | -15.1004864 | -2.32810002 |
| SNN | -10.95532228 | -9.653917529 | -2.110926604 |
| PRDX5 | -9.712780965 | -8.174896096 | 2.038034986 |
| COX8A | -5.530015836 | -4.317455614 | 2.063655717 |
| UBQLN4 | -10.21190755 | -8.729855393 | 2.066030835 |
| RNF181 | -3.72386729 | -2.09967035 | 2.115850312 |
| TEX261 | -9.351320334 | -8.003411693 | 2.141855285 |
| OSTC | -11.92871196 | -8.737987284 | 2.254406726 |
| DAD1 | -9.46691059 | -5.870977984 | 2.261850469 |
| PITPNC1 | -5.764856109 | -4.208504433 | 2.458969425 |
| LDHA | -13.23369912 | -10.34328818 | 2.669660908 |
| SDF2L1 | 2.551866594 | 3.711258169 | 2.671980184 |
| IDH2 | -6.207710831 | -3.276596892 | 3.081108162 |
| GAPDH | -11.06919991 | -9.238478066 | 3.301257076 |

| | | | |
|--------|--------------|--------------|-------------|
| S100A6 | -8.971244012 | -5.824001533 | 3.612659686 |
| ISG15 | -9.14616871 | -4.3756427 | 3.698909038 |
| PRDX1 | -11.30244531 | -7.869383782 | 4.400595351 |

Table 5

Gene ontology terms for common transcripts that affected by DNA methylation

| Gene name | GO term |
|-----------|---|
| CYTIP | protein binding |
| TK1 | thymidine kinase activity |
| PLCG2 | signal transducer activity and phosphatidylinositol phospholipase C activity |
| SNN | endosomal maturation |
| PRDX5 | receptor binding and protein dimerization activity |
| COX8A | cytochrome-c oxidase activity |
| UBQLN4 | identical protein binding and damaged DNA binding |
| SUMO2 | poly(A) RNA binding and SUMO transferase activity |
| RNF181 | ligase activity and ubiquitin-protein transferase activity |
| TEX261 | COPII adaptor activity |
| OSTC | dolichyl-diphosphooligosaccharide-protein glycotransferase activity |
| DAD1 | dolichyl-diphosphooligosaccharide-protein glycotransferase activity and oligosaccharyl transferase activity |
| PITPNC1 | lipid binding and phosphatidylinositol transporter activity |
| LDHA | oxidoreductase activity and L-lactate dehydrogenase activity |
| SDF2L1 | chaperone binding and misfolded protein binding |
| IDH2 | magnesium ion binding and oxidoreductase activity, acting on the CH-OH group of donors, NAD or NADP as acceptor |
| GAPDH | identical protein binding and NAD binding |
| S100A6 | calcium ion binding and calcium-dependent protein binding |

ISG15

protein tag

PRDX1

poly(A) RNA binding and identical protein binding

Table 6

Top canonical pathways identified by IPA

| Pathway | P-value | Overlap |
|---|----------|------------------|
| Protein kinase A signaling | 1.55E-06 | 28.4 % (105/370) |
| Interferon signaling | 3.26E-03 | 38.8 % (14/36) |
| Cyclins and cell cycle regulation | 2.20E-03 | 32.5 % (25/77) |
| Phospholipase C signaling | 1.56E-03 | 26.7 % (58/217) |
| Cell cycle control of chromosomal replication | 4.39E-05 | 47.4 % (18/38) |

GENERAL DISCUSSION

Alternative splicing plays a crucial role in numerous cellular and developmental processes (Chen & Manley, 2009). In recent years, alternative splicing has been recognized as a mechanism involved in many human disorders, including cancer (Singh & Cooper, 2012). Changes in splicing patterns occur widely in cancer cells and has been shown to be associated with resistance to therapeutic treatments (David & Manley, 2010).

Despite decades of leukemia research, there is still a need for reliable cancer biomarkers for B-ALL diagnostics. The majority of pediatric B-ALL cases harbor gross numerical and structural chromosomal alterations, but they do not explain all incidences of disease. Therefore other molecular mechanisms likely contribute to B-ALL development, including alternative splicing. RNA-seq analysis allows one to effectively and efficiently evaluate the entire transcriptome by analyzing aberrant transcriptional patterns and splicing alterations that are crucial for B-ALL pathogenesis. In combination with pathway analysis, alternatively spliced transcripts may help better understand the molecular basis of post-transcriptional gene regulation in the context of B-ALL.

Here, we employed a pathway-centered approach that allows one to characterize the functional implications of differentially expressed and alternately spliced RNA transcripts in pediatric B-ALL patients. A custom Perl script was designed to obtain a set of common gene isoforms across individual B-ALL patients along with their corresponding transcript abundances. The functional annotation and enrichment analyses in IPA identified aberrant activation of cancer-related signaling pathways and transcriptional regulators associated with a B-ALL malignant phenotype, such as ERBB2, TGFB1 and IL2. A distinctive feature of the common

gene isoforms which were identified, is their implication in oxidative phosphorylation and mitochondrial dysfunction pathways. It has been shown, that mitochondrial damage modulates alternative splicing in neuronal cells leading to changes in the abundance of certain isoforms (Maracchioni et al., 2007). Therefore, mitochondrial dysfunction, a notable feature of cancer, may also be the mechanism underlying the changes in alternative splicing patterns observed in B-ALL patients.

Future directions for our research will integrate these findings with whole-genome DNA methylation studies on B-ALL patients previously analyzed in our research group. Furthermore, the leukemia-associated alternative splicing variants identified in this study may be utilized as novel tools for the diagnosis and classification of leukemias and could also be the targets for innovative therapeutical interventions based on highly selective splicing correction approaches.

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APPENDIX

| | Healthy donors | | | | | | | |
|-----------------------|----------------|------------|------------|------------|------------|------------|------------|------------|
| | HC B11 | HC B12 | HC B13 | HC B15 | HC B16 | HC B17 | HC B18 | HC B19 |
| Raw reads | 45370007 | 52383718 | 50788574 | 38083710 | 37026414 | 38475933 | 27610245 | 44975265 |
| Total Reads aligned | 40,061,716 | 44,788,079 | 43,627,385 | 32,333,070 | 33,434,852 | 35,013,099 | 25,263,374 | 42,006,898 |
| Reads QC failed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Optical/PCR duplicate | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Non Primary Hits | 5,779,454 | 6,237,085 | 5,977,381 | 4,304,285 | 4,249,987 | 4,238,068 | 3,088,355 | 5,334,181 |
| Unmapped reads | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Multiple mapped reads | 2,087,020 | 2,200,116 | 2,082,332 | 1,675,683 | 1,407,004 | 1,423,610 | 1,021,579 | 1,754,035 |
| Uniquely mapped | 37,974,696 | 42,587,963 | 41,545,054 | 30,657,387 | 32,027,848 | 33,589,490 | 24,241,795 | 40,252,862 |
| % Uniquely mapped | 83.7 | 81.3 | 81.8 | 80.5 | 86.5 | 87.3 | 87.8 | 89.5 |
| Read-1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Read-2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Reads map to '+' | 15,638,595 | 17,838,159 | 17,606,893 | 12,770,649 | 13,607,785 | 14,201,953 | 10,145,281 | 16,880,920 |
| Reads map to '-' | 18,966,339 | 21,061,997 | 20,303,875 | 15,277,217 | 15,688,415 | 16,501,251 | 11,904,178 | 19,862,999 |
| Non-splice reads | 31,228,571 | 34,479,087 | 33,782,113 | 24,502,591 | 26,414,387 | 27,618,743 | 19,577,648 | 32,658,679 |
| Splice reads | 3,376,363 | 4,421,069 | 4,128,655 | 3,545,275 | 2,881,813 | 3,084,461 | 2,471,811 | 4,085,240 |

Supplementary Table 1

| | B-ALL patients | | | | | | | |
|-----------------------|----------------|------------|------------|------------|------------|------------|------------|------------|
| | B-ALL20 | B-ALL23 | B-ALL24 | B-ALL26 | B-ALL30 | B-ALL37 | B-ALL18 | B-ALL19 |
| Raw reads | 50032958 | 54614253 | 56376631 | 42722037 | 52718310 | 63454534 | 42183675 | 53607546 |
| Total Reads aligned | 42,528,014 | 47,186,715 | 46,567,097 | 36,826,396 | 42,438,240 | 51,905,809 | 36,362,328 | 45,191,161 |
| Reads QC failed | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Optical/PCR duplicate | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Non Primary Hits | 5,019,186 | 6,256,098 | 5,684,306 | 5,044,960 | 5,000,916 | 6,601,771 | 4629827 | 5,119,497 |
| Unmapped reads | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Multiple mapped reads | 2,301,516 | 2,785,327 | 2,480,572 | 2,007,936 | 2,372,324 | 3,045,818 | 1,856,082 | 2,358,732 |
| Uniquely mapped | 40,226,498 | 44,401,388 | 44,086,525 | 34,818,460 | 40,065,916 | 48,859,991 | 34,506,246 | 42,832,429 |
| % Uniquely mapped | 80.4 | 81.3 | 78.2 | 81.5 | 76 | 77 | 81.8 | 79.9 |
| Read-1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Read-2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Reads map to '+' | 18,450,843 | 20,359,828 | 20,270,776 | 15,929,453 | 18,472,492 | 22,521,427 | 15807483 | 19,730,537 |
| Reads map to '-' | 18,314,087 | 20,250,024 | 20,167,337 | 15,897,181 | 18,351,714 | 22,401,926 | 15770496 | 19,602,882 |
| Non-splice reads | 27,604,708 | 32,109,365 | 30,959,479 | 24,861,531 | 28,199,870 | 35,917,416 | 25273538 | 31,629,680 |
| Splice reads | 9,160,222 | 8,500,487 | 9,478,634 | 6,965,103 | 8,624,336 | 9,005,937 | 6304441 | 7,703,739 |

Supplementary Table 2

| | Healthy donors | | | | | | | |
|----------------------------------|----------------|-----------|-----------|-----------|-----------|-----------|----------|----------|
| | HCB11 | HCB12 | HCB13 | HCB15 | HCB16 | HCB17 | HCB18 | HCB19 |
| Total splicing events | 3589751 | 4699749 | 4389391 | 3772446 | 3064685 | 3279562 | 2626804 | 4351674 |
| Known splicing events | 3404878 | 4424894 | 4166434 | 3584675 | 2895880 | 3096573 | 2497134 | 4141947 |
| % Known splicing events | 94.849977 | 94.151709 | 94.920548 | 95.022566 | 94.49193 | 94.420322 | 95.06358 | 95.18054 |
| Partial novel splicing events | 130700 | 190516 | 155412 | 135738 | 121659 | 134038 | 96866 | 149544 |
| % Partial novel splicing events | 3.6409210 | 4.0537484 | 3.5406278 | 3.598143 | 3.9697065 | 4.0870702 | 3.687599 | 3.436470 |
| Novel splicing events | 53286 | 83273 | 66588 | 51459 | 46477 | 48421 | 32362 | 59159 |
| % Novel splicing events | 1.4843926 | 1.7718605 | 1.5170213 | 1.3640752 | 1.5165343 | 1.4764472 | 1.231991 | 1.359453 |
| Total splicing junctions | 138558 | 153466 | 149824 | 134191 | 127733 | 133619 | 124285 | 138593 |
| Known splicing junctions | 109887 | 115208 | 115759 | 106629 | 101652 | 104470 | 101095 | 109554 |
| %Known splicing junctions | 0.27429429 | 0.2572291 | 0.2653356 | 0.3297831 | 0.3040301 | 0.298374 | 0.400164 | 0.2608 |
| Partial novel splicing junctions | 21469 | 28066 | 25157 | 20874 | 19566 | 21695 | 17600 | 21281 |
| Novel splicing junctions | 7202 | 10192 | 8908 | 6688 | 6515 | 7454 | 5590 | 7758 |

Supplementary Table 3

| | B-ALL patients | | | | | | | |
|----------------------------------|----------------|------------|------------|------------|------------|------------|-----------|-----------|
| | B-ALL20 | B-ALL23 | B-ALL24 | B-ALL26 | B-ALL30 | B-ALL37 | B-ALL18 | B-ALL19 |
| Total splicing events | 9851538 | 9144385 | 10181969 | 7488233 | 9277409 | 9675573 | 6784995 | 8263922 |
| Known splicing events | 9385328 | 8712925 | 9745204 | 7115059 | 8893958 | 9190657 | 6384977 | 7783632 |
| % Known splicing events | 95.267642 | 95.2816947 | 95.710407 | 95.016528 | 95.866831 | 94.9882451 | 94.104373 | 94.188110 |
| Partial novel splicing events | 289698 | 286133 | 298921 | 249899 | 264558 | 333204 | 263620 | 338216 |
| % Partial novel splicing events | 2.9406372 | 3.12905679 | 2.9357878 | 3.3372225 | 2.8516367 | 3.44376504 | 3.8853381 | 4.0926814 |
| Novel splicing events | 175497 | 144090 | 136867 | 122425 | 117416 | 150800 | 135572 | 141449 |
| % Novel splicing events | 1.7814172 | 1.57572106 | 1.3442096 | 1.6348984 | 1.265612 | 1.55856403 | 1.9981149 | 1.7116449 |
| Total splicing junctions | 189195 | 193244 | 188890 | 190806 | 171837 | 202554 | 192485 | 201765 |
| Known splicing junctions | 143697 | 141811 | 140489 | 141994 | 132994 | 144514 | 137141 | 143879 |
| %Known splicing junctions | 0.3378878 | 0.30053162 | 0.30169155 | 0.38557669 | 0.31338246 | 0.27841585 | 0.3771513 | 0.3183786 |
| Partial novel splicing junctions | 31236 | 35723 | 34680 | 35192 | 27770 | 42664 | 40063 | 44575 |
| Novel splicing junctions | 14262 | 15710 | 13721 | 13620 | 11073 | 15376 | 15281 | 13311 |

Supplementary Table 4

| Group feature | Number of reads | | | | | | | |
|---------------|-----------------|------------|------------|-----------|-----------|-----------|-----------|-----------|
| | HCB11 | HCB12 | HCB13 | HCB15 | HCB16 | HCB17 | HCB18 | HCB19 |
| CDS_Exons | 11530604 | 14581064 | 14007722 | 11639516 | 9755829 | 10127011 | 8275239 | 13354468 |
| % CDS_Exons | 28.44807942 | 31.6562045 | 31.3933102 | 34.599826 | 28.6016 | 28.278345 | 31.92736 | 30.84546 |
| 5'UTR_Exons | 2767789 | 2630653 | 2525129 | 2392435 | 1571717 | 1909197 | 1540451 | 2311559 |
| % 5'UTR_Exons | 6.828634588 | 5.71127658 | 5.65917556 | 7.1117935 | 4.607873 | 5.3311813 | 5.9433369 | 5.3391196 |
| 3'UTR_Exons | 3651463 | 4705182 | 4404389 | 3723887 | 3693864 | 4040499 | 2719995 | 5260576 |
| % 3'UTR_Exons | 9.008817703 | 10.2151807 | 9.87086623 | 11.069691 | 10.829466 | 11.282562 | 10.49423 | 12.150607 |
| Introns | 18400918 | 19451595 | 19768486 | 12532754 | 15610704 | 16223904 | 10792210 | 17367931 |
| % Introns | 45.39838301 | 42.230366 | 44.3040069 | 37.25508 | 45.766599 | 45.303116 | 41.638287 | 40.11555 |
| % Intergenic | 10.31608528 | 10.1869723 | 8.77264109 | 9.9636095 | 10.194462 | 9.8047956 | 9.9967869 | 11.549264 |
| Total Tags | 40532100 | 46060683 | 44620086 | 33640389 | 34109382 | 35811894 | 25918958 | 43294760 |

Supplementary Table 5

| Group feature | Number of reads | | | | | | | |
|---------------|-----------------|------------|-----------|-----------|-----------|------------|-----------|-----------|
| | B-ALL20 | B-ALL23 | B-ALL24 | B-ALL26 | B-ALL30 | B-ALL37 | B-ALL18 | B-ALL19 |
| CDS_Exons | 27045723 | 26161597 | 28923849 | 21298072 | 25760423 | 28015015 | 19459838 | 23753628 |
| % CDS_Exons | 55.0504871 | 49.5986743 | 54.293404 | 51.290235 | 53.053297 | 48.4858147 | 48.130223 | 47.289245 |
| 5'UTR_Exons | 1517741 | 1882774 | 2396034 | 1250153 | 2159858 | 2189243 | 1257368 | 1826434 |
| % 5'UTR_Exons | 3.08930108 | 3.56947225 | 4.4976325 | 3.0106312 | 4.4482029 | 3.7889407 | 3.1098615 | 3.6361049 |
| 3'UTR_Exons | 9624804 | 8968305 | 9510804 | 6632308 | 8544238 | 9673994 | 6174012 | 7487293 |
| % 3'UTR_Exons | 19.5909035 | 17.0026333 | 17.852877 | 15.971992 | 17.596761 | 16.7428602 | 15.270249 | 14.905867 |
| Introns | 5610895 | 8816111 | 7334080 | 8702890 | 7075890 | 12207271 | 9557997 | 12372353 |
| % Introns | 11.4207523 | 16.714095 | 13.766915 | 20.958389 | 14.572715 | 21.1272234 | 23.639895 | 24.631152 |
| % Intergenic | 10.848556 | 13.1151251 | 9.5891711 | 8.7687534 | 10.329025 | 9.85516102 | 9.8497713 | 9.53763 |
| Total Tags | 49128944 | 52746565 | 53273228 | 41524614 | 48555744 | 57779817 | 40431639 | 50230508 |

Supplementary Table 6

VITA

Olha Kholod was born on May 19th, 1993 in Kyiv, Ukraine. Olha grew up with her parents Volodymyr (Father) and Halyna (Mother) and her elder sister Mariia. Olha attended Taras Shevchenko National University of Kyiv during 2010-2016. In June, 2014, Olha graduated with a bachelor degree in Biology. In June, 2016, Olha graduated with master degree in Genetics from the same institution. In May, 2015, Olha awarded Fulbright Graduate Scholarship. In August 2015, Olha came to the University of Missouri, Columbia and joined Dr. Taylor's laboratory to pursue a Master of Science degree in Pathology. After she completes her M.S. degree in May 2017, Olha will continue her post-academic training in Dr. Nathan Sheffield's laboratory at University of Virginia in Charlottesville.