

1 **Characterization of the Genetic Landscape of Feline Oral Squamous**

2 **Cell Carcinoma**

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11 By

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17 examined the thesis entitled

18
19 CHARACTERIZATION OF THE GENETIC LANDSCAPE OF
20 FELINE ORAL SQUAMOUS CELL CARCINOMA

21
22 Presented by Alana R. Rodney
23 A candidate for the degree MASTER OF SCIENCE
24 We hereby certify that in our opinion it is worthy of acceptance

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LIST OF ABBREVIATIONS

- WGS: Whole Genome Sequencing**
- WES: Whole Exome Sequencing**
- FeLV: Feline Leukemia Virus**
- FIV: Feline Immunotherapy Virus**
- STS: Soft Tissue Sarcoma**
- FeSV: Feline Sarcoma Virus**
- FMC: Feline Mammary Cancer**
- ISS: Injection Site Sarcoma spelling?**
- FOSCC: Feline Oral Squamous Cell Carcinoma**
- HNSCC: Human Head and Neck Squamous Cell Carcinoma**
- PKD: Polycystic Kidney Disease**
- ADPKD: Autosomal Dominant Polycystic Kidney Disease**
- TMB: Tumor Mutational Burden**

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ABSTRACT

139 Over 94 million domestic cats are susceptible to cancers and other common and rare diseases.
140 While cancer treatment in cats increasingly mirrors that available in humans, treatment failures
141 are more frequent. There are no FDA or USDA approved cancer drugs for cats and a paucity of
142 cancer treatments beyond surgery, radiotherapy, and cytotoxic chemotherapy indicate an urgent
143 need to define the molecular properties of aggressive feline cancers including common cancers
144 such as soft tissue sarcoma, mammary carcinoma, lymphoma, and feline oral squamous cell
145 carcinoma. Whole exome sequencing (WES) is a proven strategy to study these disease-causing
146 variants in humans and other organisms. To examine the effectiveness of WES in the study of
147 feline cancer and Mendelian diseases, whole exome sequencing was conducted on 41 cats with
148 known and unknown genetic diseases and traits, of which ten cats had matching whole genome
149 sequence (WGS) data available, used to validate WES performance. Within the 41 cats, we
150 identified 31 previously known causal variants and discovered new gene candidate variants,
151 including novel missense variance for polycystic kidney disease and atrichia in the Peterbald cat.
152 The WES data was sufficient to identify novel gene candidate alleles for diseases and traits in a
153 feline model. Feline oral squamous cell carcinoma (FOSCC) is a cancer of the squamous cell
154 lining in the oral cavity and represents up to 80% of all oral cancers in cats, with a low one-year
155 survival rate of <10%. The cancer pathology associated with feline oral squamous cell carcinoma
156 is similar to human head and neck squamous cell carcinoma (HNSCC), which accounts for 90%
157 of oral cancers in humans. FOSCC may present as a potential model to study HNSCC due to
158 spontaneous formation, similar genetic landscape, pathology, and survival rates. We have
159 generated single nucleotide variant calls using GATK-Mutect2 on six cats with FOSCC and have
160 fully annotated and identified driver genes in common with HNSCC. Due to low sample size, a

161 larger cohort is needed to draw stronger association of disease-causing traits. Our results show
162 some overlap in the genetic landscape of both cancers, with five samples have mutations in p53,
163 a common mutation in HNSCC, and two samples having four genes in common with HNSCC
164 each. Several samples with mutations in p53 and mutations in genes implicated in HNSCC
165 suggests that the domestic cat could be a viable model for HNSCC.

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CHAPTER 1

169

INTRODUCTION

170 **1. Introduction**

171 Today, at least 94 million cats reside in US households that account for 7.4 billion USD in
172 veterinary services^{1,2}. While companion animals, hereafter referred to as cats and dogs, share
173 environments, disease predispositions, risk factors and occurrence rates are distinct in differing
174 breeds, let alone species³. From a cancer perspective, there is a rather different profile of disease
175 in cats than dogs. A major complication to finding the origins of substantial differences are
176 companion animals are less likely to show symptoms until later stages, and without the ability to
177 articulate symptoms, leading to challenges in identifying the earlier stages of disease. Cats and
178 dogs both have a shorter average life span than humans, and a smaller window to study disease
179 progression presents an opportunity for identification of potential therapies that may be
180 successful in humans⁴. Dogs present with cancers of various types, including a higher incidence
181 than cats but no confirmed explanations why^{3,5}. For example, osteosarcoma is found at a rate of
182 72 to 126 cases reported per 10,000 dogs depending on the breed, compared to 4.9 cases per
183 100,000 cats^{6,7}.

184 The varying history between cat and dog domestication, with dogs having lower rates of
185 heterozygosity due to strict breeding practices, may offer some genetic or epigenetic
186 explanations for these large differences in cancer rates. Dog and cat domestication differ greatly,
187 with dog domestication events estimated around 15,000 to 30,000 years ago depending on the
188 study⁸, compared with cat domestication where available evidence estimated the domestication
189 period up to 9,000 years ago⁹. Genetic data shows dog domestication began in middle eastern

190 and east Asian grey wolves, with two periods of intense selection¹⁰. The first selection period
191 began approximately 10,000 years ago, where dogs were selected for docility and better
192 interactions with humans leading to ancient breeds, like the chow chow and New Guinea singing
193 dog that are significantly divergent from modern breeds¹⁰. Modern dog breeds are a result of a
194 second intense selection period in the Victorian era, 1830 - 1900, where dogs began to be bred
195 under the direction of breed clubs¹⁰. These practices resulted in a wide range of variations with
196 195 AKC registered breeds, and over 350 breeds known worldwide¹¹. Breeds vary in size,
197 skeletal and cranial proportion, and characteristics including sight, smell, and tendencies for
198 herding, swimming, aggression and laziness⁸. This wide divergence of dog breed phenotypic
199 diversity is largely due to the discrete fixation of DNA variants that have a large effect in
200 individual lineages, which are then crossed into other groups, followed by the selection of this
201 trait in the F2 generation^{8,10}. This intense artificial selection causes the haplotype where the
202 variants are fixed to rise in frequency that in combination with breeding of related individuals,
203 leads to reduced levels of genome-wide heterozygosity. In dogs, this inbreeding is likely an
204 important contributor to higher rates of specific diseases in some breeds versus others. For
205 example, a study evaluating degenerative myopathy in Collies found a heterozygous carrier rate
206 of 27.6% for a mutation in SOD1, which was implicated in disease progression in the small (less
207 than 100) populations of collies in Japan. This study highlights the risk of inbreeding on the
208 occurrence of degenerative myopathy¹². The genetic origins of these higher breed-specific
209 incidence rates, however, is understudied and often unknown.

210 The genetic history of the domestic cat is dramatically different to the dog. Cats were suggested
211 to cohabitate with humans in Cyprus around 9,000 years ago. Domestication is thought to be
212 mutualistic between humans and cats, where cats were first adopted to control rodents eating the

213 grains stored within agricultural villages near the Mediterranean fertile crescent ⁹. Today,
214 domestic cats are considered a subspecies of wildcats due to their morphological and genetic
215 similarities, and they are often visibly indistinguishable from wild cats, especially those cats with
216 a tabby coat¹³. Cats have fewer than 40 true breeds appearing in only the last 150 years,
217 compared to over 400 recognized dog breeds, and most domestic cats are categorized as random
218 bred, leading to lower rates of inbreeding in the general cat population¹⁴⁻¹⁷. Further differing
219 from the dog, coat color, length, and texture trait aesthetics are the main drivers of breed
220 formation¹⁶⁻¹⁸. While the establishment of cat breeds began with random-bred cats throughout
221 the world, allowing the outcrossing of many breeds, where in dogs mating of close relatives
222 leads to an increased risk of genetic disorders and diseases¹⁹. It is all these genetic features of
223 feline genome evolution, i.e., increased rates of heterozygosity and a less stringent genetic
224 bottleneck, that has led to hypotheses that domestic cats can offer a contrasting model of why cat
225 cancer types differ substantially from the dog in many aspects.

226 Tumors in companion animals are staged based on tumor specific protocols and are classified
227 from stages I to IV as in humans. Staging informs the extent of cancer locally, distally and in the
228 draining lymph nodes to determine the course of treatment. Risk factors for many cancers in
229 companion animals include exposure to tobacco smoke, tinned tuna, flea collars, infection of the
230 feline leukemia virus, and reoccurring inflammation at sites of trauma due to injections and
231 microchipping²⁰⁻²². Many cancers in cats are very aggressive and treatments available include
232 surgery, radiation, chemotherapy, and ultimately palliative care. While various treatments can be
233 effective with early intervention, new therapies are desperately needed to improve prognosis.

234 Companion animals and humans suffer from many of the same ailments, with over 70 genes ²³
235 shown in cats to contain single and multiple DNA variants in common with humans contributing

236 to disease causation such as cardiomyopathy and polycystic kidney disease^{24,25}. Genomic
237 medicine is currently being practiced in human health where one sequences the genome, coding
238 regions, or select genes of the patient to identify variants that cause or contribute to the morbidity
239 of a disease in order to improve treatment options. This process is rapidly being implemented in
240 cancer therapeutic decisions with druggable gene mutations as the outcome but not in companion
241 animals. Recent whole genome sequencing (WGS) and whole exome sequencing (WES) cat
242 studies have shown many more causative or associated disease variants await discovery²⁶. By
243 uniquely identifying a homozygous missense variant in *NPCI*, a gene responsible for causing
244 Niemann-Pick disease type C1, a cat with an undiagnosed neurological disorder can now inform
245 the veterinarians treatment strategy²⁷. In non-disease cases, for instance breeds with rare
246 phenotypes like dwarfism, WGS was able to identify a novel structural variant in the *UGDH*
247 gene associated with cats displaying dwarfism^{27,28}. With actionable genetic information
248 available, veterinarians can be aware of disease risk and proactively treat patients to prevent
249 severe symptoms. However, the practice of genomic medicine in veterinary clinics is very
250 limited today. In cancer, this is due to the scarcity of WGS or WES studies that ascertain somatic
251 mutations linked to tumor progression. Our knowledge of variants associated with domestic cat
252 cancers should substantially increase, thus, genomic medicine will be feasible for cats in the near
253 future with cancer or unknown ailments²⁷. A survey of the literature follows for the most
254 common types of cat cancer with an emphasis on what is known for genetic causality.

255 From this point forward, a discussion of the most common cat cancer types with contrasts to dog,
256 where appropriate, is presented. While feline cancer is rare, with one study citing cats having an
257 incidence rate of 63 per 100,000 cats per year compared to dog with about 143 per 100,000 dogs
258 per year²⁹ both compared to humans, which have a 1 in 3 chance in developing cancer³⁰. Cancers

259 such as lymphoma, fibrosarcoma and mammary cancers are commonly seen while tumors of the
 260 brain, lung, and liver are much less frequent, but all often result in poor prognosis due to their
 261 late stage of detection. The possible risk factors, prognosis, and when known molecular causes
 262 for these feline cancers, including lymphoma, fibrosarcoma, oral squamous cell carcinoma, and
 263 pulmonary neoplasia are briefly reviewed (**Figure 1.1**).

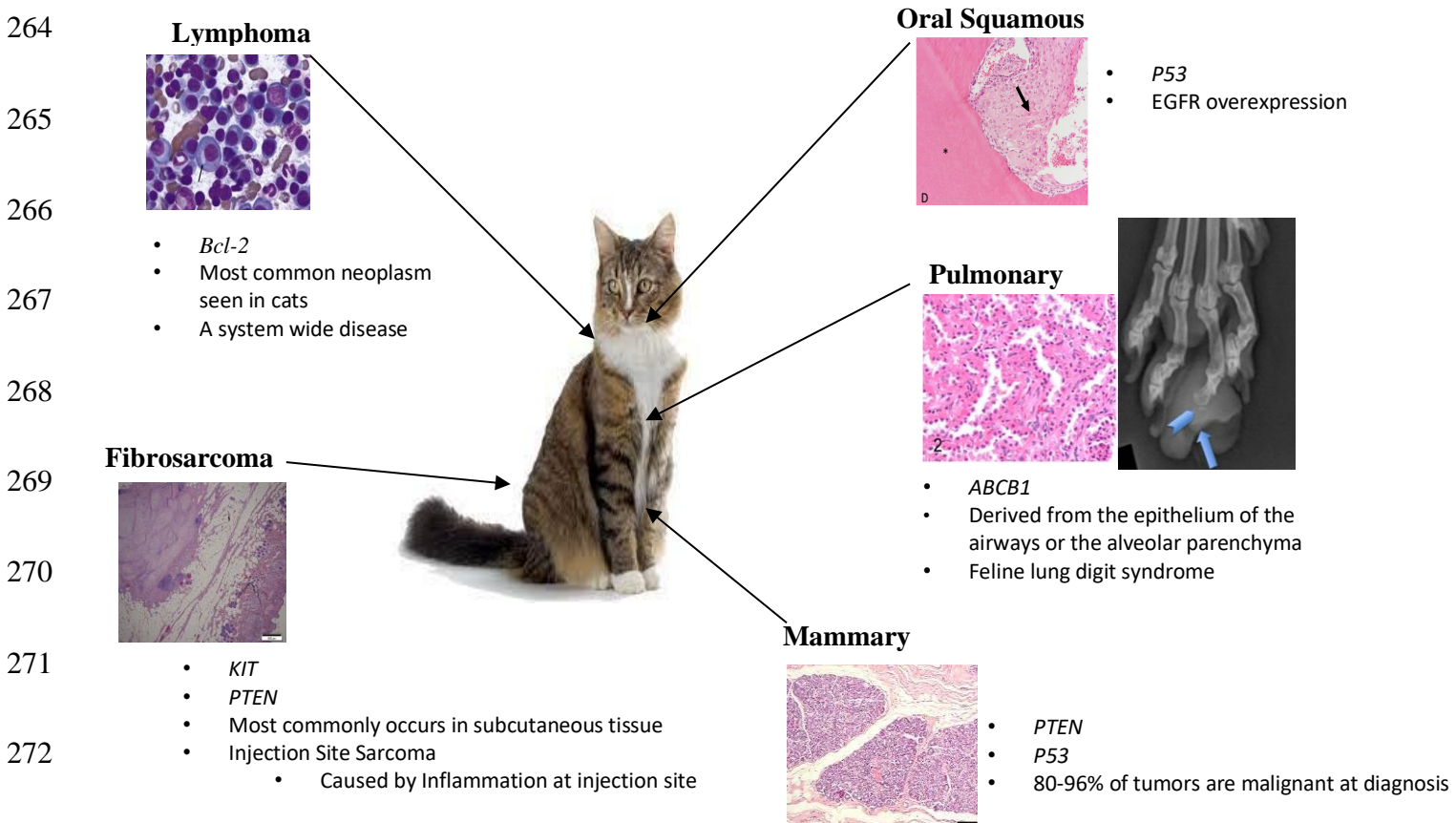


Figure 1.1 Summary of the most common types of cancer in cats with candidate driver genes of each cancer

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CHAPTER 2

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LITERATURE REVIEW

290 **2.1 Feline Cancer Molecular Landscape**

291 While cat cancers are less frequent compared to dogs, there are several that are often seen in cats.
292 The most common by far is lymphoma followed by soft tissue sarcoma, which includes injection
293 site sarcoma. Mammary cancer accounts for 12% of all cats' cancers, followed by oral squamous
294 cell carcinoma which is the most common oral cancer diagnosed in cats, with pulmonary
295 carcinoma diagnosed less often, but often resulting in metastasis³¹. While several reports have
296 advocated for the overlooked potential of studying the only other spontaneous naturally
297 occurring model of cancer outside human, the dog^{32,33} lost in this discussion are the interesting
298 genetic features of domestic cat cancer even with its lower rate of incidence. Improved genome
299 references, better gene annotation, and greater WGS sampling of domestic cats for variant
300 ascertainment, have established resources for more transcriptomic and genomic investigations of
301 cat cancer. Addressing this, in turn, will facilitate the finding of shared and novel molecular
302 drivers of cat cancer compared to the human and dog.

303 **2.2 Lymphoma.**

304 A malignancy of lymphocytes, various versions of lymphoma are the most common neoplasms
305 seen in cats³⁴. Lymphoma is typically considered a system-wide disease, as these cells travel
306 through the entire body through the lymphatic system. In the cat, lymphoma can arise at any site
307 but generally presentations are alimentary/gastrointestinal, peripheral nodal, and extra nodal, and
308 most frequently is seen in the lymph nodes, spleen and bone marrow^{34,35} with B, T, or NK cells
309 forming the neoplastic population³⁶. Feline leukemia virus (FeLV) is the most common cause of

310 lymphoma in cats, however after the introduction of the FeLV vaccine in the 1980's in
311 combination with the loss of infected animals, there has been a decline in FeLV-associated
312 lymphoma. The median age of cats diagnosed with lymphoma has increased to 12 years from 3
313 to 5 years of age as a result³⁷. There is an association between feline immunodeficiency virus
314 (FIV) infection and increased incidence of lymphoma, resulting in a five-fold increase of
315 developing feline lymphoma³⁸, suggesting that FIV plays an indirect role in tumorigenesis
316 causing chronic dysregulation of the immune system and activation of oncogenic pathways.
317 Siamese and Oriental breeds are reported to be at higher risk, with one study reporting a 1.5:1
318 male to female ratio and another study finding no association with neutering status^{37,34}.
319 Clinically, identification of lymphoma includes a complete blood count (CBC) with differential
320 cell and platelet count, serum biochemistry profile, urinalysis and a retroviral screen³⁵. Tumors
321 are graded and then staged to evaluate the extent of the disease. Tumors that present as low grade
322 have strong remission rates with conservative treatment protocols including oral chlorambucil
323 and prednisolone resulting in average survival rates of 1.5 to 3 years³⁹. Most feline lymphomas
324 present as medium and high-grade tumors at any anatomical site that require more aggressive
325 multiagent combination therapies. CHOP therapies, consisting of a combination of
326 cyclophosphamide (C), hydroxydaunorubicin (H), oncovin (O) and prednisone (P)^{35,20}, are most
327 successful in treating medium and high-grade lymphoma. CHOP is a modified treatment
328 protocol based on human protocols, and only have complete remission rates of 50 to 60%, with
329 an even lower survival rate of less than one year. Radiation treatment has been demonstrated as
330 an alternative effective therapy because lymphatic cells are sensitive to radiation³⁴. Lastly,
331 surgery is typically not an adequate treatment for this disease but is most often used to achieve a
332 diagnosis or relieve gastrointestinal obstruction but has no clear effect on survival^{21,40}.

333 With the use of genomic medicine approaches, human patient survival times for blood borne
334 cancers have increased and that has already accelerated investigations of all types of lymphoma
335 maladies among companion animals, albeit at a much slower pace in cats. Interestingly, we can
336 perhaps also study heritable risk since there is a lymphoma predisposition observed in the
337 oriental cat breeds⁴¹. Changes in oncogenic pathways, epigenetic changes and signal
338 transduction alterations found in human are suspected to be at work in the domestic cat but again
339 unproven to date³⁵. One cat study saw increased *Bcl-2* expression which controls cellular
340 proliferation and cell cycle apoptosis. In this study, lymphoma cell lines had higher levels of *Bcl-*
341 *2* compared to normal peripheral mononuclear blood cells which had lower levels of *Bcl-2*
342 expression, suggesting that *Bcl-2* expression may be useful in the differential diagnosis of feline
343 tumors⁴².

344 Since very few studies explore the underlying driver genes of feline lymphoma or their
345 accompanying transcriptomes cat lymphoma outcomes haven't changed much. In the past, gene
346 microarray techniques were utilized to broadly understand the role of chromosomal aberrations
347 and gene expression changes associated with lymphoma³⁵ but no treatment changes were
348 implemented as a result. The adoption of fast developing genomic methodologies promises a
349 brighter therapeutic outcome for feline lymphoma.

350 **2.3 Soft Tissue Sarcoma**

351 Soft tissue sarcomas (STS) are locally aggressive cancers that arise from mesenchymal tissues
352 and can arise in connective tissues including the muscle, adipose neurovascular, fascial, and
353 fibrous tissues⁴¹. This type of cancer is relatively common with an incidence rate of 17 per
354 100,000 cats. Soft tissue sarcoma can arise at any anatomical location, but most commonly
355 occurs in subcutaneous tissue and is the second most prevalent skin tumor in cats⁴¹. In cats, STS

356 has three known subtypes: spontaneous formation of fibrosarcoma, feline sarcoma virus and
357 injection site sarcoma (ISS). Fibrosarcomas can be rapidly growing and present as multiple
358 cutaneous or subcutaneous nodules. These nodules are normally locally invasive and can
359 metastasize to the lungs and other sites⁴³. The feline sarcoma virus (FeSV) is a recombinant
360 version of the FeLV, where the recombination of the FeLV genome with cellular oncogenes of
361 the infected cat causes uncontrolled cell proliferation^{43,44}. Through this recombination, FeSV can
362 acquire one of the multiple oncogenes including *FES*, *FMS*, or *FGR*⁴³. Oncogenes associated
363 with FeSV were discovered by transforming fibroblasts and producing a fibrosarcoma that is
364 multicentric in younger cats^{43,44}. Older studies show that only about 2% of the fibrosarcomas
365 found in cats are virally induced and as cases of FeLV have decreased so have cases of FeSV⁴³⁻
366 ⁴⁵.

367 The most common histological type of fibrosarcoma is ISS. While ISS is included as a type of
368 soft tissue sarcoma, they are histologically heterogeneous and have distinctive biological
369 behavior, are more aggressive, and appear in younger cats when compared to non-injection site
370 fibrosarcoma^{46,47}. ISS is often thought to be the result of the proliferation of fibroblasts and
371 myofibroblasts at the sites of chronic inflammation caused by a sustained immune response
372 induced by the injected material^{4,46,48}. It is also characterized as the proliferation of atypical
373 spindle cells and a variable amount of multinucleated giant cells, which are not generally seen in
374 non-injection site feline fibrosarcomas⁴. In this cancer, cats develop a subcutaneous
375 inflammatory response at the site of injections and the tumor generally develops within the first 3
376 years of vaccination^{4,46}. This inflammation has been associated with the use of vaccines that
377 contain aluminum-based adjuvants, and while the increasing use of non-adjuvant vaccines has
378 caused a reduction of ISS, it remains a concern in feline veterinary medicine^{2,49}. Vaccine-

379 associated sarcomas have been reported to have elevated mitotic rates, with higher-grade
380 classification, less differentiated, and contained cells with more variable size and shape
381 compared to non-vaccine site sarcomas⁴⁹. Other causes of ISS include microchipping, the use of
382 long-acting injectable antibiotics, and injectable glucocorticoids. ISS typically presents as a
383 subcutaneous mass at the site of injection. Cats can also present with decreased appetite, and
384 difficulty breathing if the cancer has metastasized, most frequently to the lungs^{47,48}. Staging is an
385 important step in ISS treatment plans since this tumor type is highly aggressive and treatment
386 generally includes tumor resection depending on the stage and grade of the tumor^{48,47}. Of note,
387 there are marked institutional variations here with some centers that routinely involve radiation
388 treatment in treatment while others perform radical excision as a locally curative intent therapy
389 without RT (as long as margins are acceptable)⁵⁰.

390 The study of molecular causes associated with ISS have been limited to a few genes and
391 typically rely on the understanding of the analogous injection site sarcoma cancer type in human
392 for the most likely driver gene candidates. A cohort of ISS cats was found to harbor homozygous
393 deletions in *PTEN*, amplification of *KIT*, and an overall DNA copy number imbalance that was
394 correlated to a more aggressive tumor behavior². Another study found that increased expression
395 of *MMP-9*, *MMP-2*, and *TIMP-2* in all ISS tumors investigated was suggestive of tumor
396 aggressiveness^{48,51,52}. With the aggressive nature and often unknown specific causes of ISS, early
397 detection and surgery are still the first-line defenses against this cancer type with druggable
398 genes not on the clinical horizon⁵³⁻⁵⁶.

399 Excitement and perhaps too much anticipation is now placed on immunotherapy opportunities.
400 Yet, one study showed that viruses expressing interleukin-2 are not very effective, reducing the
401 tumor reoccurrence to 28% compared to 52% at 12 months⁵³. Combinatorial strategies used to

402 treat human head and neck cancers (HNSCC) and melanomas, such as targeted type I interferon
403 pathway inhibitors and oncolytic viruses could offer better tumor control in feline sarcomas⁵⁷,
404 with one feline study showing reoccurrence rates dropping from 61% to 28%⁵⁸. Inflammation at
405 injection sites causes mutations in many oncogenic pathways⁵³, potentially leading to injection
406 site sarcomas; however, improved vaccine technology, early detection, and better adjuvant
407 therapy, seem to be reducing ISS incidence.

408 **2.4 Feline Mammary Cancer.**

409 Feline mammary cancer (FMC) is the third most diagnosed feline neoplasm. Approximately 80 -
410 96% of FMCs are malignant with multiple tumors being common at diagnosis⁵⁹. FMC carries an
411 incidence rate of 24.4 cats per 100,000 female cats, and accounts for 12% of all tumors in cats
412 regardless of sex²². A major risk factor for FMC is age, with risk of diagnosis becoming
413 significantly increased at 7 to 9 years⁶⁰, and mean age of diagnosis being 10 to 12 years in age.
414 Breed is another major risk factor with studies suggesting short-haired cats have a higher risk.
415 Siamese cats are at twice the risk of developing FMC and are diagnosed at a younger age^{61,22}.
416 Another major risk factor is hormonal exposure, with gonadally intact cats having a 7-fold higher
417 risk of developing FMC than spayed cats; a similar effect is observed in dogs⁶⁰. Cats
418 exposed to regular progesterone have an increased risk of FMC, so ovariectomy, a tissue
419 source of this steroid, is protective against mammary tumor development⁶². It is a highly
420 aggressive cancer, with locally infiltrative and metastatic features, as the primary tumor
421 metastasizes frequently to regional lymph nodes and lungs⁵⁹. Fortunately during routine
422 veterinary visits FMC based on the palpation of firm nodular masses on the mammary gland⁶³.
423 Thoracic radiographs, abdominal ultrasound, and fine-needle aspiration are then often used
424 assess the extent of FMC⁶³. Just as in human breast cancer, most feline tumors are classified as

425 moderately or poorly differentiated⁶³. In today's practice, many veterinarians have adopted the
426 Elston and Ellis histological grading system that is considered the “gold standard” grading
427 system for human breast cancer⁶⁴. Feline mammary histological grading is based on cellular
428 differentiation and degree of tubule formation; nuclear pleomorphism; and mitotic frequency⁶³.
429 Many factors affect the prognosis of FMC including grade, mitotic count, and disease stage.
430 Tumors smaller than 2 cm have seen a survival a mean of 54 months, tumors 2-3 cm average of
431 24 months and 3-6cm have an average survival of only 6 months^{60,63}. Once again, the first line of
432 FMC treatment is surgical excision, with studies showing bilateral mastectomy improves
433 survival time⁶⁵.

434 FMC is most likely to be hormone receptor-negative and patients presenting with triple-negative
435 mammary carcinoma, an aggressive form of cancer lacking secretion of progesterone, human
436 epidermal growth factor and progesterone receptors⁶⁶, had the lowest survival rates^{67,68}. Multiple
437 genes with specific somatic mutations have been associated with FMC. Elevated expression of
438 the oncogene *HER2*, which stimulates downstream activation of the AKT pathway⁶⁹ that
439 promotes growth factor-mediated cell growth, proliferation, migration, and survival is highly
440 correlated with malignancy and tumor differentiation^{69,70}. Loss of the *PTEN* gene, a negative
441 regulator of the AKT pathway, has been shown in 76% of FMC cases and in higher-grade tumors
442 predicting a poor prognosis⁷⁰. Recurrent deletions among B2 and E3 chromosomes detected in
443 higher-grade FMC tumors exhibit suggested these genomic imbalances contribute to poor
444 outcome^{71,72}. Copy number gain of *EBR2* was greater than 3-fold in high-grade compared to
445 intermediate-grade mammary gland cat tumors that alludes to the important role this gene plays
446 as part of this chromosome instability correlation with a worse outcome^{2,71}. There is evidence
447 that molecular markers cyclin A, *p53*, *RON*, *VEGF*, and *COX* can be predictors of outcome for

448 FMC⁶³. Studies have shown *VEGFR-3* highly to moderately expressed in all FMC. All these
449 studies demonstrate much more FMC sample sequencing will be needed to resolve the somatic
450 mutations with the highest occurrence by gene.

451 As stated earlier for other cancer types immunotherapy is also being explored for FMC
452 treatment. Recent studies suggest immunotherapy strategies targeting programmed cell death 1
453 (*PD-1*) and programmed cell death ligand 1 (*PD-L1*) genes, FMC may be a new promising line
454 of treatment^{73,63}. PD-L1 has been implicated in a long list of human cancers, including breast,
455 lung, and metastatic melanoma, and promotes immune suppression and tumor escape^{73,74}.
456 Nascimento *et al* discovered elevated levels of PD-1 and PD-L1 in the serum of cats with FMC,
457 and with a newly FDA approved monoclonal antibody that blocks PD-L1 binding better
458 therapeutic outcomes are hopefully possible for FMC⁷³.

459 **2.5 Oral Squamous Cell Carcinoma.**

460 Feline oral squamous cell carcinoma (FOSCC) is a cancer of the squamous cell lining in the oral
461 and oropharyngeal cavity of the cat and may spread into deeper tissues⁷⁵. These tumors are
462 commonly found in the gingiva, tongue, and sublingual region⁷⁶. FOSCC is the fourth most
463 common cancer in cats and represents 70 - 80% of all oral cancers and shares many risk factors
464 and molecular markers to human head and neck squamous cell carcinoma (HNSCC). These
465 similarities may present an opportunity to test evolving therapies in HNSCC^{4,20} but also offer
466 comparative oncological models of their molecular circuits. These tumors are usually locally
467 invasive, however can invade the local bone tissue⁷⁷. FOSCC uncommonly metastasize to distant
468 locations but one study found 35% of cats had metastasis to local lymph nodes⁷⁸. FOSCC is
469 typically a deadly disease for cats with median survival post-diagnosis only reaching a few
470 months, and a one-year survival rate of less than 10%⁷⁹. Cats presenting with FOSCC are an

471 average age of 12.5 - 13 years, with no sex or breed disposition^{76,80}. Signs of this disease include
472 oral pain, difficulty swallowing, excessive salivation, anorexia, and loss of teeth²⁰. In cats, risks
473 associated with FOSCC include exposure to tobacco smoke as well as flea collars and feeding
474 some canned foods⁸¹. Human papillomavirus, a known cause of HNSCC, has been associated
475 with more negative outcomes in humans; however, current studies show FOSCC is more closely
476 related to HPV-negative HNSCC⁸². Molecular markers thus far include abnormal *p53*, and *CK2*
477 expression as well as genes implicated in angiogenesis, e.g. *LOX* and *COX*⁸³. *EGFR*
478 overexpression is seen in FOSCC and humans, and has been associated with proliferation and
479 migrations of squamous carcinoma cells to other sites^{84,85}. Recent studies show that *CD147*, a
480 surface cluster protein found in HNSCC tumors is associated with poor prognosis⁸⁰. Since
481 FOSCC *CD147* associated expression has been observed perhaps its manipulation in the tumor is
482 a therapeutic target⁷⁶. Treatment of FOSCC is first surgical removal from the oral cavity, if
483 feasible. In contrast to some other cat cancer types, FOSCC surgery is often followed by high
484 recurrence rates with estimates ranging from 15.4 to 38%⁸⁶⁻⁸⁸. Other standards of treatment
485 following surgery include, radiation, and chemotherapy; but again poor outcomes are the
486 result^{89,90}. Starting with FOSCC cell lines, treatment with actinomycin D, dinaciclib,
487 flavopiridol, and methotrexate shows promise yet this drug mixture has not been tested in
488 clinical settings⁷⁷. While current treatments offer unacceptable FOSCC control, new potential
489 strategies that include EGFR inhibitors, CK2 inhibitors, COX/LOC inhibitors, or methods to
490 reverse hypoxia⁸³ can hopefully avoid euthanasia that remains the most common veterinarian
491 recommendation in FOSCC cases.

492

493 **2.6 Pulmonary Carcinoma.**

494 Primary pulmonary carcinoma is rare a type of lung cancer in the cat and most commonly is
495 derived from the epithelium of the airways or the alveolar parenchyma⁹¹. This lung cancer is
496 found in about 2.2 per 100,000 cats, almost all tumors are malignant⁹² and the most common
497 type of primary lung tumors are adenocarcinomas (60 - 80%), with sarcomas, squamous cell
498 carcinomas, and adenomas less common⁹³. Primary lung neoplasia is less common than
499 metastatic tumors that spread to the lung from other primary cancers, most commonly from the
500 breast⁹¹. Pulmonary carcinoma can also present as feline lung-digit syndrome, an atypical
501 pattern of metastasis where the primary lung tumor cells travel to the digits of the cat,
502 particularly weight bearing regions. This presentation is associated with a poor prognosis.
503 Metastasis to the digits may be due, in part, to high digital blood flow to compensate for heat
504 loss⁹³. Risk factors of pulmonary carcinoma include exposure to secondhand smoke and as in
505 other cat cancers increased age but with no higher prevalence among breeds or sex⁹⁴. A recent
506 study has concluded that in areas with high radon exposure, companion animals have a 2-fold
507 higher incidence of primary pulmonary neoplasia⁹⁵. Signs of this cancer include coughing,
508 weight loss, lethargy, lameness, and respiratory changes^{31,94}. Computed tomography and thoracic
509 radiographs are commonly used for staging of these tumors prior to removal³¹. Multiple driver
510 genes have been implicated in human lung cancer studies, but none thus far have been
511 investigated in cats. Oncogenes such as *KRAS*, *EGFR*, *BRAF*, *PIK3CA*, and *MET* have each been
512 shown to harbor somatic mutations in as many as 33% of lung tumors⁹⁶. *P53* or *STK11* mutations
513 in combination with *KRAS* mutations have been linked with low survival rates and have been
514 found to cause early-onset cancer in mice^{97,98}. *EGFR* is implicated in 20% of lung cancer in
515 humans and is responsible for the early stages of epidermoid carcinoma development⁹⁹.

516 Tyrosine kinase inhibitors, such as gefitinib and erlotinib have been shown to improve survival
517 rates of human patients with susceptible *EGFR* mutations¹⁰⁰. Treatment of this cancer includes
518 surgical resection as the first line of defense in companion animals as well. Partial or complete
519 lobectomies are generally performed, where a cuff of normal tissue is also removed to ensure a
520 wide margin is obtained⁹¹. Chemotherapy is a standard approach in human lung cancer, however
521 very few trials have been conducted to evaluate its efficiency in cats⁹¹. In humans, patients often
522 develop chemoresistance and thereafter have poor survival rates. A rare feline study of
523 chemotherapy resistance found expression of proteins that are associated with human multi-drug
524 chemotherapy resistance in primary lung tumors, P-glycoprotein *ABCB1*, multi-drug resistant
525 protein, and lung resistance-related protein, all of which regulate the export of chemotherapeutic
526 drugs outside of the cell and could present future targets¹⁰¹. While the underlying feline genetic
527 mechanism of pulmonary carcinomas, i.e., driver genes with high recurrence, is unknown,
528 further investigation into the predicted similar somatic mutational landscape from the vast human
529 lung cancer sequencing cases for example *EGFR*, may present as treatment options for cats
530 outside surgery and chemotherapy.

531 **2.7 Conclusions**

532 Cats provide humankind with crucial companionship and should receive the best veterinary care.
533 Nearly 6 million cats will be diagnosed with cancer each year³, and with very little known about
534 the genetic landscape of cancer, many pets will face euthanasia. Adopting the use of genomic
535 medicine approaches being developed for humans may provide an improved standard of care for
536 the cat with cancer. A critical gap in knowledge that can be filled with larger sampling of cat
537 cancers of all types using WGS or WES methods will find somatic or germline variants
538 implicated in cancers. This new approach to therapy may allow for more accurate and earlier

539 diagnosis and precise therapy leading to an improved prognosis rate in several diseases, and,
540 importantly, deadly cancers. With many overlapping molecular and environmental causes of
541 cancer in cats and humans, several types of cancer present opportunities for comparative
542 therapeutics, such as mammary and oral squamous cell carcinoma. While current treatment is
543 limited to surgery, chemotherapy, and radiation, new treatment approaches are on the horizon.

544

545

CHAPTER 3

A Domestic Cat Whole Exome Sequencing Resource for Trait Discovery

3.1 Introduction

Genomic medicine promises new avenues of disease treatment in veterinary medicine¹. However, the appropriate resources are not yet readily available for robust implementation in clinical practice²⁷. One resource which has been successfully applied to the diagnosis of rare diseases in humans is whole exome sequencing (WES) analysis, a cost-effective method for identifying potentially impactful DNA variants in the coding regions of genes¹⁰². DNA base changes in the exome can alter amino acids in proteins or disrupt their overall structure, so focusing on these regions offers a more direct and biologically interpretable approach to searching for putative disease variants. In comparison, whole genome sequencing (WGS) captures DNA variants spanning the entire genome. However, as the vast majority of the identified variants are within non-coding regions, much of the variation is difficult to interpret. WES also allows for deeper coverage of target sequences due to lower cost compared to WGS. The present study seeks to develop and validate the use of WES as a viable approach for determining novel disease variants in cats.

Over the last decade, a surge of studies using next generation sequencing (NGS), in particular WES, has led to many novel discoveries of candidate disease-causing variants across species. WES is recognized as an efficient means for genome resequencing and is the primary NGS approach used to help diagnose human patients with rare genetic diseases^{103,104}. By selectively sequencing all protein-coding regions to a deeper depth than WGS, WES is a dependable method for finding biallelic exonic variants causative of Mendelian inherited diseases that rarely appear in healthy populations^{103,104}. In humans, WES is commonly used to

569 find genetic causes in a wide range of diseases, even complex neurological conditions such as
570 autism spectrum disorder¹⁰⁵. Its widespread use has led to the discovery of therapeutic targets for
571 drug development and genetic markers for innovative clinical applications¹⁰⁶. Tumor WES has
572 been especially successful by cost-effectively providing somatic variant information about a
573 patient's normal and tumor exomes, supporting the identification of recurrent somatic mutations
574 among known oncogenes that may suggest a mechanism of action and targets for potential drug
575 therapies¹⁰⁷. The significant depth of exome coverage is integral to overcoming diluted somatic
576 variant allele frequencies (VAF) due to tumor clonality and purity issues.

577 Exome sequencing has also proven successful in non-human species. Mouse WES studies
578 have found strong candidate alleles for models of orofacial clefting, urogenital dysmorphology,
579 and autoimmune hepatitis¹⁰⁸. In companion animals, the development of dog WES has
580 demonstrated that causative allele discovery for common diseases has great potential¹⁰⁹. Some
581 examples in dogs include the discovery of a two-base pair deletion in *SGCD* causing muscular
582 dystrophy, and a splice site variant in *INPP5E* which is associated with cystic renal dysplasia¹¹⁰.
583 As there are many isolated breeds of domestic dogs, this species is an important genetic resource
584 for cancer studies, for which WES demonstrated dogs have similar oncogene variant patterns to
585 humans¹¹¹. However, many oncogene variants are not equivalent to a WES analysis of human,
586 and canine bladder cancers identified novel mutations in *FAM133B*, *RAB3GAP2*, and *ANKRD52*
587 that are unique to canine bladder cancer, emphasizing the need to understand the biological
588 differences in origin¹¹².

589 Similar to canines, domestic cats have long been recognized for their potential in
590 modeling human diseases, such as retinal blindness^{113,114}. Approximately 150 variants in
591 domestic cats are associated with over 100 genetic traits or diseases, many mimicking human

592 disease phenotypes²³. As feline genomic resources continue to advance, more diseases caused by
593 single base variants are being discovered, such as two novel forms of blindness in Persian and
594 Bengal cats^{115,116}. However, a feline WES resource has not been described to date for the
595 discovery of novel disease gene candidates. Here we describe the first feline exome resource, a
596 WES analysis of 41 cats, and its use in the discovery of known and novel variants associated
597 with feline phenotypes, healthy and diseased. A comparison of WES and WGS methods was also
598 completed to understand the efficiency, depth of coverage, and sequence specificity, for variant
599 calling from each approach.

600 **3.2 Methods**

601 **Exome Design.** The annotated exons from the *Felis_catus_9.0* reference genome assembly were
602 used as the basis to design the exome capture probes, incorporating the NCBI RefSeq release 92
603 annotation, containing 19,590 refGene names completed by Roche Sequencing Solutions. The
604 coding sequences (CDS) for the primary chromosomes were extracted and consolidated into a
605 non-overlapping set of features, and repetitive probes were removed totaling 35,724,716 bases
606 divided over 201,683 regions. Of those bases, only 395,115 bp are not covered directly or
607 indirectly. GO functions for removed genes were olfactory genes or unidentifiable. Since Y
608 chromosome genes are not represented in the *Felis_catus_9.0* reference, a set of coding sequence
609 features from the *Felis catus* Y chromosome genomic sequence (NCBI accession KP081775)
610 was used¹¹⁷. The cat exome panel was designed by Roche Sequencing Solutions (Madison,
611 USA)¹¹⁸. A capture probe dataset was constructed for the full cat genome by tiling variable-
612 length probes, ranging from 50 - 100 bases in length, at a five-base step across all sequences.
613 Each capture probe was evaluated for repetitiveness by constructing a 15-mer histogram from the
614 full genome sequence and then calculating the average 15-mer count across each probe, a sliding

615 window size of 15 bases across the length of each probe. Any probe with an average 15-mer
616 count greater than 100 was considered to be repetitive and excluded from further
617 characterization. Non-repetitive probes were then scored for uniqueness by aligning each capture
618 probe to the full cat genome using SSAHA v3¹¹⁹. A close match to the genome was defined as a
619 match length of 30 bases, allowing up to five insertions/deletions/substitutions. Capture probes
620 were selected for each coding sequence feature by scoring one to four probes in a 20-base
621 window, based on repetitiveness, uniqueness, melting temperature, and sequence composition,
622 and then choosing the best capture probe in that window. The start of the 20 base windows was
623 then moved 40 bases downstream and the process repeated. Selected probes were allowed to start
624 up to 30 bases before the 5' start of each feature and overhang the 3' end by 30 bp. A maximum
625 of five close matches in the genome was allowed when selecting the capture probes.

626 **Samples and DNA Isolation.** Cat DNA samples for WES were donated by owners and archived
627 in the Lyons Feline Genetics Laboratory at the University of Missouri, College of Veterinary
628 Medicine in accordance with the University of Missouri Institutional Animal Care and Use
629 Committee protocol study protocols 9056, 9178, and 9642. DNA was isolated from 41 whole
630 blood or tissue cat samples using standard organic methods¹²⁰ and verified for quantity and
631 quality by DNA fluorescence assay (Qubit, Thermo Fisher) and ethidium bromide staining after
632 0.7% agarose gel electrophoresis. Ten cats with existing whole genome sequence (WGS) data
633 were initially tested, followed by 31 novel cats for additional screening.

634 **Sequencing.** All WGS cat data used in this study was obtained from Buckley et al, and library
635 preparation was completed by Washington University.¹²¹ Genomic DNA (250 ng) was
636 fragmented on the Covaris LE220 instrument targeting 250 bp inserts. Automated dual indexed
637 libraries were constructed with the KAPA HTP library prep kit (Roche) on the NGS platform

638 (Perkin Elmer). The libraries were PCR-amplified with KAPA HiFi for 8 cycles. The final
639 libraries were purified with a 1.0x AMPureXP bead cleanup and quantitated on the Caliper GX
640 instrument (Perkin Elmer) and were pooled pre-capture generating a total 5µg library pool. Each
641 library pool was hybridized with a custom NimbleGen probe set (Roche), targeting 35.7 Mb. The
642 libraries were hybridized for 16 - 18 hours at 65°C followed by washing to remove non-specific
643 hybridized library fragments. Enriched library fragments were eluted following isolation with
644 streptavidin-coated magnetic beads and amplified with KAPA HiFi Polymerase prior to
645 sequencing. PCR cycle optimization was performed to prevent over-amplification of the
646 libraries. The concentration of each captured library pool was determined via qPCR utilizing the
647 KAPA library Quantification Kit (Roche) to produce appropriate cluster counts prior to
648 sequencing. The Illumina NovaSeq6000 instrument was used to generate paired-end 2 x 150 bp
649 length sequences to yield an average of 14 Gb of data per 35.7 Mb target exome, producing ~80x
650 exome sequencing depth of coverage. Exome sequencing data are available at the Sequence Read
651 Archive under accession number PRJNA627536.

652 **Variant Discovery.** The following tools/packages were applied to WGS and WES samples in
653 accordance with variant processing as previously described¹²¹ 71: BWA-MEM version 0.7.17¹²²,
654 Picard tools version 2.1.1 (<http://broadinstitute.github.io/picard/>), Samtools version 1.9¹²³, and
655 Genome Analysis toolkit version 3.8^{124,125,126} by Rueben Buckley. Code used for the variant
656 calling workflow can be found at https://github.com/mu-feline-genome/batch_GATK_workflow.
657 For WES processing, GATK tools were restricted to exons annotated in Ensembl release 99 with
658 an additional 100 bp of flanking sequence¹²⁷. Following processing, samples were genotyped in
659 three separate cohorts. The first cohort consisted of all 41 WES samples. The second and third
660 cohorts were ten matched WES and WGS samples. Variants in all three cohorts were tagged

661 using the same variant filtering criteria. For SNVs, the filtering criteria were $QD < 2.0$, $FS >$
662 60.0 , $SOR > 3.0$, $ReadPosRankSum < -8.0$, $MQ < 40.0$, and $MQRankSum < -12.5$. For indels,
663 the filtering criteria were $QD < 2.0$, $FS > 200.0$, $SOR > 10.0$, and $ReadPosRankSum < -20.0$.
664 Although five Y chromosome genes were included in the exome probe set, these genes had not
665 been added to the aligning reference. For WGS/WES comparison, matched WES/WGS samples
666 were annotated using variant effect predictor (VEP)¹²⁸. Variants from both cohorts were
667 independently tagged as to whether they were biallelic, SNVs, or passed filtering criteria. Before
668 analysis, variants flanking the exome primary target regions +/- 2bp were removed. Variant
669 processing and comparisons were performed in the R statistical environment using the vcf R
670 package¹²⁹. Common variants between both platforms were determined as those at the same
671 position with the same reference and alternate alleles. Exclusive variants were determined as
672 those where the position and/or the alleles were specific to a particular platform.

673 **Disease and trait variant detection.** Variants for all 41 cats were evaluated using VarSeq
674 software (GoldenHelix, Inc.). SNVs were annotated as having high, moderate, or low impacts on
675 gene function. High impact variations were those that were a protein-truncating variant caused
676 by stop gain or loss and splice-site acceptor or donor mutations¹³⁰. Moderate impacts include
677 missense mutations or in-frame insertions, and lastly, low impact variants are characterized by
678 synonymous base changes, splice region variants, or intron variants. Known variants for diseases
679 and traits were evaluated in each cat.

680 **Polycystic Kidney Disease.** A pointed cat of the Siberian breed (a.k.a. Neva Masquerade, a
681 pointed Siberian) was diagnosed with polycystic kidney disease based on signs of renal disease
682 (polydipsia, polyuria) and ultrasonography (**Table 3.1, cat 37**). DNA was submitted using buccal
683 swabs and a whole blood sample to two different commercial testing laboratories in which both

684 confirmed the absence of the currently known autosomal dominant polycystic kidney disease in
685 polycystin-1 (PKD1)^{131 132}. The dam and a sibling were also reported as having PKD by
686 ultrasonography but were not available for genetic analyses.

687 **Cystinuria.** A three-month-old European shorthair kitten from the isle of Korfu, Greece, was
688 presented to the AniCura Small Animal Hospital, Bielefeld, FRG, for heavy straining during
689 urination, and the owner reported the kitten would fall over from time to time (Table 1, cat 17).
690 The kitten had been pretreated with two injections of cephalexine and dexamethasone for
691 suspected cystitis, however, difficulty in urination worsened. Upon hospital admission, the kitten
692 was in good general condition. Abdominal palpation revealed an enlarged urinary bladder.
693 Abdominal X-ray showed over 30 radiolucent urinary stones up to a diameter of half of the width
694 of the last rib. Urinary bladder stones and some urethral stones were removed via cystolithotomy
695 and retrograde flushing of the urethra. Urinary stones were submitted for infraspectroscopic
696 stone analysis. Stone analysis revealed pure cystine stones and a diagnosis of cystinuria was
697 made. Urinary stones reoccurred at six months of age, but the kitten was otherwise healthy.

698 **3.3 Results**

699 **Phenotype cohort.** WES was performed on 41 individual cats, representing a variety of different
700 diseases and traits, some with known disease alleles (**Table 3.1**). The 41 cats can be further
701 divided into two separate cohorts: the first is the initial ten cats that had nine known variants for
702 various diseases and aesthetic traits, e.g., coat colors and fur types. These 10 cats also had
703 matched WGS data, which was used to assess the efficacy of WES. The second cohort of 31
704 represents genetically uncharacterized cats. These cats represented 11 different breeds and
705 include 14 random-bred cats. Groups of cats with similar genetic backgrounds were used to
706 evaluate causes for mediastinal lymphoma, a seizure disorder, eyelid colobomas,

707 hypothyroidism, hypovitaminosis D, blue eyes of Ojos Azules breed, and curly hair coat of the
708 Tennessee Rex. Five cats were reported with cardiac diseases, including hypertrophic
709 cardiomyopathy (HCM). At least seven neurological disorders are represented in the study
710 population, generally representing novel presentations in random-bred cats. Overall, the 41 cats
711 had approximately 31 different unknown disease presentations.

712 **Table 3.1 Description and diseases of 41 cats for WES evaluation.**

No.	Id.	Breed	Sex	Disease / Trait	Gene(s)
1	19725	Lykoi	F	Lykoi	<i>HR</i>
2	13230	Mixed Breed	F	Bengal PRA / Bobbed tail	<i>KIF3B / HES7</i>
3	14056	Mixed Breed	M	Persian PRA / <i>Long</i>	<i>AIPL1 / FGF5</i>
4	17994	Mixed Breed	F	Hydrocephalus	<i>GDF7</i>
5	19067	Munchkin	F	Dwarfism / Dominant White	<i>UGDH / KIT</i>
6	5012	Oriental	M	Lymphoma	<i>Unknown</i>
7	20382	Peterbald	M	<i>Hairless</i>	<i>LPAR6*</i>
8	11615	Random Bred	M	<i>Dominant White</i>	<i>KIT</i>
9	18528	Random Bred	M	<i>Spotting</i>	<i>KIT</i>
10	20424	Siberian	F	<i>Long</i> / Cardiac disease	<i>FGF5 / Candidate</i>
11	22550	Bengal	F	Polyneuropathy	<i>Unknown</i>
12	20957	Devon Rex	U	Papilloma virus	<i>Unknown</i>
13	22752	Devon Rex	M	Neurological disorder	<i>Unknown</i>
14-15	21983/ 21464	Ojos Azules	1M:1F	Ojos Azules	<i>Unknown</i>
16	20964	Oriental	F	Cardiac disease	<i>Unknown</i>
17	22728	Random bred	F	Cystinuria	<i>SLC3A1*</i>
18	20617	Random Bred	M	Neuronal ceroid lipofuscinosis	<i>CLN6*</i>
19	20948	Random Bred	M	Cinnamic acid urea	<i>Unknown</i>
20	21153	Random Bred	M	Ambulatory paraparesis	<i>Unknown</i>
21	22287	Random Bred	F	Myotonia congenita	<i>Unknown</i>
22	22397	Random Bred	M	Neurological disorder	<i>Unknown</i>
23	22505	Random Bred	M	Cardiac disease	<i>Unknown</i>
24	22623	Random Bred	U	Pycnodysostosis	<i>Candidate</i>
25	22740	Random Bred	F	Epidemolysis bullosa	<i>Unknown</i>
26 – 27	22741/ 22742	Random Bred	1F:1M	Eyelid coloboma	<i>Unknown</i>
28	22751	Random Bred	M	Ehlers-Danlos	<i>Unknown</i>
29 – 30	22763/ 22764	Random Bred	2F	Hypothyroidism	<i>Candidate</i>
31 – 32	22761/ 22762	Savannah	2M	Hypovitaminosis D	<i>Unknown</i>
33	21984	Scottish Fold	F	Cardiac disease	<i>Candidate</i>
34 – 35	20384/ 20385	Selkirk Rex	1F:1U	Seizures	<i>Unknown</i>
36	20953	Siamese	F	Cardiac disease	<i>Candidate</i>
37	22622	Siberian	U	PKD	<i>PKD2*</i>
38	22711	Singapura	F	Hypovitaminosis D	<i>Candidate</i>
39 – 40	8641/ 8642	Tennessee Rex	1F:1M	Rexoid hair coat	<i>Unknown</i>
41	6623	Oriental	M	Lymphoma	<i>Unknown</i>

41		14 breeds	19F:18M:4U	~31 diseases & traits	
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714 A complete description of diseases and traits for entire cohort. Candidate genes are potential

715 genes that been identified with less evidence of a causal mutations. U: Unknown sex; F: Female;

716 M: Male *Mutations as tentative causal variants for diseases presented

717

718 **Sequence coverage and specificity.** To assess the performance of this feline exome resource,
719 deep coverage WES data was produced for ten cats with WGS data for comparison. After
720 mapping to *Felis_catus_9.0*, base quality trimming, and PCR duplicate removal, the average
721 percentage of reads uniquely mapped was 82% (**Table 3.2**). The average sequencing depth was
722 267x with a range of 76x to 458x (**Supplementary Table 1**). Assessing the depth of coverage, of
723 the 201,683 exonic targets, 98.1% aligned with coverage of >20x. An average of 6.98% of the
724 total reads aligned outside of the targeted regions of the genome (**Supplementary Table 2**). For
725 the uncharacterized 31 cat exomes, the sequencing depth was adjusted to typical human WES
726 studies; for this group of cats, we estimated the average depth of coverage to be 80x. 96.41% of
727 exonic targets aligned with a coverage of >20x, ranging from 91-98%. An average of 10.41% of
728 total reads aligned off-target is slightly higher when compared to the first 10 higher-coverage
729 cats that can be attributed to lower sequencing depth in the larger cohort. As expected, overall,
730 there is a reduction in mapping at lower depth of coverage; for example, at 40x, 93.5% of
731 targeted bases were covered (**Figure 1**), conversely, 99% are covered at 2x.

732 **Known variant validation.** To further analyze the effectiveness of WES for variant detection,
733 we examined each sample for the presence of known trait-causing variants. The *Felis_catus_9.0*
734 Ensembl release 99 gene annotation was used with a selection of exons with +/- 30 bp to match
735 exome capture design and variants were browsed using the VarSeq software (GoldenHelix, Inc).
736 The majority of the previously published 115 trait causing variants in the domestic cat that have
737 been documented as causal for diseases and traits affect either the coding regions or a splice
738 donor/acceptor site²³. Of these known variants, 44 were identified in our WES cohort. All
739 variants for coat colors and diseases expected to be present in the ten cats were identified,

740 including the alleles in the loci for Agouti (*ASIP* - a¹³³), Brown (*TYRP1* - b¹³⁴), Color (*TYR* -
 741 cs¹³⁵),

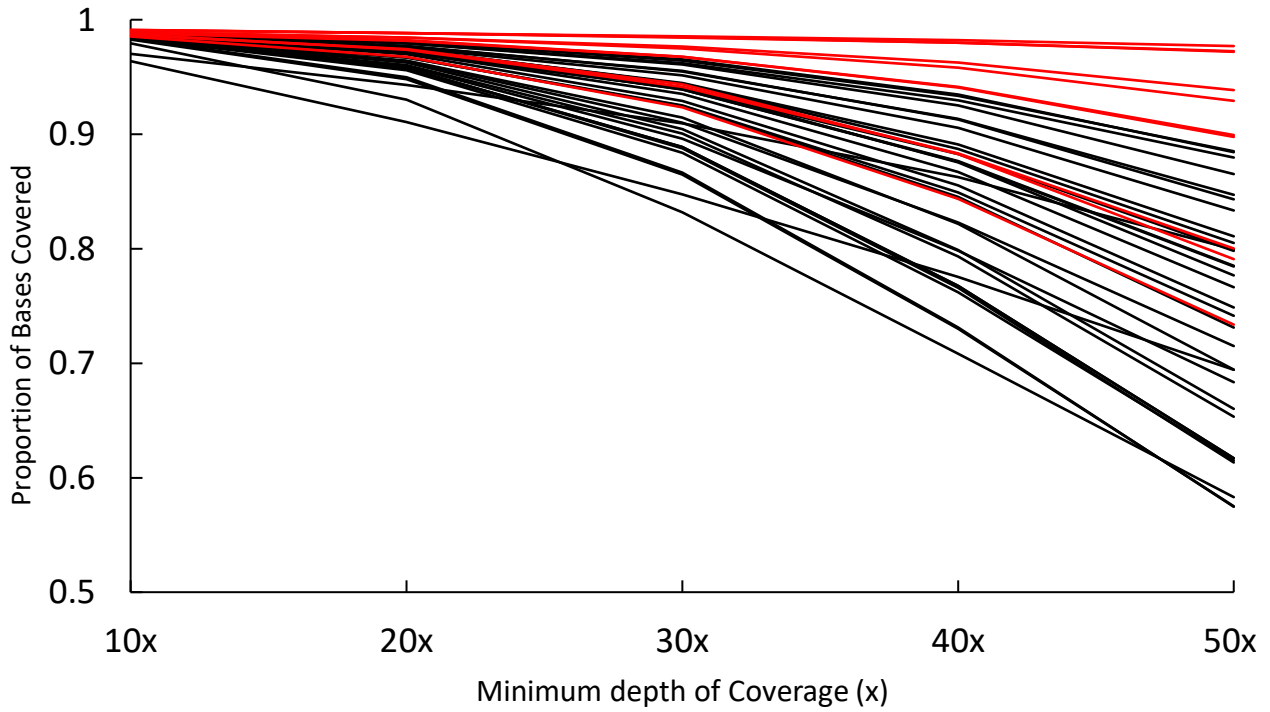
742 **Table 3.2 Summary of Metrics across both Cohorts**

	Average -First 10	Range- First 10	Average- Cohort of 31	Range- Cohort of 31
Depth of Coverage	267x	76-485x	80x	60-108x
% of Bases Covered	99.1%	92.3-100%	96.4%	91-98%
% Reads Aligned	99.9%	99.9-100%	82%	75-85%

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747 **Figure 3.1 The proportion of bases covered with the exome capture probes.** The initial 10

748 samples are colored in red, with the X axis showing the depth of coverage, which is how many

749 times a nucleotide base is covered starting at a depth of 10x and increasing to 50x.

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754 Dense (*MLPH* - d¹³⁶), Longhair (*FGF5* - I¹³⁷), Lykoi (*HR* – hrTN, hrVA¹³⁸), Bengal progressive
755 retinal degeneration (*KIF3B*¹³⁹) and Persian progressive retinal degeneration (*AIPL1*¹¹⁵),
756 hydrocephalus (*GDF7*¹⁴⁰), and others. The cats also had variants known to affect cat blood type
757 as well^{141,142}. In accordance with the limitations of our feline exome capture design, neither
758 known structural nor intronic variants were detected. When analyzing discordant reads in a WGS
759 dwarf sample, a deletion and rearrangement indicating a structural variant (SV) was visible in the
760 *UDGH* gene¹¹⁵, but no read discordance was found in the WES analysis (Supplementary Figure
761 1). In addition, the *KIT* intron one SV for White and Spotting were not identified¹⁴³. Therefore,
762 the WES approach will fail to identify many complex SVs, an important limitation to consider
763 for future feline trait discovery efforts.

764 **Novel candidate variant discovery.** Novel DNA variants were explored as putatively causal for
765 diseases and traits in 33 cats. A novel frameshift mutation in polycystin 2 (*PKD2*¹⁴⁴), a gene
766 associated with polycystic kidney disease (PKD) was predicted to disrupt protein function in a
767 Siberian cat shown by ultrasound to have PKD. This mutation, a single-base deletion, causes a
768 truncated protein (p.Lys737Asnfs*2). This variant was heterozygous in the affected cat and
769 unique to the exome data and was not identified in the 195-cat cohort of the 99 Lives variant
770 dataset¹²¹. This variant was also identified in both grandparents on the dam's side of the
771 pedigree, although kidney ultrasound was not available. However, analysis of other Siberian cats
772 with PKD diagnosed by ultrasound failed to identify the c.2211delG variant in *PKD2*, suggesting
773 that this could be a private variant and that other disease-causing PKD variants are yet to be
774 discovered in this breed.

775 A variant in the lysophosphatidic acid receptor 6 (*LPAR6*) gene associated with the
776 autosomal recessive rexoid (Marsella wave) coat of the Cornish rex breed was detected in a

777 Peterbald cat, which is a hairless breed¹⁴⁵. However, the hairless trait is considered autosomal
778 dominant by cat breeders. The annotation predicts a c.249delG causing a p.Phe84Leufs*10;
779 therefore, this Peterbald cat likely is compound heterozygous for two mutations juxtaposed in
780 LPAR6. This variant was heterozygous in the affected cat, unique to the exome data and not
781 identified in the 99 Lives variant dataset.

782 A known feline disease variant was also re-identified¹²¹. A solute carrier family 3-
783 member 1 (*SLC3A1*) variant was homozygous in a Greek cat presenting with cystinuria. The
784 c.1342C>T variant, causing a p.Arg448Trp at position A3:66539609 has been previously
785 documented to be associated with this condition¹⁴⁶. No other cat in the exome dataset had this
786 variant. Many of the variants associated with cat blood group B and its extended haplotype were
787 detected in 11 cats, suggesting five cats as type B, one was confirmed¹⁴¹. Variants were detected
788 in *APOBEC3*, which is associated with feline immunodeficiency virus (FIV) infection in cats,
789 and three cats had the allelic combination producing the IRAVP amino acid haplotype that is
790 associated with FIV resistance¹⁴⁷. Novel findings included two cats that were heterozygous for a
791 porphyria variant in *UROS* (c.140C>T, c.331G>A)^{148,149}, one cat which was homozygous for
792 FXII deficiency variant (FXII_1631G>C)¹⁴⁸, and had died as a kitten, and one cat which was
793 heterozygous for a copper metabolism deficiency in *ATP7B*¹⁵⁰. Additional variants for neuronal
794 ceroid lipofuscinosis, pycnodysostosis, Ehlers-Danlos syndrome, hypothyroidism, and
795 hypovitaminosis D, and several individual-specific variants for hypertrophic cardiomyopathy are
796 under further investigation (**Table 3.1**).

797 **3.4 Discussion**

798 In humans, WES has flourished over the past few years and is becoming more common in the
799 practice of genomic medicine, especially newborn screening¹⁵¹. Well-annotated genomes and

800 extensive resources, such as for human and mouse, have led to the development of various
801 exome capture products ranging from those with a very limited focus, e.g., oncogene panels, to
802 more extensive designs including 5' and 3' untranslated regions, predicted regulatory elements,
803 and non-coding RNAs. For other mammals, exome capture designs have ranged from 44.6 Mb in
804 pigs¹⁵² to 146.8 Mb in rats^{153,154}, illustrating the variation in experimental objectives. This is not
805 currently the case for veterinary medicine due to several factors: a dog or cat owner's
806 unwillingness to incur the costs, lower accuracy of available genome references¹⁵⁵, and the
807 uncertainty of treatment options driven by sequence variant data. In companion animals, only the
808 domestic dog has exome capture probes available, which span 53 to 152 Mb with an overlap of
809 34.5 Mb between the capture designs^{156,157}. In this study, a feline exome resource was developed
810 by designing capture probes against the annotated *Felis_catus_9.0* genome assembly, a highly
811 contiguous assembly that enabled efficient probe design¹⁵⁵. The targeted 35.7 Mb accounts for
812 the exons and 30bp of flanking sequences to minimize the loss of detectable splice donor and
813 acceptor variants.

814 Success in disease variant identification in any species using WES is dependent on multiple
815 factors, including mode of inheritance, sequencing depth, and efficient probe design that covers
816 the regions of interest with high specificity, minimizing the number of off-target reads. Sequence
817 coverage of $\geq 20x$ is generally regarded as the standard to efficiently detect heterozygous
818 variants¹⁵⁸. At this threshold, an acceptable average target coverage of 96.4% was obtained in
819 our study. In our first WES experiment of 10 cats, we achieve maximum exonic coverage of 99%
820 with a mean depth of 267x at aligned bases. However, we have found this high-depth approach is
821 not necessary or cost-efficient for the discovery of feline associated disease variants. The first
822 domestic dog exome design¹⁵⁶, which covered 52.8 Mb distributed over 203,059 regions, had a

823 range of 87-90% mapped reads at a 102x mean sequencing depth. An updated canine design¹⁵⁶
824 had 93.5% of the targeted bases (<53 Mb) covered to at least 1X depth of coverage, while in our
825 feline exome design, the on-target reads were nearly 100% at 10x sequencing depth. Whilst
826 absolute dog and cat exome comparisons are difficult due to the differences in annotation,
827 genome assembly accuracy, and design techniques, both of these resources reveal acceptable
828 performance.

829 The intended application of the cat WES was twofold: the identification of heritable,
830 Mendelian diseases and traits, and somatic mutations in cancer. In this study, the focus was the
831 former and included the assessment of the efficiency of the feline exome design for SNV
832 discovery against ten matched WGS samples. The matched WGS and WES cats had an average
833 of 30x and 267x depth of coverage, respectively, with the vast majority of SNVs and indels in
834 overlapping regions being detected by both platforms. Altogether, these findings suggest the use
835 of this feline exome probe set was extremely consistent with variant discovery from WGS, where
836 99.4% were uncovered in WGS while only 1.5% were absent from the WES cats. Consistent
837 with large cohort human studies, indel discovery was less consistent (92.5% overlap) with 12.2%
838 of WGS indels absent from WES data owing to the well-known short-read misalignment
839 problem in regions with indels of varying size. Differences in the number of common variants
840 between platforms is due to differential filtering, as common variants were identified prior to
841 when filtering was performed. The percentage of exclusive variants per platform also varied
842 according to variant impact, with high impact variants representing the largest percentage of
843 exclusive variants for their impact class. Since high impact mutations are generally rare due to
844 their impact on normal gene function, their enrichment within platform exclusive variant sets is

845 expected. In the same manner, as low impact variants have no impact on gene function, they are
846 less likely to be identified as platform exclusive within their variant class.

847 Previously characterized and unknown germline or somatic variants of clinical
848 significance, the former often not identifiable without the parents, were investigated to confirm if
849 each were identical or unique to genes associated with each disease or phenotype in prior studies.
850 Known variants were first confirmed to validate the accuracy of the cat exome design for the
851 following aesthetic traits: Agouti, Brown, Dense, Gloves, Dilution, Extension, Long, Lykoi, and
852 hairless coat types²³. In addition, disease variants were found in genes earlier shown to be
853 candidate alleles in hydrocephalus¹⁵⁹, hypertrophic cardiomyopathy¹⁶⁰, and progressive retinal
854 atrophy¹¹⁵. These results importantly validate our design is capable of detecting variants with
855 prior trait association. Nonetheless, a primary study objective was to find new potential causal
856 variants in our small mixed disease and trait cohort of 31 domestic cats. This cohort was
857 searched to find novel candidate variants for three diseases and traits; feline autosomal dominant
858 polycystic kidney disease (ADPKD), atrichia, hypotrichia. ADPKD is a common inherited
859 autosomal dominant disease affecting about 6% of the world's cats¹³² and is characterized by
860 fluid-filled cysts that form in the bilateral kidneys that often leads to renal failure¹⁶¹. Many of the
861 features of feline ADPKD are similar to human ADPKD and recent studies demonstrated the
862 utility of the cat model^{113,162}. The c. 10063C>A mutation in exon 29 of *PKD1* was the only
863 known causative allele for feline ADPKD¹³², however, for human ADPKD, variants are found
864 throughout PKD1. A variant in polycystin 2 (*PKD2*), c.2211delG at position B1:134992553,
865 causes a p.Lys737Asnfs*2 and was identified in a Siberian cat from Europe, indicating
866 additional alleles may be segregating for ADPKD in cats.

867 Domestic cats have various forms of atrichia and hypotrichia, which even though each is
868 characterized by baldness or loss of hair coat, are not considered diseased cats since breeders
869 have selected upon these observed traits to develop new breeds. Only two breeds are recognized
870 as completely hairless, the Sphynx and Donskoy. Donskoy cats are a breed of Russian cats in
871 which loss of hair is determined by a semi-dominant allele¹⁶³. Peterbald cats were bred in Russia
872 in 1994 as a product of a Donskoy and an Oriental Shorthair cross, and are often born with no
873 hair, or lose their hair over time¹⁶⁴. Cornish Rex, a hypotrichia breed, that is characterized by a
874 curly coat, is caused by a homozygous deletion mutation in *LPAR6*¹⁶⁵. The Peterbald cat had an
875 *LPAR6* 4 base pair deletion that is in juxtaposition to a compound heterozygote for the Cornish
876 rex deletion variant. Both variants result in premature stop codons a few amino acids
877 downstream of the variant site. Other disease-associated variants were re-identified, such as
878 cystinuria variants, in which the cat was homozygous and affected. Determination of allele
879 frequencies through the 99 lives project¹⁵⁵ improved the identification of cats that were
880 heterozygous for variants associated with recessive diseases, such as, porphyria¹⁴⁸, Factor XII
881 deficiency¹⁶⁶, and copper metabolism¹⁵⁰. The inclusion of 99 Lives WGS data was central to
882 establishing the likelihood of variants being causal for diseases and further cross-species
883 explorations of variant frequencies promises to better define variants of uncertain significance¹⁶⁷.

884 Clinical use of sequence variant information in companion animals is in the very early
885 stages, which hampers the ability of veterinarians to rapidly diagnose some diseases without
886 standard or unclear phenotypic determinants. In the future, it could be used to adapt treatments
887 to the specific animal and disease type¹⁶⁸. Many diagnosed rare diseases have a poor prognosis,
888 with some less than 90 days; thus, cost-effective sequencing approaches may help discover
889 alternate and more effective treatments. The Undiagnosed Diseases Program of the National

890 Institutes of Health routinely uses WES for this purpose of finding treatments where none exist,
891 suggesting veterinary medicine could benefit in the same manner¹⁶⁹. We confirm here, as other
892 studies have shown, that WES is cost-effective, data process-efficient (by requiring less
893 computing time), and easier to use than WGS for inferring a variant's biological relevance¹⁷⁰. As
894 in the dog, a first step is offered toward the use of feline WES for robust disease variant
895 detection, including the validation of previously identified causal alleles and the discovery of
896 novel candidate variants that we suggest are of interest for further experimental scrutiny¹⁷¹. We
897 have developed domestic cat-specific WES, and importantly, based on our findings, validated its
898 use for the evaluation of potential disease variants for the future practice of feline genomic
899 medicine.

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CHAPTER 4

A Genetic Profile of Feline Oral Squamous Cell Carcinoma

4.1 Introduction

Feline oral squamous cell carcinoma (FOSCC) is the fourth most common cancer, and the most commonly found oral tumor in cats¹⁷², with a one-year survival rate of less than 10%⁷⁶. This cancer affects squamous cell lining in the oral and oropharyngeal cavity; gingiva, tongue, and sublingual regions¹⁷² and rarely metastasizes to distant locations; however, local bone invasion and lymph nodes can be affected. FOSCC is often diagnosed at stages too late to intervene with symptoms including oral pain successfully clinically, difficulty swallowing, loss of teeth, and anorexia. Early studies have shown that the use of flea collars, feeding with mostly canned foods were found to increase developing FOSCC 5-fold compared to those who did not have canned foods or tuna²⁰. FOSCC has a limited number of treatment options, with the best typically being surgical resection of the tumor from the oral cavity, if feasible, followed by radiation and/or chemotherapy if concerns arise about risk of recurrence or metastasis based on histopathology. However, due to the high reoccurrence rate, these therapies often have poor outcomes and often the recommendation is euthanasia may end up being the best option^{77,173}. FOSCC presents with similar risk factors and molecular mechanisms as human head and neck cancer (HNSCC) and may present an opportunity as a model for therapy¹⁷⁴.

HNSCC is the sixth most common cancer found among humans worldwide, with 550,000 new cases per year and also has a low 5-year survival rate of less than 50% with 275,000 deaths per year^{175,176}. Like FOSCC, if HNSCC is diagnosed in early stages survival rates are much higher at 82%, compared to 26% if the tumor is distantly metastasized^{76,177,178}. Known risk

923 factors for HNSCC include exposure to tobacco smoke, alcohol, and infection with HPV¹⁷⁷⁻¹⁷⁹.
924 Little is understood about molecular mechanism similarities in cats and humans with only
925 candidate gene approaches in the cat. Both humans and cats show the perturbed function of *p53*,
926 causing issues in a cells metabolism, cycle arrest, and apoptosis¹⁸⁰. FOSCC and HNSCC are both
927 similar in disease progression and biologic behavior with both cancers being locally invasive
928 with metastasis to regional lymph nodes¹⁷⁴. Overexpression of *EGFR* is found in 69 to 100% of
929 FOSCC and 90% of HHNSCC, causing cell cycle progression, uncontrolled proliferation, and
930 invasion through the activation of intracellular tyrosine kinase and is associated with poor
931 prognosis in human^{84,85,174,181}. Similarities such as low survival rates, similar genetic, and
932 morphological profiles in feline may offer a viable model to study HNSCC.

933 Naturally occurring animal models of cancer are becoming more integral to a better
934 understanding of tumor evolution and progression such as HNSCC compared to rodent
935 models^{174,182}. Murine models, for example, lack important factors that contribute to spontaneous
936 tumor formation and follow-up adaptive immune responses. Understanding the mutually
937 exclusive genetic environment of FOSCC will allow us to determine how informative a
938 comparative model model is to study which molecular candidates are involved in a tumors
939 formation in both FOSCC and HNSCC. With many uncertainties in the genetics of FOSCC, we
940 aimed to characterize the mutational and transcriptional profile of FOSCC thus gaining insight
941 into its molecular pathogenies when comparing to HNSCC. To accomplish this, WES and
942 RNAseq was performed. FOSCC tumor tissue and matching blood samples were used for WES,
943 and RNA-seq was generated on FOSCC tumor tissue and oral cavity samples from healthy cats.
944 Following these experiments, we searched among candidate genes for monotherapy matches that

945 offer a retrospective view of missed mutation druggable mutations for treating feline patients
946 with FOSCC.

947 **4.2 Methods**

948 **Clinical samples.** Cryopreserved tissues corresponding to 6 FOSCC tumor samples and 6
949 matching whole blood samples, as well as 3 normal oral mucosal samples collected from healthy
950 animals, were used (**Table 4. 1**). Tumor samples had been collected during standard-of-care
951 surgical procedures and stored by the Cornell Veterinary Biobank until retrieved for analysis.
952 Sample collection was performed in accordance with a protocol (#2005-0151) approved by
953 Cornell University's Institutional Animal Care and Use Committee. Accordingly, informed
954 consent to authorize the use of tissue samples and clinical data for research purpose was obtained
955 from cat owners prior to sample collection, and undue harm was never inflicted to client-owned
956 cats for the purposes of this study; all methods were performed in accordance with the relevant
957 guidelines and regulations. The diagnosis of FOSCC was validated using routine hematoxylin
958 and eosin-stained samples archived by the Anatomic Pathology Section at Cornell University's
959 College of Veterinary Medicine by a board-certified veterinary pathologist (ADM); tumors were
960 diagnosed following previously described criteria¹⁸³ while blinded to molecular assays.

961 **FOSCC histology.** Histological assessment of tissues was done using routine hematoxylin and
962 eosin-stained samples archived by the Anatomic Pathology Section at Cornell University's
963 College of Veterinary Medicine by a board-certified veterinary pathologist (ADM); tumors were
964 diagnosed following previously described criteria¹⁸³ while blinded to molecular assays (**Figure**
965 **4.1**).

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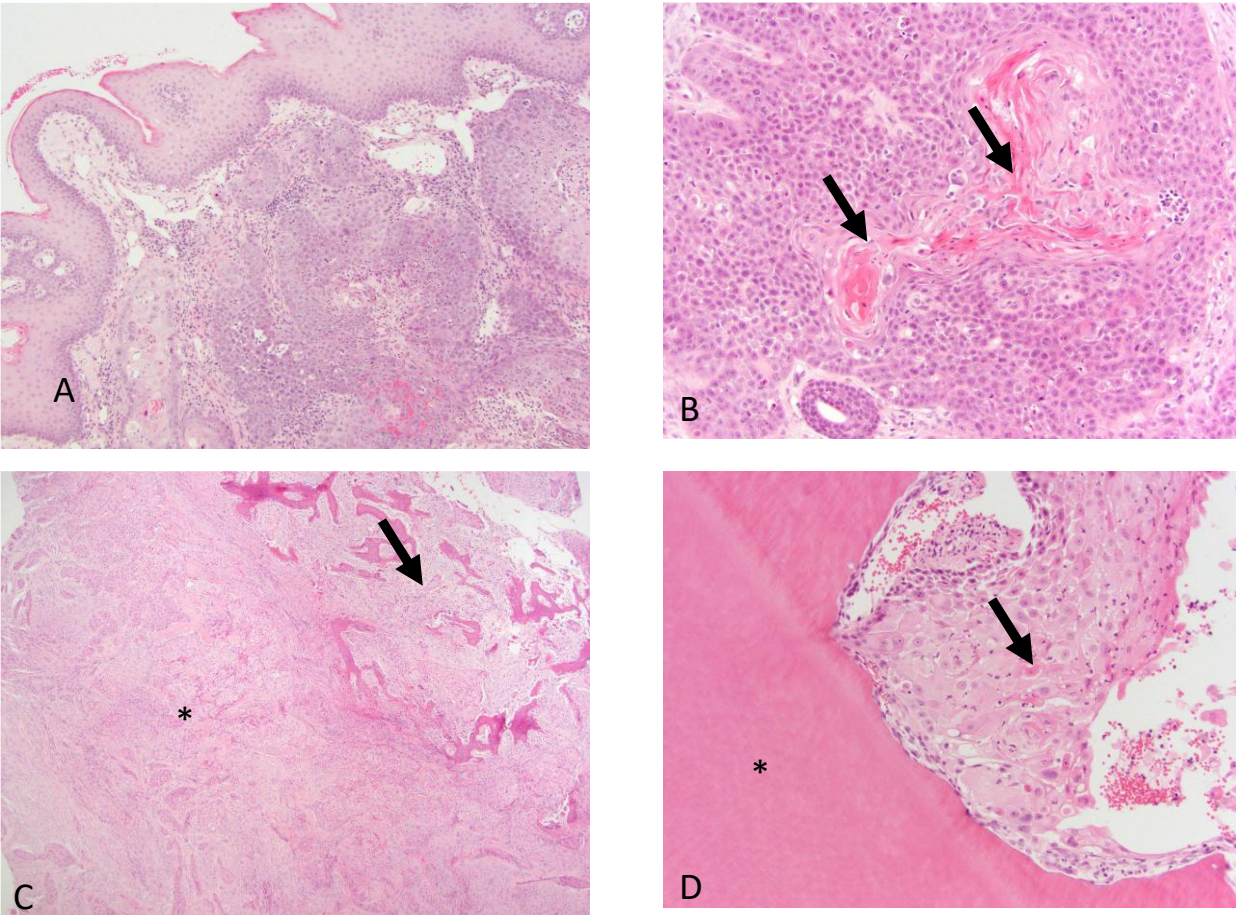
Table 4.1 Cohort Characteristics

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Sample	Location Collected	Sex	Age
23263	Tongue	MC	Adult
9895	Mandible/Gingiva	FS	Adult
26903	Mandible	unknown	Adult
7741	Oral Cavity	MC	Adult
24147	Oral Cavity/Gingiva	MC	Adult
605591	Unknown	Unknown	Adult

MC=male castrated

FS=female spayed



970 **Figure 4.1 Pathology of Oral Squamous Carcinoma**

A. Underlying a moderately hyperplastic gingival epithelium are ribbons, cords, and trabeculae of neoplastic squamous epithelial cells. B. Neoplastic squamous epithelial cells surround and produce brightly eosinophilic keratin (arrows). C. Neoplastic squamous epithelial cells that are enmeshed in abundant scirrhous response (asterisk) are associated with marked bony invasion and remodeling (arrow). D. Neoplastic squamous epithelial cells with dyskeratosis (arrow) invade the dentin layer of a tooth (asterisk). Images provided by.....

Image info

A. 79666; 40x mag

B. 16236; 100x mag

C. 76610; 200x mag

D. 89317; 200x mag

971 **Somatic Variant Calling, Annotation, and Filtering.** Raw sequence reads were mapped to
972 *Felis_Catus_9.0* reference using Burrows-Wheeler Aigner (BWA) v0.7.17. Sam files were sorted
973 and converted to bam and merged using Piccard tools v2.18.9. PCR and optical duplicates were
974 marked using Piccard tools v. 2.19.9. These files were then processed through the Genome
975 Analysis Toolkit (GATK) v.4.0.1 for base quality rescore calibration. Mutect2 was used to
976 identify somatic single nucleotide variants (SNVs) and insertions and deletions (indels) and
977 filtered through the standard MuTect2 filters, such as *t_lod_fstar*, filters out variants with
978 insufficient evidence of presence in tumor sample and *panel_of_normals*, which filters out
979 variants present in at least two samples in the panel of normal (**Supplemental Figure 4.1**). VCF
980 files were further filtered for missing data and minor allele frequencies less than .1% using
981 VCFtools. SNVs were then annotated using Variant effect Predictor (VEP) v. 101.0¹⁸⁴. The
982 validity of called variants was manually confirmed using IGV by randomly selecting 20 variants
983 per sample and confirming the presence of that variant in the raw BAM files.

984 **Measuring tumor mutational burden (TMB).** TMB has been reported to help classify whether
985 a cancer type is more amenable to immunotherapy due to the presentation of multiple antigen
986 targets¹⁸⁵. For each VCF file, *Felis_Catus_9.0* was set as the reference and Ensembl (release 102)
987 genes was used as the definition. TMB was calculated using the number of non-synonymous
988 SNVs, somatic mutations altering the amino acid sequence, found per megabase in the coding
989 regions. We used an exome size of 35MB that was calculated based on the size of coding regions
990 in the cat genome.

991 **Driver Database Version 3/OncoKB:** Driver Database version 3 was accessed online on
992 3/15/21(<http://driverdb.tms.cmu.edu.tw/cancer>). Cancer driver genes database was selected, and
993 then the HNSCC data set was selected that included 263 genes. In addition, OncoKB was

994 accessed on the same day, and 10 HNSCC druggable genes were obtained. All gene sets were
995 used for further investigation of their presence in the FOSSC samples.

996 **4.3 Results**

997 **FOSSC cohort characteristics.** In this study, we analyzed six FOSSC samples collected by the
998 Cornell Veterinary Biobank between 6/19/14 and 9/19/19. Only 6 samples were available at the
999 time of analysis. Samples included three castrated males, one spayed female, and two samples of
1000 unknown sex (**Table 4.1**). Samples were collected from various locations in the head and neck
1001 region, including the mandible, gingiva, and tongue. Due to varied anatomical location and sex,
1002 we were unable to account for differences in sex or spatial context for tumor genetic changes.

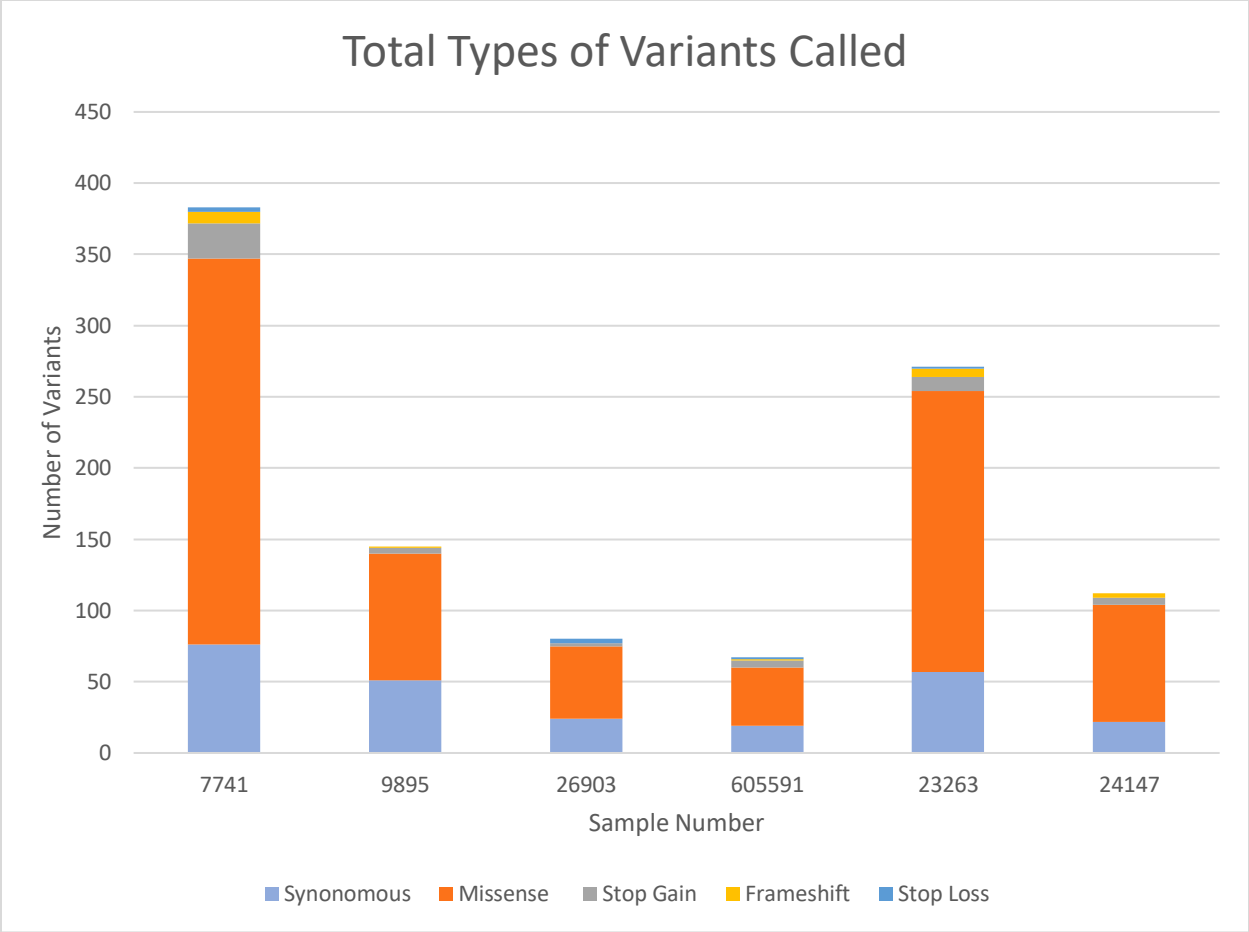
1003 **SNV annotation.** In the six samples, we found 1,057 synonymous and nonsynonymous variants
1004 with a mean of 176 variants per FOSSC (**Figure 4.2 and 4.3**). After variant visualization using
1005 IGV, we found a false call rate of 5% across all 6 samples for 20 genes (**Supplemental Figure**
1006 **4.2**). Among all somatic SNVs, 56 and 731 were nonsense and missense, respectively. Only one
1007 gene, *TP53*, a commonly mutated gene in many cancer types, including head and neck cancer¹⁸⁰
1008 showed multiple occurrences of SNVs with 83% showing somatic mutations as differing
1009 positions (**Figure 4.4**). Four samples had mutations in *TP53*, with three being missense and one a
1010 frameshift.

1011 TMB has emerged as a biomarker for human patient stratification toward immunotherapy.
1012 However, the prognostic value of TMB across cancer types is uncertain. Using our small cohort
1013 we generated a preliminary TMB estimate of FOSSC to compare to earlier estimates in HNSCC.
1014 Using non-synonymous somatic mutations, we calculated the TMB for each feline tumor
1015 (**Supplemental Table 4.1**). The mean TMB for all six samples was 3.7 with a range of 1.4 - 8.5.

1016 We were not able to determine if survival was associated with TMB score due to all cats being
1017 euthanized soon after the time of tumor resection.

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1021 **Figure 4.2** All variants called, including synonymous variants, using GATK-

1022 Mutect2.

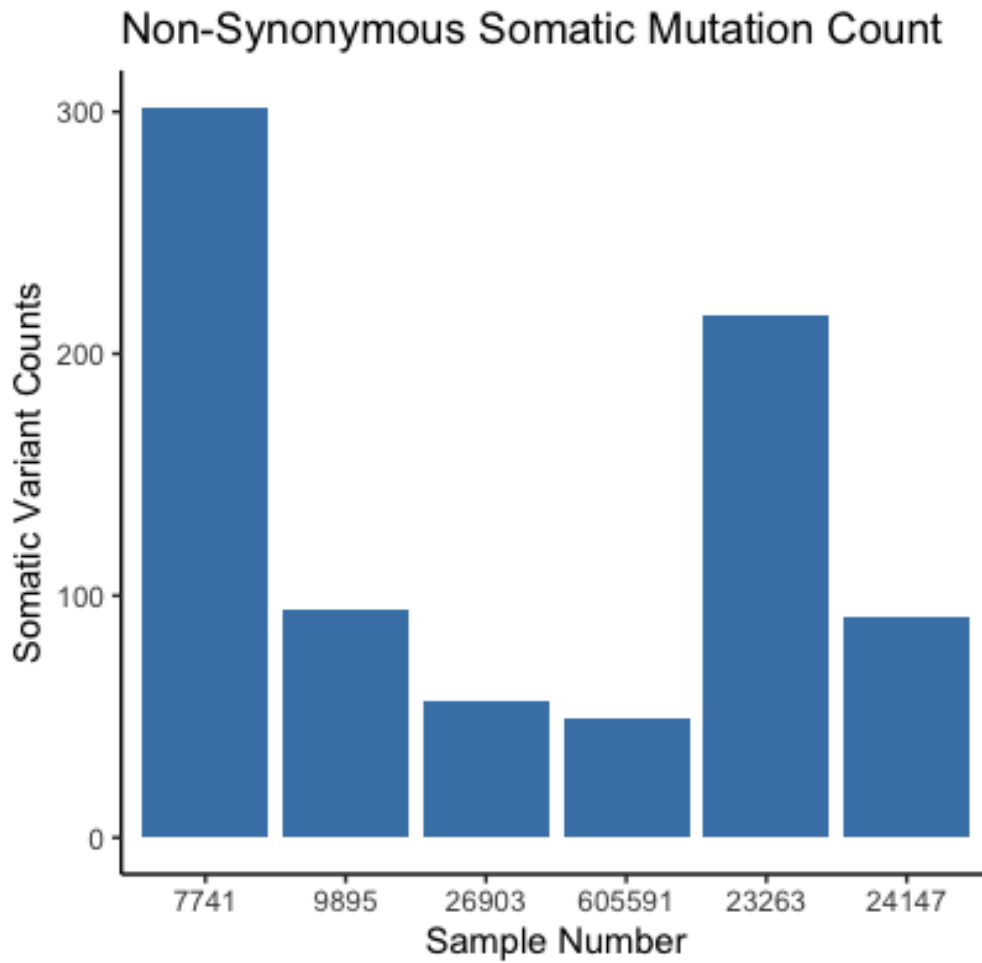


Figure 4.3 Number of Non-Synonymous somatic variants per sample. Non-Synonymous somatic variants called across all samples. Average of 176 variants over six samples including 56 nonsense high impact mutations and 731 missense mutations.

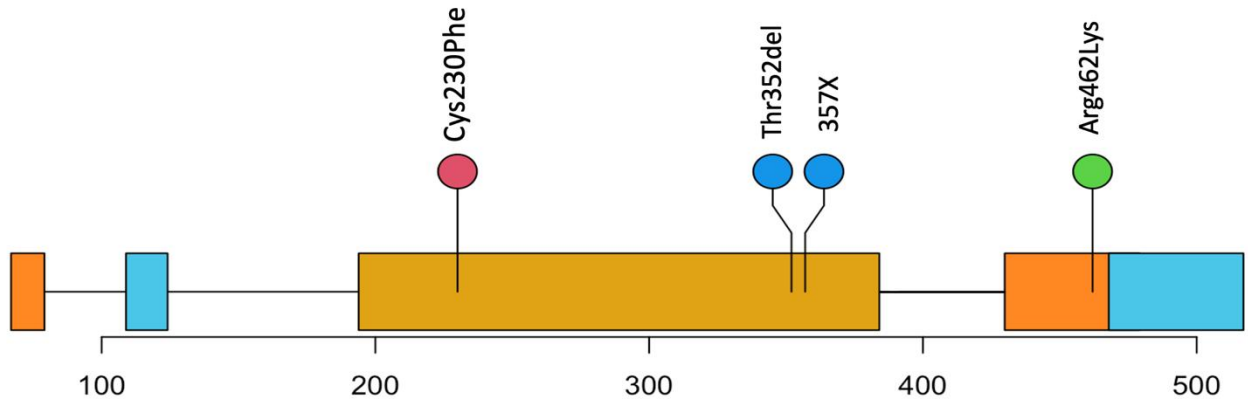


Figure 4.4 Location of the four TP53 mutations found in five of our FOSCC samples.

1027 **FOSCC comparison with HNSCC.** We compared the somatic mutations of FOSCC to genes
1028 shown have highly recurrent mutations in HNSCC to assess gene model similarities. Genes
1029 harboring FOSCC non-synonymous SNVs were matched to the same HNSCC genes in the
1030 Driver Database 3¹⁸⁶ and OncoKB ¹⁸⁷ databases The *TP53* gene has the most frequent somatic
1031 mutations in HNSCC, and was also the most recurrent in FOSCC, with four of the samples
1032 having a missense mutation, and sample 24147 containing a compound heterozygous mutation,
1033 specifically a missense and splice region variant (**Figure 4.4**). A missense mutation in *KAT2B*
1034 was present in two samples, with several other missense mutations present in only one gene per
1035 sample (**Table 4.2**). Samples 9895 and 23263 had the most genes implicated in HNSCC with
1036 four genes in common each (**Table 4.2**). This data indicates some evidence for overlap in
1037 mutational background between HNSCC and FOSCC but is very preliminary at this stage.

1038 **4.4 Discussion**

1039 In this first study of the somatic mutations and gene expression variation present in FOSCC, we
1040 describe the similarities and differences when comparing to HNSCC. FOSCC and HNSCC have
1041 similarly low survival rates and morphological profiles that suggests a FOSCC model may offer
1042 some comparative insight into HNSCC therapeutic strategies or mechanism of action¹⁸⁶. The
1043 scope of FOSCC somatic mutations, however, is not known which we partially address by
1044 exploring comparable molecular features between species, i.e., somatic mutation and gene
1045 expression patterns. We found several genes (**Table 4.2**) overlapping with HHNSCC, for
1046 example the surprising overlap with *TP53*, the most recurrent in our FOSCC samples. *TP53* is
1047 the most mutated in all cancer types^{188,189}, but also has the highest prevalence of driver
1048 mutations in HNSCC (41%)^{23,2}.

1049

1050

Sample	Gene	Annotation	Position
7741	TP53	Frameshift/ Splice region variant	E1:2541686
9895	TP53	Missense	E1:17726778
	MED12L	Missense	C2:115279831
	PXYLP1	Missense	C2:124530451
26903	TP53	In-Frame Deletion	E1:2541698
	KAT2B	Missense	C2:139167628
23263	KAT2B	Missense	C2:139217714
	ARID1A	Missense	C1:20180520
	KMT2D	Missense	B4: 78023698
	TP53	Frameshift	E1:2541322

Table 4.2 Variant annotations for variants found in FOSSC in common with known HNSCC.

1051 Given *TP53* is a tumor suppression gene, and certain somatic mutations are associated with
1052 lower survival outcomes in patients with HNSCC¹⁸⁹. With *TP53* missense variants in three of the
1053 samples, an insertion in one, and a compound heterozygous mutation in another it is reasonable
1054 to predict these cats experienced rapid tumor progression yet missing clinical follow up
1055 prevented us from drawing this conclusion (**Figure 4.4**). Coincidentally, *TP53* mutations have
1056 been implicated in other FOSCC studies, with mutations in this gene found in 24 - 69% of
1057 cancers¹⁹⁰⁻¹⁹². In HNSCC, *TP53* mutations are found in 70% of all cases, with variation in *TP53*
1058 being a predictive marker for immunotherapy in those with metastatic HNSCC¹⁸⁰.

1059 As estimates of the TMB have been used to predict positive patient response to immune
1060 checkpoint inhibitor therapy in some cancer types, e.g. non-small cell lung cancer and melanoma
1061 ^{193,194}, we sought to compare FOSCC to HNSCC for this metric. Recent studies on HNSCC have
1062 found that mutations in *TP53* are associated with high TMB and low overall survival rates, and
1063 high TMB patients responded well to immunotherapy^{195,196}. In our FOSCC samples the average
1064 TMB was 3.7 with a range of 1.4 - 8.5. Cancer studies that calculated HNSCC TMB as high
1065 (>5.0) or low (<5.0), show higher TMB is associated with poor prognosis^{197,198}. Our first TMB
1066 estimates in FOSCC fall within the observed HNSCC range suggesting some benefits could be
1067 gained for immunotherapy outcomes. More FOSCC sample sequencing to obtain better estimates
1068 of TMB is needed as well as the future availability of immune checkpoint inhibitors that could
1069 collectively be used to substantially improve outcomes for this very lethal cancer.

1070 Other FOSCC recurrent or single gene mutations of interest were *KAT2B*, *ARID1A*,
1071 *MED12L*, *HOXB3*, and *PXYLP1* each with interesting features for comparative inference (Table
1072 3). Two samples had a missense variant in *KAT2B* (Table 3). *KAT2B* is a gene that codes for an
1073 enzyme that functions as a histone acetyltransferase (HATs) and mutations in this gene have

1074 been implicated in many diseases including cancers¹⁹⁹; however, it has not been evaluated in
1075 FOSCC. *KAT2B* is part of a family of lysine transferases that are responsible for the acetylation
1076 of genes that targets a broad range of proteins and can function as tumor suppressors and
1077 oncogenes²⁰⁰. *KAT2B* is also responsible for inhibiting cell cycle progression and counteracting
1078 mitogenic activity. HNSCC cell line studies have shown universal loss of *KAT2B*¹⁷⁵, and a study
1079 using HNSCC tumors found significantly lower expressions of *KAT2B* compared to the normal
1080 tissue²⁰¹. *KMT2D* was identified in only one sample but has similar epigenetic properties to
1081 *KAT2B*. Studies completed by The Cancer Genome Atlas have shown mutations often occurring
1082 in *KMT2D*, keeping chromatin in an open state, thereby promoting gene expression^{202,203}.
1083 Mutations in both *KAT2B* and *KMT2D* possibly induce epigenetic changes in HHNSCC and
1084 FOSCC which alternative to immunotherapy could and may open an avenue to study epigenetic
1085 drug control of both human and feline oral squamous cell carcinoma^{200,203}.

1086 A missense mutation in *ARIDIA* was also found in one of our samples (**Table 4.2**).
1087 *ARIDIA* is a gene that is often found to be deleted in many human cancers; however, to our
1088 knowledge the effect of this gene in feline cancers is unknown. *ARIDIA* functions as a tumor
1089 suppressor and tumor stemness repressor by disrupting the perturbed function of p53 or PTEN
1090 pathways^{204,205}. The upregulation of miR-31 is known to have oncogenic properties in human
1091 head and neck cancer and studies show that elevated expression of this miRNA causes reduced
1092 expression of *ARIDIA*, and patients with low expression of *ARIDIA* are found to have the worst
1093 survival rates^{205,206}.

1094 Several other genes were found to overlap in HHNSCC and FOSCC. *MED12L*, *HOXB3*, and
1095 *PXYLPI* were also identified as single nucleotide variants in one sample each (**Table 4.4**);
1096 however, these genes are understudied in both HHNSCC and FOSCC. Mediator Complex

1097 Subunit 12L (*MED12L*) works by activating the kinase activity of CDK8 which regulates the
1098 growth and division of cells²⁰⁷. Studies report significant differentiation of the expression of
1099 *MED12L* in many cancers including head and neck cancer; however, an altered *MED12* complex
1100 is altered in 3.05% of HNSCC patients^{208,209}. *HOX* genes regulate a wide range of cell activity
1101 including proliferation and migration. HNSCC studies have shown an overall elevation in all
1102 *HOX* genes, including *HOXB3*²¹⁰⁻²¹². There was no overlap in actionable genes from OncoKB
1103 related to head and neck cancer. We believe this is due to the low sample size, and further studies
1104 need to be conducted.

1105 In FOSCC, we have identified the somatic mutations landscape by exome sequencing. For the
1106 best-known cancer driver gene, *TP53*, we observe mutations that despite their presence in
1107 FOSCC, are not the same variants as those observed in human head and neck cancer. But several
1108 other genes also overlap between the two types of cancer in our small cohort suggesting the use
1109 of similar genes that initiate tumorigenesis and perhaps future comparative models of treatment.
1110 This small exploratory study demonstrated the ability to call variants unique to feline oral
1111 squamous cell carcinoma tumors, identified common genes between human and feline oral
1112 squamous carcinoma. This study presents a starting point to study FOSCC in a larger cohort of
1113 feline oral squamous carcinoma patients and draw more similarities between human and feline
1114 oral cancer. With the further development of this technology, we may be able to diagnose this
1115 cancer at earlier stages using genomic methods, as well as possibly develop immunotherapy
1116 treatments for both cats and humans.

1117

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SUPPLEMTNATAL

1675 **Supplementary Table 3.1**

Cat	TOTAL READS	Unique Reads	Duplicate Reads	% Unique Reads	Mapped Unique Reads	% Unique Reads Mapped	MEAN COVERAGE	MEDIAN COVERAGE
1	215022748	176614831	38407917	82.14	176570410	99.97	373	264
2	145242280	118605692	26636588	81.66	118567772	99.97	199	181
3	83764798	68089930	15674868	81.29	68065004	99.96	211	103
4	164681954	134926578	29755376	81.93	134884666	99.97	232	200
5	192670988	158132174	34538815	82.07	158090364	99.97	331	237
6	66980388	53730990	13249398	80.22	53700410	99.94	88	79
7	237374507	195097488	42277019	82.19	195050455	99.98	416	292
8	55650338	45654534	9995804	82.04	45633063	99.95	76	69
9	170319229	139649516	30669713	81.99	139610319	99.97	289	209
10	259726266	213580145	46146121	82.23	213530500	99.98	458	320
Mean	159143350	130408188	28735162	81.94	130370296	99.97	267	195
11	59689994	45040110	14649884	75.46	44947330	99.79	73.5	65
12	51213246	42192148	9021098	82.39	42135615	99.87	67.0	61
13	61610752	49451286	12159466	80.26	49384858	99.87	78.6	72
14	66562284	55076186	11486098	82.74	54994060	99.85	90.5	82
15	65035570	54927188	10108382	84.46	54853614	99.87	88.0	80
16	62943656	49742358	13201298	79.03	49665648	99.85	80.4	73
17	77857484	59696054	18161430	76.67	59618838	99.87	92.6	84
18	73192396	59937688	13254708	81.89	59848302	99.85	94.2	85
19	78645830	63544212	15101618	80.80	63467660	99.88	105.7	95
20	49270872	41010968	8259904	83.24	40948904	99.85	63.0	57

21	57911238	48615406	9295832	83.95	48535472	99.84	78.8	71
22	82008038	64579700	17428338	78.75	64483066	99.85	108.2	97
23	92835910	72587612	20248298	78.19	72499058	99.88	103.5	94
24	63399300	52257320	11141980	82.43	52181036	99.85	85.1	77
25	53514212	44731352	8782860	83.59	44666286	99.85	75.1	68
26	46245536	38645300	7600236	83.57	38588802	99.85	60.0	54
27	66297558	55692274	10605284	84.00	55613569	99.86	88.7	80
28	63195078	52759900	10435178	83.49	52685856	99.86	83.6	76
29	54045256	44167266	9877990	81.72	44066794	99.77	70.1	64
30	49086732	40778946	8307786	83.08	40690449	99.78	66.5	60
31	46067970	37352402	8715568	81.08	37288379	99.83	60.1	54
32	61427656	47373818	14053838	77.12	47300394	99.85	77.2	70
33	72513806	56798782	15715024	78.33	56735443	99.89	90.7	83
34	46864618	39837652	7026966	85.01	39789028	99.88	63.7	57
35	57105380	45115204	11990176	79.00	45076149	99.91	62.2	57
36	49270872	41010968	8259904	83.24	40948904	99.85	63.0	57
37	57055464	47567866	9487598	83.37	47493680	99.84	75.9	69
38	72860408	60362784	12497624	82.85	60276637	99.86	97.2	88
39	65352134	52724620	12627514	80.68	52631834	99.82	83.8	76
40	61091136	49625330	11465806	81.23	49566582	99.88	77.7	69
41	53287642	41298322	11989320	77.50	41236732	99.85	65.9	57
Mean	61853485	50145194	11708291	81.07	50071580	99.85	80	72

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1680 **Supplementary Table 3.2**

Cat	Median Coverage	% target bp covered >10x	% target bp covered >20x	% target bp covered >30x
1	264	99	100	103
2	181	99	99	98
3	103	99	98	97
4	200	99	99	99
5	237	99	100	101
6	79	99	97	94
7	292	100	101	104
8	69	99	97	92
9	209	99	99	100
10	320	100	101	105
Mean	195	99	99	99
31	54	98	95	86
26	54	98	95	87
41	57	98	93	83
34	57	98	96	88
35	57	98	96	89
20	57	98	96	89
36	57	98	96	89
30	60	98	96	90
12	61	98	96	90
29	64	98	96	91
11	65	99	96	90
25	68	98	97	92
40	69	99	96	91
37	69	98	97	93

32	70	99	97	93
21	71	96	91	85
13	72	99	97	94
16	73	99	97	94
28	76	99	97	94
39	76	99	97	94
24	77	99	97	94
15	80	99	97	94
27	80	99	97	94
14	82	99	98	95
33	83	99	98	96
17	84	99	98	95
18	85	97	94	91
38	88	99	98	96
23	94	99	98	97
19	95	99	98	96
22	97	99	98	96
Mean	72.00	98.45	96.41	91.84

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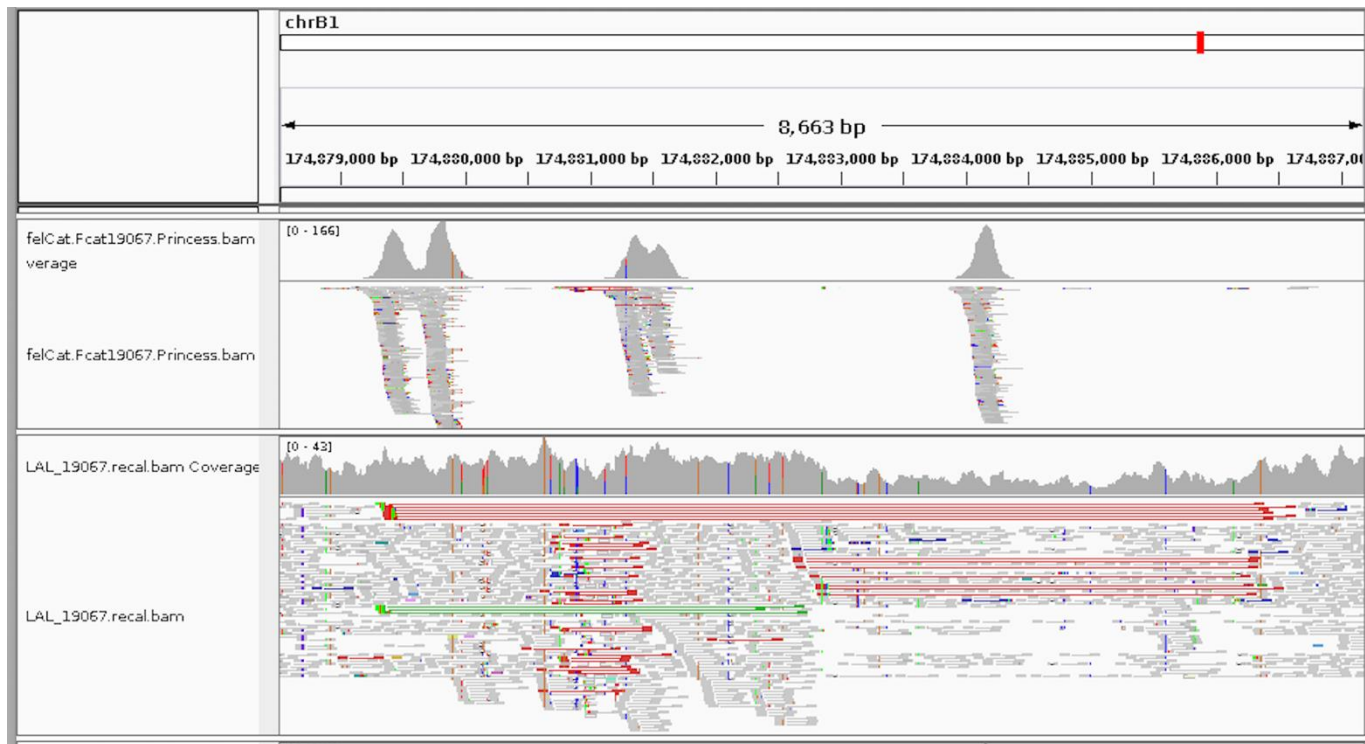
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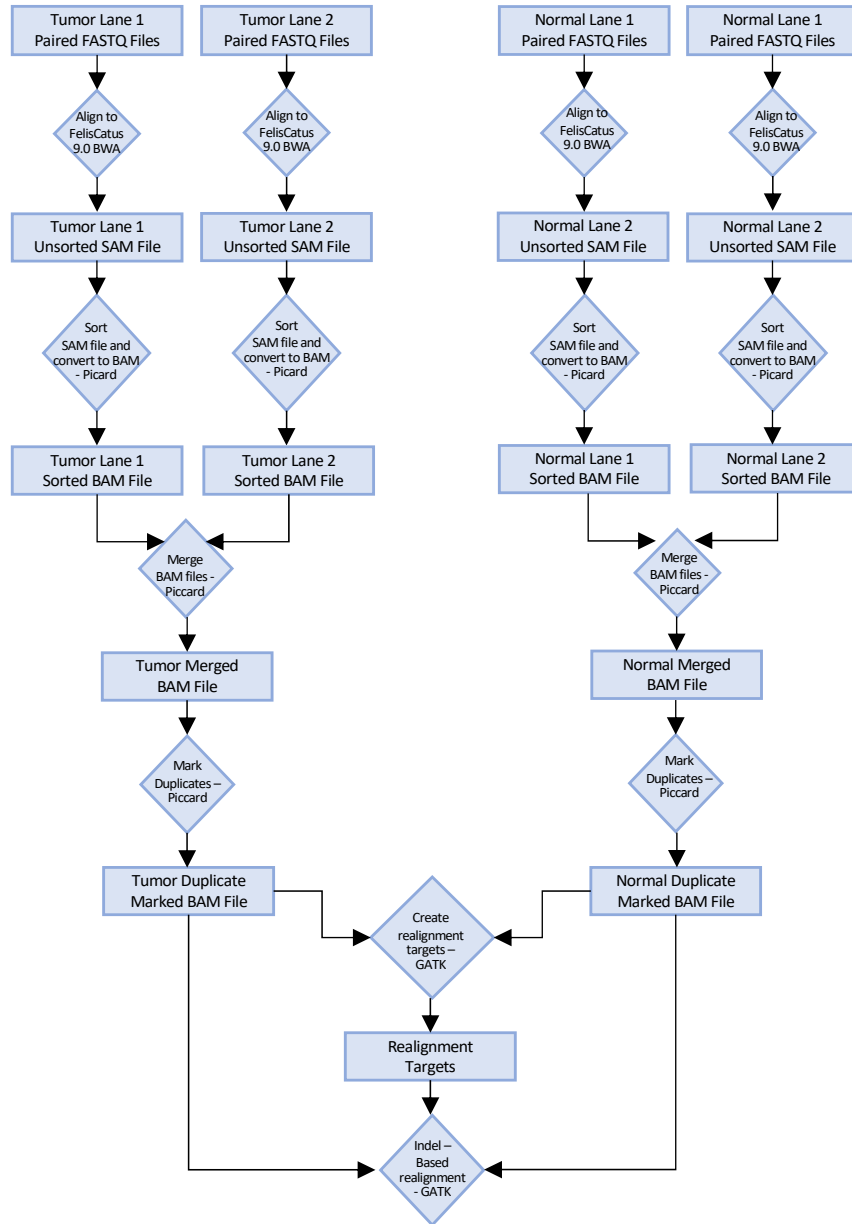
1686 **Supplementary Figure 3.1**

1687 **This is a visual of the reads that overlap the dwarfism structural variant in the dwarfism cat sample. The first row is the WES**
1688 **reads showing no evidence of a structural variant in the UDGH gene. Row 2 is the WGS reads showing a deletion and**
1689 **rearrangement (green and red). Thus, showing that WES does not adequately cover structural variants.**



1698 Supplemental Figure 4.1 Workflow of GATK-Mutect2 Pipeline

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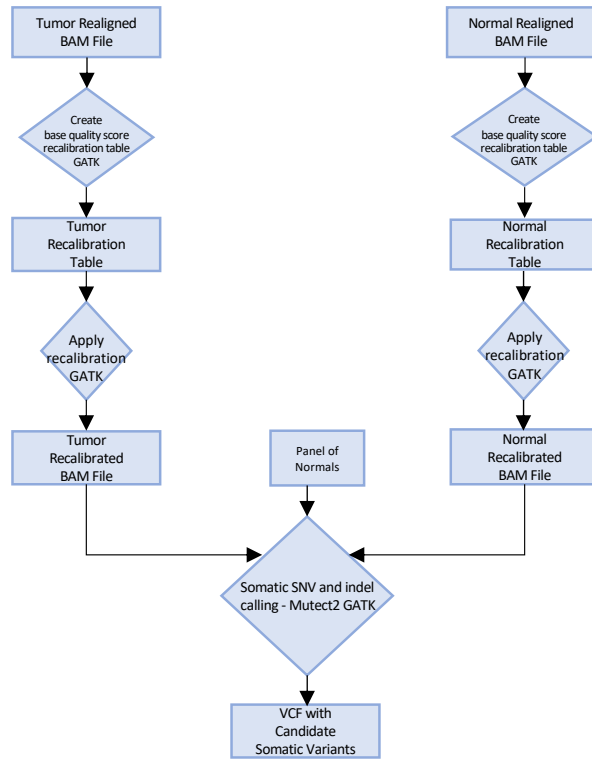
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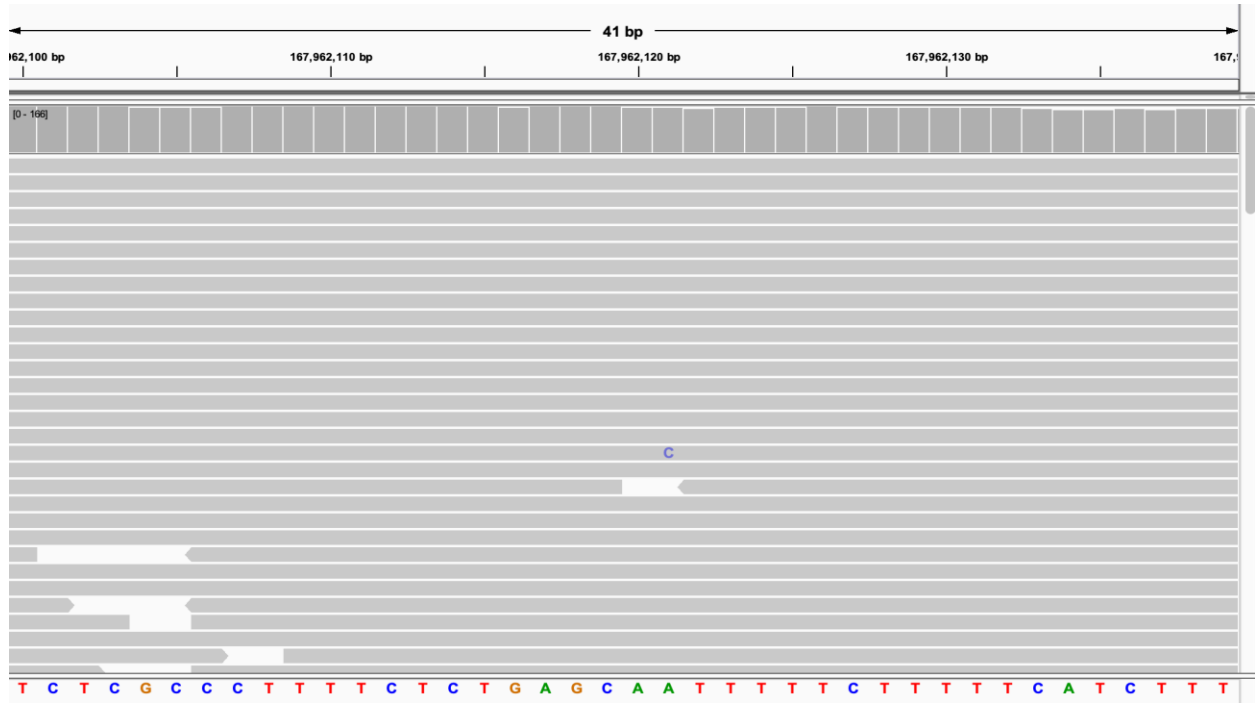
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1718 **Supplementary Figure 4.2.** GATK-Mutect2 called a SNV at position 167,962,120 but was
1719 determined to be a false call because there was only one variant called out of all the reads.



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1730 **Supplementary Table 4.1.** Tumor mutational burden calculated for all samples

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Sample	TMB
7741	8.5
9895	2.6
26903	1.5
60551	1.4
23263	6
24147	2.5

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